

# Health and Care Bill

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[AS AMENDED IN PUBLIC BILL COMMITTEE]

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# B I L L

[AS AMENDED IN PUBLIC BILL COMMITTEE]

TO

Make provision about health and social care.

**B**E IT ENACTED by the Queen’s most Excellent Majesty, by and with the advice and consent of the Lords Spiritual and Temporal, and Commons, in this present Parliament assembled, and by the authority of the same, as follows:—

## PART 1

HEALTH SERVICE IN ENGLAND: INTEGRATION, COLLABORATION AND OTHER CHANGES

### *NHS England*

#### **1 NHS Commissioning Board renamed NHS England**

- (1) The National Health Service Commissioning Board is renamed NHS England. 5
- (2) Schedule 1 contains consequential amendments.

#### **2 Power to require commissioning of specialised services**

- (1) Section 3B of the National Health Service Act 2006 (Secretary of State’s power to require commissioning of services) is amended as follows.
- (2) For subsection (2) substitute – 10
  - “(2) A service or facility may be prescribed under subsection (1)(d) only if the Secretary of State considers that it would be appropriate for NHS England to arrange for the provision of that service or facility (whether by NHS England making arrangements itself or by giving directions under section 13YB or making arrangements under section 65Z5).” 15
- (3) In subsection (3), omit paragraph (d).

## (4) After subsection (4) insert –

“(4A) If the Secretary of State refuses a request by NHS England to revoke provision made by regulations under subsection (1)(d) prescribing a service or facility, the Secretary of State must explain why to NHS England.”

5

**3 NHS England mandate**

## (1) The National Health Service Act 2006 is amended as follows.

## (2) In section 13A (mandate) –

- (a) in subsection (1), omit “Before the start of each financial year,”;
- (b) in subsection (2), in paragraph (a), omit from “during that financial year” to the end of that paragraph (but not the final “and”);
- (c) omit subsections (3) and (4);
- (d) in subsection (5), omit “in relation to the first financial year to which the mandate relates”;
- (e) after subsection (6) insert –

10

“(6A) The Secretary of State may revise the mandate.

(6B) If the Secretary of State revises the mandate, the Secretary of State must publish and lay before Parliament the mandate as revised.”

15

## (3) In section 13B (the mandate: supplementary provision) –

20

- (a) for the heading substitute “Review of NHS England’s performance in implementing the mandate”;
- (b) omit subsections (2) to (5).

## (4) In section 13T (business plan) –

- (a) in subsection (3), omit “for the first financial year to which the plan relates”;
- (b) after subsection (3) insert –

25

“(3A) The fact that the mandate is revised during the period to which a business plan relates does not require NHS England to revise the plan.”

30

## (5) In section 13U (annual report), in subsection (2), for paragraph (a) substitute –

“(a) the extent to which, in that year, it met any objectives or requirements specified in the mandate,”.

**4 NHS England: wider effect of decisions**

After section 13N of the National Health Service Act 2006 insert –

35

*“Duty to have regard to wider effect of decisions*

**13NA Duty to have regard to wider effect of decisions**

- (1) In making a decision about the exercise of its functions, NHS England must have regard to all likely effects of the decision in relation to –
  - (a) the health and well-being of the people of England;
  - (b) the quality of services provided to individuals –

40



- (i) by relevant bodies, or
    - (ii) in pursuance of arrangements made by relevant bodies, for or in connection with the prevention, diagnosis or treatment of illness, as part of the health service in England;
  - (c) efficiency and sustainability in relation to the use of resources by relevant bodies for the purposes of the health service in England. 5
- (2) The reference in subsection (1) to a decision does not include a reference to a decision about the services to be provided to a particular individual for or in connection with the prevention, diagnosis or treatment of illness. 10
- (3) In discharging the duty under this section, NHS England must have regard to guidance published by it under section 13NB.
- (4) In this section “relevant bodies” means – 15
  - (a) NHS England,
  - (b) integrated care boards,
  - (c) NHS trusts established under section 25, and
  - (d) NHS foundation trusts.

#### **13NB Guidance about discharge of duty**

- (1) NHS England may publish guidance about the discharge of – 20
  - (a) the duty imposed on it by section 13NA;
  - (b) the duty imposed on integrated care boards by section 14Z43;
  - (c) the duty imposed on NHS trusts by section 26A;
  - (d) the duty imposed on NHS foundation trusts by section 63A.
- (2) NHS England must consult any persons NHS England considers it appropriate to consult – 25
  - (a) before first publishing guidance under this section, and
  - (b) before publishing any revised guidance containing changes that are, in the opinion of NHS England, significant.”

#### **5 Public involvement: carers and representatives 30**

In section 13Q of the National Health Service Act 2006 (public involvement and consultation), in subsection (2), after “individuals to whom the services are being or may be provided” insert “, and their carers and representatives (if any),”.

#### **6 Support and assistance by NHS England 35**

After section 13Y of the National Health Service Act 2006 insert –

##### *“Assistance and support*

#### **13YA Power of NHS England to provide assistance and support**

- (1) NHS England may provide assistance or support to – 40
  - (a) any person providing or proposing to provide services as part of the health service;

- (b) any person, not within paragraph (a), exercising functions in relation to the health service.
- (2) The assistance that may be provided under subsection (1)(a) or (b) includes making available the services of NHS England’s employees or any other resources of NHS England. 5
- (3) *The assistance that may be provided under subsection (1)(a), or that may be provided under subsection (1)(b) to integrated care boards, also includes financial assistance.*
- (4) Assistance or support provided under this section may be provided on such terms, including terms as to payment, as NHS England considers appropriate.” 10
- 7 Exercise of functions relating to provision of services**
- (1) The National Health Services Act 2006 is amended as follows.
- (2) After section 13YA (inserted by section 6 of this Act) insert –
- “Discharge of functions”* 15
- 13YB Directions in respect of functions relating to provision of services**
- (1) NHS England may by direction provide for any of its relevant functions to be exercised by one or more integrated care boards.
- (2) In this section “relevant function” means –
- (a) any function of NHS England under section 3B(1) (commissioning functions); 20
- (b) any function of NHS England, not within paragraph (a), that relates to the provision of –
- (i) primary medical services,
- (ii) primary dental services, 25
- (iii) primary ophthalmic services, or
- (iv) services that may be provided as pharmaceutical services, or as local pharmaceutical services, under Part 7;
- (c) any function of NHS England by virtue of section 7A or 7B (exercise of Secretary of State’s public health functions); 30
- (d) any other functions of NHS England so far as exercisable in connection with any functions within paragraphs (a) to (c).
- (3) Regulations may –
- (a) provide that the power in subsection (1) does not apply, or applies only to a prescribed extent, in relation to a prescribed function; 35
- (b) impose conditions on the exercise of the power.
- (4) A direction under subsection (1) may include provision prohibiting or restricting the integrated care board from making delegation arrangements in relation to a function that is exercisable by it by virtue of the direction. 40

- (5) In subsection (4) “delegation arrangements” means arrangements made by a person for the exercise of a function by someone else.
  - (6) NHS England may make payments to an integrated care board in respect of the exercise by it of a function by virtue of a direction under subsection (1). 5
  - (7) NHS England may give directions to an integrated care board as to the exercise by it of any functions in pursuance of a direction under subsection (1).
  - (8) As soon as reasonably practicable after giving a direction under subsection (1), NHS England must publish it. 10
  - (9) Any rights acquired, or liabilities (including liabilities in tort) incurred, in respect of the exercise by an integrated care board of any function by virtue of this section are enforceable by or against it (and no other person).”
- (3) In section 73 (directions and regulations under Part 2), in subsection (1), after paragraph (b) insert – 15  
    “(ba) section 13YB.”

## 8 Preparation of consolidated accounts for providers

Before section 66 of the National Health Service Act 2006 (and the italic heading before it) insert – 20

### *“Consolidated accounts*

#### **65Z4 Consolidated accounts for NHS trusts and NHS foundation trusts**

- (1) NHS England must, in respect of each financial year, prepare a set of accounts that consolidates the annual accounts of – 25
  - (a) all NHS trusts established under section 25, and
  - (b) all NHS foundation trusts.
- (2) The Secretary of State may give NHS England directions as to –
  - (a) the content and form of the consolidated accounts, and
  - (b) the methods and principles to be applied in preparing them.
- (3) NHS England must, within such period as the Secretary of State may direct, send a copy of the consolidated accounts to – 30
  - (a) the Secretary of State, and
  - (b) the Comptroller and Auditor General.
- (4) The accounts must be accompanied by such reports or other information as the Secretary of State may direct. 35
- (5) The Comptroller and Auditor General must –
  - (a) examine, certify and report on the consolidated accounts, and
  - (b) send a copy of the report to the Secretary of State and NHS England.
- (6) NHS England must lay before Parliament a copy of – 40
  - (a) the consolidated accounts, and
  - (b) the Comptroller and Auditor General’s report on them.”

## 9 Funding for service integration

- (1) The National Health Service Act 2006 is amended as follows.
- (2) In section 223B (funding of NHS England) –
- (a) for subsection (6) substitute –
- “(6) The Secretary of State may direct NHS England – 5
- (a) that an amount of the sums paid to it under this section in respect of a financial year is to be used for purposes relating to service integration;
- (b) about the use by NHS England of that amount for those purposes.”; 10
- (b) in subsection (7) –
- (i) for “subsection (6)” substitute “subsection (6)(a)”;
- (ii) in paragraph (b), for “mandate” substitute “direction”;
- (c) after subsection (7) insert –
- “(7A) The power under subsection (6)(b) includes power to give NHS England directions about the exercise of any of its functions under or by virtue of section 223GA (including directions requiring consultation with the Secretary of State or other specified persons). 15
- (7B) The Secretary of State must publish any direction under subsection (6).” 20
- (3) In section 223GA (expenditure on integration) –
- (a) for subsections (1) and (2) substitute –
- “(1) Where the Secretary of State has given NHS England a direction under section 223B(6)(a) about sums paid to it in respect of a financial year, NHS England may direct an integrated care board that an amount (a “designated amount”) of the sums paid to the board under section 223G in respect of that year is to be used for purposes relating to service integration. 25
- (2) The designated amount – 30
- (a) is to be determined in such manner as NHS England considers appropriate, and
- (b) must be specified in the direction under subsection (1).”;
- (b) in subsection (6), for paragraph (a) (but not the “and” at the end) substitute – 35
- “(a) it may use the amount for any purposes relating to service integration.”;
- (c) omit subsection (7).

## 10 Payments in respect of quality

- In section 223K of the National Health Service Act 2006, omit subsections (4) and (5) (power of Secretary of State to make regulations about payments by NHS England in respect of quality). 40

## 11 Secondments to NHS England

- (1) The National Health Service Act 2006 is amended as follows.
- (2) In section 272 (orders, regulations, rules and directions), in subsection (6) –
  - (a) omit the “or” at the end of paragraph (b);
  - (b) after paragraph (c) insert – 5
    - “(d) regulations under paragraph 9A(5) of Schedule A1, or”.
- (3) In Schedule A1 (constitution of NHS England), after paragraph 9 insert –
  - “9A (1) NHS England may make arrangements for a person to be seconded to NHS England to serve as a member of NHS England’s staff. 10
  - (2) A period of secondment to NHS England does not affect the continuity of a person’s employment with the employer from whose service the person is seconded.
  - (3) In paragraphs 9, 10, and 13 a reference to an employee of NHS England includes a person seconded to NHS England.
  - (4) In paragraph 3(3) the reference to an employee of NHS England 15 includes any of the following seconded to NHS England –
    - (a) a person employed in the civil service of the State, or
    - (b) a person employed by –
      - (i) an integrated care board,
      - (ii) an NHS trust established under section 25, 20
      - (iii) an NHS foundation trust,
      - (iv) a Special Health Authority performing functions only or mainly in respect of England,
      - (v) the Care Quality Commission,
      - (vi) the Health and Social Care Information Centre, 25
      - (vii) the Health Services Safety Investigations Body,
      - (viii) the Human Tissue Authority,
      - (ix) the Human Fertilisation and Embryology Authority, or
      - (x) NICE. 30
  - (5) The Secretary of State may by regulations amend this paragraph so as to provide that other references in this Act to an employee of NHS England include persons, or persons of a prescribed description, seconded to NHS England.”

*Integrated care boards* 35

## 12 Role of integrated care boards

For section 11 of the National Health Service Act 2006 and the italic heading

before it substitute –

*“Role of integrated care boards in the health service in England*

## **11 General functions of integrated care boards**

An integrated care board established under Chapter A3 of Part 2 has the function of arranging for the provision of services for the purposes of the health service in England in accordance with this Act.” 5

## **13 Establishment of integrated care boards**

- (1) The National Health Service Act 2006 is amended as follows.
- (2) In Part 2, after Chapter A2 insert –

### **“CHAPTER A3 10**

#### INTEGRATED CARE BOARDS

*Establishment of integrated care boards (including by re-purposing clinical commissioning groups)*

### **14Z25 Duty to establish integrated care boards**

- (1) *NHS England must establish bodies called integrated care boards in accordance with this Chapter.* 15
- (2) Each integrated care board is to be established by order made by NHS England for an area within England.
- (3) The area for which an integrated care board is established must not coincide or overlap with the area of any other integrated care board. 20
- (4) NHS England must ensure that, at all times on and after the appointed day, the areas of integrated care boards together cover the whole of England.
- (5) An order establishing an integrated care board must provide for the constitution of the board, either by setting out the constitution or by making provision by reference to a published document where it is set out. 25
- (6) In Schedule 1B–
  - (a) Part 1 is about the constitution of an integrated care board (including its area); 30
  - (b) Part 2 is about the status and powers of an integrated care board and its accounts.
- (7) Before varying or revoking an order under this section NHS England must consult any integrated care board that it considers likely to be affected. 35
- (8) NHS England must publish orders under this section.
- (9) In this section “the appointed day” means a day appointed under this subsection by regulations made by the Secretary of State.

#### **14Z26 Process for establishing initial integrated care boards**

- (1) NHS England must publish a list of the initial areas for which integrated care boards are to be established (each of which is referred to in this section as an “initial area”).
- (2) The relevant clinical commissioning group or groups for an initial area must propose the constitution of the first integrated care board to be established for that area. 5
- (3) Before making a proposal under subsection (2), the relevant clinical commissioning group or groups must consult any persons they consider it appropriate to consult (and it is immaterial for this purpose whether the consultation is carried out before or after this section comes into force). 10
- (4) When establishing the first integrated care board under section 14Z25 for an initial area, NHS England must give effect to any proposal under subsection (2) unless it considers that – 15
  - (a) the proposal is inappropriate, or
  - (b) the relevant clinical commissioning group or groups have not carried out an appropriate consultation under subsection (3),and in that case NHS England must determine the terms of the constitution itself. 20
- (5) Nothing in this section –
  - (a) prevents NHS England from establishing the first integrated care board for an initial area in a case where the relevant clinical commissioning group or groups have failed within a reasonable period to make a proposal under subsection (2), or 25
  - (b) limits the re-exercise of the power in section 14Z25.
- (6) NHS England may publish guidance for clinical commissioning groups about the exercise of their functions under this section.
- (7) A clinical commissioning group must have regard to guidance published under this section. 30
- (8) In this section “the relevant clinical commissioning group or groups” means –
  - (a) in relation to an area that coincides with the area of a clinical commissioning group, that group;
  - (b) in relation to an area that includes the whole or part of the area of more than one clinical commissioning group, those groups acting jointly. 35

#### **14Z27 Abolition of clinical commissioning groups**

- (1) Any clinical commissioning group in existence immediately before the appointed day is abolished at the beginning of that day. 40
- (2) In this section “the appointed day” has the same meaning as in section 14Z25.

#### **14Z28 Transfer schemes in connection with integrated care boards**

- (1) NHS England may, in connection with the abolition of a clinical commissioning group under section 14Z27, make a scheme for the 45

- transfer of the group’s property, rights or liabilities to NHS England or an integrated care board.
- (2) NHS England may, in connection with the establishment of an integrated care board, make a scheme for the transfer of property, rights or liabilities to the board from— 5
- (a) NHS England,
  - (b) an NHS trust established under section 25,
  - (c) an NHS foundation trust, or
  - (d) a Special Health Authority established under section 28.
- (3) NHS England may, in connection with the variation of the constitution of an integrated care board or the abolition of an integrated care board, make a scheme for the transfer of the board’s property, rights or liabilities to NHS England or an integrated care board. 10
- (4) The reference in subsection (3) to the variation of the constitution of an integrated care board is to its variation by order under section 14Z25 or under provision included in its constitution by virtue of paragraph 14 of Schedule 1B. 15
- (5) NHS England must exercise its powers under subsection (1) or (3) or so as to ensure that—
- (a) on the abolition of a clinical commissioning group whose area coincides with that of an integrated care board, all of the group’s property, rights and liabilities (other than criminal liabilities) are transferred to that board; 20
  - (b) on the abolition of a clinical commissioning group whose area does not coincide with that of an integrated care board, all of the group’s property, rights and liabilities (other than criminal liabilities) are transferred to one or more integrated care boards; 25
  - (c) on the abolition of an integrated care board, all of the board’s liabilities (other than criminal liabilities) are transferred.
- (6) The things that may be transferred under a transfer scheme include— 30
- (a) property, rights and liabilities that could not otherwise be transferred;
  - (b) property acquired, and rights and liabilities arising, after the making of the scheme;
  - (c) criminal liabilities. 35
- (7) A transfer scheme may—
- (a) create rights, or impose liabilities, in relation to property or rights transferred;
  - (b) make provision about the continuing effect of things done by, on behalf of or in relation to the transferor in respect of anything transferred; 40
  - (c) make provision about the continuation of things (including legal proceedings) in the process of being done by, on behalf of or in relation to the transferor in respect of anything transferred;
  - (d) make provision for references to the transferor in an instrument or other document in respect of anything transferred to be treated as references to the transferee; 45
  - (e) make provision for the shared ownership or use of property;



- (f) make provision which is the same as or similar to the TUPE regulations;
  - (g) make other consequential, supplementary, incidental or transitional provision.
- (8) A transfer scheme may provide— 5
- (a) for modifications by agreement;
  - (b) for modifications to have effect from the date when the original scheme came into effect.
- (9) In subsection (7)(f), “the TUPE regulations” means the Transfer of Undertakings (Protection of Employment) Regulations 2006 (S.I. 2006/246). 10
- (10) In this section—
- (a) references to rights and liabilities include rights and liabilities relating to a contract of employment;
  - (b) references to the transfer of property include the grant of a lease. 15

*Constitution: publication*

**14Z29 Duty for integrated care board to publish constitution**

Each integrated care board must publish its constitution (as varied from time to time by order under section 14Z25 or under provision included in its constitution by virtue of paragraph 14 of Schedule 1B). 20

*Conflicts of interest*

**14Z30 Register of interests and management of conflicts of interests**

- (1) Each integrated care board must maintain one or more registers of the interests of— 25
- (a) members of the board,
  - (b) members of its committees or sub-committees, and
  - (c) its employees.
- (2) Each integrated care board must publish the registers maintained under subsection (1) or make arrangements to ensure that members of the public have access to the registers on request. 30
- (3) Each integrated care board must make arrangements to ensure—
- (a) that a person mentioned in subsection (1) declares any conflict or potential conflict of interest that the person has in relation to a decision to be made in the exercise of the commissioning functions of the integrated care board, 35
  - (b) that any such declaration is made as soon as practicable after the person becomes aware of the conflict or potential conflict and, in any event, within 28 days of the person becoming aware, and
  - (c) that any such declaration is included in the registers maintained under subsection (1). 40
- (4) Each integrated care board must make arrangements for managing conflicts and potential conflicts of interest in such a way as to ensure

that they do not, and do not appear to, affect the integrity of the board’s decision-making processes.

- (5) For the purposes of this section, the commissioning functions of an integrated care board are the functions of the board in arranging for the provision of services as part of the health service.” 5
- (3) In section 272 (orders, regulations, rules and directions), in subsection (1), before paragraph (a) insert –  
“(za) section 14Z25(2),”.
- (4) Schedule 2 inserts into the National Health Service Act 2006 a new Schedule 1B (integrated care boards: constitution etc) and contains a consequential amendment. 10

#### 14 People for whom integrated care boards have responsibility

- (1) The National Health Service Act 2006 is amended as follows.
- (2) After section 14Z30 (inserted by section 13 of this Act) insert –

*“People for whom integrated care board has responsibility* 15

##### 14Z31 People for whom integrated care board has responsibility

- (1) NHS England must from time to time publish rules for determining the group of people for whom each integrated care board has core responsibility.
- (2) The rules must ensure that the following are allocated to at least one group – 20
- (a) everyone who is provided with NHS primary medical services, and
- (b) everyone who is usually resident in England and is not provided with NHS primary medical services. 25
- (3) Regulations may create exceptions to subsection (2) in relation to people of a prescribed description (which may include a description framed by reference to the primary medical services with which the people are provided).
- (4) References in this Act to the group of people for whom an integrated care board has core responsibility are to be read in accordance with this section. 30
- (5) In this section, “NHS primary medical services” means services provided by a person, other than NHS England or an integrated care board, in pursuance of – 35
- (a) a general medical services contract to provide primary medical services of a prescribed description,
- (b) arrangements under section 83(2) for the provision of primary medical services of a prescribed description, or
- (c) section 92 arrangements for the provision of primary medical services of a prescribed description.” 40
- (3) In section 272 (orders, regulations, rules and directions) –
- (a) in subsection (1), after paragraph (za) (inserted by section 13 of this Act)

- insert –
- “(zb) section 14Z31(1),”;
- (b) in subsection (6), after paragraph (zb) insert –
- “(zba) regulations under section 14Z31(3),”.
- (4) The Secretary of State may by regulations – 5
- (a) substitute the following section for section 14Z31 of the National Health Service Act 2006 (as inserted by subsection (1) of this section) –
- “14Z31 People for whom integrated care board has responsibility**
- (1) References in this Act to the group of people for whom an integrated care board has core responsibility are to the people who usually reside in its area. 10
- (2) Regulations may create exceptions to subsection (1) in relation to people of a prescribed description.”,
- (b) repeal section 272(1)(zb) of that Act (as inserted by subsection (2) of this section), and 15
- (c) amend section 272(6)(zba) of that Act (as inserted by subsection (2) of this section), so as to substitute “14Z31(2)” for “14Z31(3)”.

*Integrated care boards: functions*

**15 Commissioning hospital and other health services**

For sections 3 and 3A of the National Health Service Act 2006 substitute – 20

**“3 Duties of integrated care boards as to commissioning certain health services**

- (1) An integrated care board must arrange for the provision of the following to such extent as it considers necessary to meet the reasonable requirements of the people for whom it has responsibility – 25
- (a) hospital accommodation,
- (b) other accommodation for the purpose of any service provided under this Act,
- (c) medical services other than primary medical services (for primary medical services, see Part 4), 30
- (d) dental services other than primary dental services (for primary dental services, see Part 5),
- (e) ophthalmic services other than primary ophthalmic services (for primary ophthalmic services, see Part 6),
- (f) nursing and ambulance services, 35
- (g) such other services or facilities for the care of pregnant women, women who are breastfeeding and young children as the board considers are appropriate as part of the health service,
- (h) such other services or facilities for the prevention of illness, the care of persons suffering from illness and the after-care of persons who have suffered from illness as the board considers are appropriate as part of the health service, and 40
- (i) such other services or facilities as are required for the diagnosis and treatment of illness.

(2)	For the purposes of this section an integrated care board has responsibility for—	
(a)	the group of people for whom it has core responsibility (see section 14Z31), and	
(b)	such other people as may be prescribed (whether generally or in relation to a prescribed service or facility).	5
(3)	The duty imposed on an integrated care board by subsection (1) to arrange for the provision of services or facilities does not apply to the extent that—	
(a)	NHS England has a duty to arrange for their provision;	10
(b)	another integrated care board has a duty to arrange for their provision by virtue of subsection (2)(b).	
(4)	In exercising its functions under this section, an integrated care board must act consistently with—	
(a)	the discharge by the Secretary of State and NHS England of their duty under section 1(1) (duty to promote a comprehensive health service), and	15
(b)	the objectives and requirements for the time being specified in the mandate published under section 13A.	
<b>3A</b>	<b>Power of integrated care boards to commission certain health services</b>	<b>20</b>
(1)	Each integrated care board may arrange for the provision of such services or facilities as it considers appropriate for the purposes of the health service that relate to securing improvement—	
(a)	in the physical and mental health of the people for whom it has responsibility, or	25
(b)	in the prevention, diagnosis and treatment of illness in those people.	
(2)	For the purposes of this section an integrated care board has responsibility for—	
(a)	the group of people for whom it has core responsibility (see section 14Z31), and	30
(b)	such other people as may be prescribed (whether generally or in relation to a prescribed service or facility).	
(3)	An integrated care board may not arrange for the provision of a service or facility under subsection (1) if NHS England has a duty to arrange for its provision by virtue of section 3B or 4.	35
(4)	In exercising its functions under this section, an integrated care board must act consistently with—	
(a)	the discharge by the Secretary of State and NHS England of their duty under section 1(1) (duty to promote a comprehensive health service), and	40
(b)	the objectives and requirements for the time being specified in the mandate published under section 13A.”	
<b>16</b>	<b>Commissioning primary care services etc</b>	
	Schedule 3 confers functions on integrated care boards in relation to primary care services and contains other amendments relating to primary care services.	45

## 17 Transfer schemes in connection with transfer of primary care functions

- (1) NHS England may, in connection with the amendments made by Schedule 3, make one or more schemes for the transfer of its property, rights and liabilities to an integrated care board.
- (2) The things that may be transferred under a transfer scheme include – 5
  - (a) property, rights and liabilities that could not otherwise be transferred;
  - (b) property acquired, and rights and liabilities arising, after the making of the scheme;
  - (c) criminal liabilities.
- (3) A transfer scheme may – 10
  - (a) create rights, or impose liabilities, in relation to property or rights transferred;
  - (b) make provision about the continuing effect of things done by, on behalf of or in relation to the transferor in respect of anything transferred;
  - (c) make provision about the continuation of things (including legal proceedings) in the process of being done by, on behalf of or in relation to the transferor in respect of anything transferred; 15
  - (d) make provision for references to the transferor in an instrument or other document in respect of anything transferred to be treated as references to the transferee; 20
  - (e) make provision for the shared ownership or use of property;
  - (f) make provision which is the same as or similar to the TUPE regulations;
  - (g) make other consequential, supplementary, incidental or transitional provision.
- (4) A transfer scheme may provide – 25
  - (a) for modifications by agreement;
  - (b) for modifications to have effect from the date when the original scheme came into effect.
- (5) In subsection (3)(f), “the TUPE regulations” means the Transfer of Undertakings (Protection of Employment) Regulations 2006 (S.I. 2006/246). 30
- (6) For the purposes of this section –
  - (a) references to rights and liabilities include rights and liabilities relating to a contract of employment;
  - (b) references to the transfer of property include the grant of a lease.

## 18 Commissioning arrangements: conferral of discretions 35

In section 12ZA of the National Health Service Act 2006 (commissioning arrangements by NHS England and integrated care boards), after subsection (2) insert –

- “(2A) The arrangements may confer discretions on a person with whom they are made in relation to anything to be provided under the arrangements.” 40

## 19 General functions

- (1) The National Health Service Act 2006 is amended as follows.

- (2) After section 14Z31 (inserted by section 14 of this Act) insert –

*“General duties of integrated care boards*

**14Z32 Duty to promote NHS Constitution**

- (1) Each integrated care board must, in the exercise of its functions –
- (a) act with a view to securing that health services are provided in a way which promotes the NHS Constitution, and 5
  - (b) promote awareness of the NHS Constitution among patients, staff and members of the public.
- (2) In this section, “patients” and “staff” have the same meaning as in Chapter 1 of Part 1 of the Health Act 2009 (see section 3(7) of that Act). 10

**14Z33 Duty as to effectiveness, efficiency etc**

Each integrated care board must exercise its functions effectively, efficiently and economically.

**14Z34 Duty as to improvement in quality of services**

- (1) Each integrated care board must exercise its functions with a view to securing continuous improvement in the quality of services provided to individuals for or in connection with the prevention, diagnosis or treatment of illness. 15
- (2) In discharging its duty under subsection (1), an integrated care board must, in particular, act with a view to securing continuous improvement in the outcomes that are achieved from the provision of the services. 20
- (3) The outcomes relevant for the purposes of subsection (2) include, in particular, outcomes which show –
- (a) the effectiveness of the services, 25
  - (b) the safety of the services, and
  - (c) the quality of the experience undergone by patients.

**14Z35 Duties as to reducing inequalities**

Each integrated care board must, in the exercise of its functions, have regard to the need to –

- (a) reduce inequalities between patients with respect to their ability to access health services, and 30
- (b) reduce inequalities between patients with respect to the outcomes achieved for them by the provision of health services.

**14Z36 Duty to promote involvement of each patient** 35

Each integrated care board must, in the exercise of its functions, promote the involvement of patients, and their carers and representatives (if any), in decisions which relate to –

- (a) the prevention or diagnosis of illness in the patients, or
- (b) their care or treatment. 40

**14Z37 Duty as to patient choice**

Each integrated care board must, in the exercise of its functions, act with a view to enabling patients to make choices with respect to aspects of health services provided to them.

**14Z38 Duty to obtain appropriate advice**

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Each integrated care board must obtain advice appropriate for enabling it effectively to discharge its functions from persons who (taken together) have a broad range of professional expertise in –

- (a) the prevention, diagnosis or treatment of illness, and
- (b) the protection or improvement of public health.

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**14Z39 Duty to promote innovation**

Each integrated care board must, in the exercise of its functions, promote innovation in the provision of health services (including innovation in the arrangements made for their provision).

**14Z40 Duty in respect of research**

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Each integrated care board must, in the exercise of its functions, promote –

- (a) research on matters relevant to the health service, and
- (b) the use in the health service of evidence obtained from research.

**14Z41 Duty to promote education and training**

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Each integrated care board must, in exercising its functions, have regard to the need to promote education and training for the persons mentioned in section 1F(1) so as to assist the Secretary of State and Health Education England in the discharge of the duty under that section.

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**14Z42 Duty to promote integration**

- (1) Each integrated care board must exercise its functions with a view to securing that health services are provided in an integrated way where it considers that this would –

- (a) improve the quality of those services (including the outcomes that are achieved from their provision),
- (b) reduce inequalities between persons with respect to their ability to access those services, or
- (c) reduce inequalities between persons with respect to the outcomes achieved for them by the provision of those services.

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- (2) Each integrated care board must exercise its functions with a view to securing that the provision of health services is integrated with the provision of health-related services or social care services where it considers that this would –

- (a) improve the quality of the health services (including the outcomes that are achieved from the provision of those services),
- (b) reduce inequalities between persons with respect to their ability to access those services, or

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- (c) reduce inequalities between persons with respect to the outcomes achieved for them by the provision of those services.
- (3) In this section –
- “health-related services” means services that may have an effect on the health of individuals but are not health services or social care services; 5
  - “social care services” means services that are provided in pursuance of the social services functions of local authorities (within the meaning of the Local Authority Social Services Act 1970 or for the purposes of the Social Services and Well-being (Wales) Act 2014). 10
- (4) For the purposes of this section, the provision of housing accommodation is a health-related service.
- 14Z43 Duty to have regard to wider effect of decisions**
- (1) In making a decision about the exercise of its functions, an integrated care board must have regard to all likely effects of the decision in relation to – 15
- (a) the health and well-being of the people of England;
  - (b) the quality of services provided to individuals – 20
    - (i) by relevant bodies, or
    - (ii) in pursuance of arrangements made by relevant bodies, for or in connection with the prevention, diagnosis or treatment of illness, as part of the health service in England;
  - (c) efficiency and sustainability in relation to the use of resources by relevant bodies for the purposes of the health service in England. 25
- (2) The reference in subsection (1) to a decision does not include a reference to a decision about the services to be provided to a particular individual for or in connection with the prevention, diagnosis or treatment of illness. 30
- (3) In discharging the duty under this section, integrated care boards must have regard to guidance published by NHS England under section 13NB.
- (4) In this section “relevant bodies” means – 35
- (a) NHS England,
  - (b) integrated care boards,
  - (c) NHS trusts established under section 25, and
  - (d) NHS foundation trusts.

*Involvement of the public*

- 14Z44 Public involvement and consultation by integrated care boards** 40
- (1) This section applies in relation to any health services which are, or are to be, provided pursuant to arrangements made by an integrated care board in the exercise of its functions (“commissioning arrangements”).
- (2) The integrated care board must make arrangements to secure that individuals to whom the services are being or may be provided, and 45



their carers and representatives (if any), are involved (whether by being consulted or provided with information or in other ways) –

- (a) in the planning of the commissioning arrangements by the integrated care board,
  - (b) in the development and consideration of proposals by the integrated care board for changes in the commissioning arrangements where the implementation of the proposals would have an impact on –
    - (i) the manner in which the services are delivered to the individuals (at the point when the service is received by them), or
    - (ii) the range of health services available to them, and
  - (c) in decisions of the integrated care board affecting the operation of the commissioning arrangements where the implementation of the decisions would (if made) have such an impact.
- (3) This section does not require an integrated care board to make arrangements in relation to matters to which a trust special administrator’s draft or final report under section 65F or 65I relates before –
- (a) in a case where the administrator’s report relates to an NHS trust, NHS England and the Secretary of State have made their decisions under section 65K(1) and (2), or
  - (b) in a case where the administrator’s report relates to an NHS foundation trust, the Secretary of State is satisfied as mentioned in section 65KB(1) or 65KD(1) or makes a decision under section 65KD(9).

*Joint exercise of functions with Local Health Boards*

**14Z45 Joint exercise of functions with Local Health Boards**

- (1) Regulations may provide for any prescribed functions of an integrated care board to be exercised jointly with a Local Health Board.
- (2) The regulations may permit or require any functions that are exercisable jointly by an integrated care board and a Local Health Board by virtue of the regulations to be exercised by a joint committee of those bodies.
- (3) Arrangements made by virtue of this section do not affect the liability of an integrated care board for the exercise of any of its functions.

*Additional powers of integrated care boards*

**14Z46 Raising additional income**

- (1) An integrated care board has power to do anything specified in section 7(2)(a), (b) and (e) to (h) of the Health and Medicines Act 1988 (provision of goods etc) for the purpose of making additional income available for improving the health service.
- (2) An integrated care board may exercise a power conferred by subsection (1) only to the extent that its exercise does not to any significant extent interfere with the exercise by the board of its other functions.

**14Z47 Power to make grants**

- (1) *An integrated care board may make payments –*
- (a) *by way of grant to any of its partner NHS trusts or NHS foundation trusts;*
  - (b) *by way of grant or loan to a voluntary organisation which provides or arranges for the provision of services which are similar to the services in respect of which the integrated care board has functions.* 5
- (2) The payments may be made subject to such terms as the integrated care board considers appropriate.
- (3) For the purposes of this Act an NHS trust or NHS foundation trust is a “partner” of an integrated care board if the trust – 10
- (a) provides services for the purposes of the health service within the integrated care board’s area, and
  - (b) has the function, under the integrated care board’s constitution, of participating in the nomination of members as a result of falling within a description prescribed for the purposes paragraph 7(2)(a) of Schedule 1B. 15

*NHS England’s functions in relation to integrated care boards***14Z48 Responsibility for payments to providers**

- (1) NHS England may publish a document specifying – 20
- (a) circumstances in which an integrated care board is liable to make a payment to a person in respect of services provided by that person in pursuance of arrangements made by another integrated care board in the discharge of commissioning functions, and 25
  - (b) how the amount of any such payment is to be determined.
- (2) An integrated care board is required to make payments in accordance with any document published under subsection (1).
- (3) Where an integrated care board is required to make a payment by virtue of subsection (2), no other integrated care board is liable to make it. 30
- (4) Accordingly, any obligation of another integrated care board to make the payment ceases to have effect.
- (5) Any sums payable by virtue of subsection (2) may be recovered summarily as a civil debt (but this does not affect any other method of recovery). 35
- (6) NHS England may publish guidance for integrated care boards for the purpose of assisting them in understanding and applying any document published under subsection (1).
- (7) In this section “commissioning functions” means the functions of integrated care boards in arranging for the provision of services as part of the health service. 40

### **14Z49 Guidance by NHS England**

- (1) NHS England must publish guidance for integrated care boards on the discharge of their functions.
- (2) Each integrated care board must have regard to guidance under this section. 5

#### *Forward planning and reports*

### **14Z50 Joint forward plans for integrated care board and its partners**

- (1) Before the start of each financial year, an integrated care board and its partner NHS trusts and NHS foundation trusts must prepare a plan setting out how they propose to exercise their functions in the next five years. 10
- (2) The plan must, in particular –
  - (a) explain how the integrated care board proposes to discharge its duties under –
    - section 14Z34 (improvement in quality of services), 15
    - section 14Z35 (reducing inequalities),
    - section 14Z43 (duty to have regard to effect of decisions),
    - section 14Z44 (public involvement and consultation),
    - and 20
    - sections 223GB to 223N (financial duties);
  - (b) set out any steps that the integrated care board proposes to take to implement any joint local health and wellbeing strategy to which it is required to have regard under section 116B(1) of the Local Government and Public Involvement in Health Act 2007. 25
- (3) The integrated care board and its partner NHS trusts and NHS foundation trusts must publish the plan.
- (4) The integrated care board and its partner NHS trusts and NHS foundation trusts must give a copy of the plan to –
  - (a) the integrated care partnership for the board’s area, 30
  - (b) each relevant Health and Wellbeing Board, and
  - (c) NHS England.
- (5) NHS England may give a direction as to the date by which subsection (4) must be complied with.
- (6) An integrated care board and its partner NHS trusts and NHS foundation trusts must have regard to the plan under subsection (1). 35
- (7) In this Chapter “relevant Health and Wellbeing Board”, in relation to an integrated care board (or an integrated care board and its partner NHS trusts and NHS foundation trusts), means a Health and Wellbeing Board established by a local authority whose area coincides with, or includes the whole or any part of, the area of the integrated care board. 40
- (8) In this Act “financial year”, in relation to an integrated care board, means –

- (a) the period beginning with the date on which the integrated care board is established and ending with the 31 March following that date, and
- (b) each successive period of twelve months.

#### **14Z51 Revision of forward plans** 5

- (1) An integrated care board and its partner NHS trusts and NHS foundation trusts may revise a plan published under section 14Z50.
- (2) If the integrated care board and its partner NHS trusts and NHS foundation trusts revise the plan in a way that they consider to be significant, section 14Z50(3) and (4) apply in relation to the revised plan as they applied in relation to the original plan. 10
- (3) If the integrated care board and its partner NHS trusts and NHS foundation trusts revise the plan in any other way they must –
  - (a) publish a document setting out the changes, and
  - (b) give a copy of the document to – 15
    - (i) the integrated care partnership for the board’s area,
    - (ii) each relevant Health and Wellbeing Board, and
    - (iii) NHS England.

#### **14Z52 Consultation about forward plans**

- (1) This section applies where an integrated care board and its partner NHS trusts and NHS foundation trusts are – 20
  - (a) preparing a plan under section 14Z50, or
  - (b) revising a plan under section 14Z51 in a way that they consider to be significant.
- (2) The integrated care board and its partner NHS trusts and NHS foundation trusts must consult – 25
  - (a) the group of people for whom the integrated care board has core responsibility, and
  - (b) any other persons they consider it appropriate to consult.
- (3) The integrated care board and its partner NHS trusts and NHS foundation trusts must involve each relevant Health and Wellbeing Board in preparing or revising the plan. 30
- (4) The integrated care board and its partner NHS trusts and NHS foundation trusts must, in particular –
  - (a) give each relevant Health and Wellbeing Board a draft of the plan or (as the case may be) the plan as revised, and 35
  - (b) consult each relevant Health and Wellbeing Board on whether the draft takes proper account of each joint local health and wellbeing strategy published by it which relates to the period (or any part of the period) to which the plan relates. 40
- (5) Where a Health and Wellbeing Board is consulted under subsection (4)(b) –
  - (a) it must respond with its opinion on the matter mentioned there;
  - (b) it may also give that opinion to NHS England.

- (6) Where a Health and Wellbeing Board gives its opinion to NHS England under subsection (5)(b) it must inform the integrated care board and its partner NHS trusts and NHS foundation trusts that it has done so (unless it informed them, in advance, that it was planning to do so).
- (7) If an integrated care board and its partner NHS trusts and NHS foundation trusts revise or further revise a draft after it has been given to each relevant Health and Wellbeing Board under subsection (4), subsections (4) and (5) apply in relation to the revised draft as they applied in relation to the original draft. 5
- (8) An integrated care board and its partner NHS trusts and NHS foundation trusts must include in a plan published under section 14Z50(3) – 10
  - (a) a summary of the views expressed by anyone consulted under subsection (2),
  - (b) an explanation of how they took account of those views, and 15
  - (c) a statement of the final opinion of each relevant Health and Wellbeing Board consulted in relation to the plan under subsection (4).
- (9) In this section, “joint local health and wellbeing strategy” means a strategy under section 116A of the Local Government and Public Involvement in Health Act 2007. 20

#### **14Z53 Opinion of Health and Wellbeing Boards on forward plan**

- (1) A relevant Health and Wellbeing Board –
  - (a) may give NHS England its opinion on whether a plan published by an integrated care board and its partner NHS trusts and NHS foundation trusts under section 14Z50(3) takes proper account of each joint local health and wellbeing strategy published by the Health and Wellbeing Board which relates to the period (or any part of the period) to which the plan relates, and 25
  - (b) if it does so, must give the integrated care board and its partner NHS trusts and NHS foundation trusts a copy of its opinion. 30
- (2) In this section, “joint local health and wellbeing strategy” has the same meaning as in section 14Z52(9).

#### **14Z54 Joint capital resource use plan for integrated care board and its partners**

- (1) Before the start of each financial year, an integrated care board and its partner NHS trusts and NHS foundation trusts must prepare a plan setting out their planned capital resource use. 35
- (2) The plan must relate to such period as may be specified in a direction by the Secretary of State. 40
- (3) The Secretary of State must publish any direction under subsection (2).
- (4) The integrated care board and its partner NHS trusts and NHS foundation trusts must publish the plan.
- (5) The integrated care board and its partner NHS trusts and NHS foundation trusts must give a copy of the plan to – 45
  - (a) the integrated care partnership for the board’s area,

- (b) each relevant Health and Wellbeing Board, and
  - (c) NHS England.
- (6) NHS England may give a direction as to the date by which subsection (5) must be complied with.
- (7) NHS England may publish guidance about the discharge by an integrated care board and its partner NHS trusts and NHS foundation trusts of their functions under this section. 5
- (8) An integrated care board and its partner NHS trusts and NHS foundation trusts must have regard to any guidance published under subsection (7). 10
- (9) NHS England may give directions, in relation to a financial year –
- (a) specifying descriptions of resources which must, or must not, be treated as capital resources for the purposes of this section;
  - (b) specifying uses of capital resources which must, or must not, be taken into account for the purposes of this section. 15
- (10) The reference in subsection (1) to the use of capital resources is a reference to its expenditure, consumption or reduction in value.

#### **14Z55 Revision of joint capital resource use plans**

- (1) An integrated care board and its partner NHS trusts and NHS foundation trusts may revise a plan published under section 14Z54. 20
- (2) If the integrated care board and its partner NHS trusts and NHS foundation trusts revise the plan in a way that they consider to be significant, section 14Z54(4) and (5) apply in relation to the revised plan as they applied in relation to the original plan.
- (3) If the integrated care board and its partner NHS trusts and NHS foundation trusts revise the plan in any other way, they must – 25
- (a) publish a document setting out the changes, and
  - (b) give a copy of the document to –
    - (i) the integrated care partnership for the board’s area,
    - (ii) each relevant Health and Wellbeing Board, and 30
    - (iii) NHS England.

#### **14Z56 Annual report**

- (1) An integrated care board must, in each financial year, prepare a report (an “annual report”) on how it has discharged its functions in the previous financial year. 35
- (2) An annual report must, in particular –
- (a) explain how the integrated care board has discharged its duties under –
    - section 14Z34 (improvement in quality of services),
    - section 14Z35 (reducing inequalities), 40
    - section 14Z43 (duty to have regard to effect of decisions), and
    - section 14Z44 (public involvement and consultation),
  - (b) review the extent to which the board has exercised its functions in accordance with the plans published under – 45

- section 14Z50 (forward plan), and  
section 14Z54 (capital resource use plan), and
- (c) review any steps that the board has taken to implement any joint local health and wellbeing strategy to which it was required to have regard under section 116B(1) of the Local Government and Public Involvement in Health Act 2007. 5
- (3) In undertaking the review required by subsection (2)(c), an integrated care board must consult each relevant Health and Wellbeing Board.
- (4) NHS England may give directions to integrated care boards as to the form and content of an annual report. 10
- (5) An integrated care board must—
- (a) give a copy of its annual report to NHS England before the date specified by NHS England in a direction, and
- (b) publish a copy of the annual report.

*Performance assessment of integrated care boards* 15

**14Z57 Performance assessment of integrated care boards**

- (1) NHS England must conduct a performance assessment of each integrated care board in respect of each financial year.
- (2) A performance assessment is an assessment of how well the integrated care board has discharged its functions during that year. 20
- (3) The assessment must, in particular, include an assessment of how well the integrated care board has discharged its duties under—
- (a) section 14Z34 (improvement in quality of services),
- (b) section 14Z35 (reducing inequalities),
- (c) section 14Z38 (obtaining appropriate advice), 25
- (d) section 14Z43 (duty to have regard to effect of decisions),
- (e) section 14Z44 (public involvement and consultation),
- (f) sections 223GB to 223N (financial duties), and
- (g) section 116B(1) of the Local Government and Public Involvement in Health Act 2007 (duty to have regard to assessments and strategies). 30
- (4) In conducting a performance assessment, NHS England must consult each relevant Health and Wellbeing Board as to its views on any steps that the board has taken to implement any joint local health and wellbeing strategy to which the board was required to have regard under section 116B(1) of that Act of 2007. 35
- (5) In conducting a performance assessment, NHS England must, in particular, have regard to—
- (a) any guidance published by the Secretary of State for the purposes of this section, and 40
- (b) any guidance published under section 14Z49.
- (6) NHS England must publish a report in respect of each financial year containing a summary of the results of each performance assessment conducted by NHS England in respect of that year.

*Power of NHS England to obtain information***14Z58 Power of NHS England to obtain information**

- (1) NHS England may require an integrated care board to provide NHS England with information.
- (2) The information must be provided in such form, and at such time or within such period, as NHS England may require. 5

*Intervention powers***14Z59 Power to give directions to integrated care boards**

- (1) This section applies if NHS England is satisfied that –
  - (a) an integrated care board is failing or has failed to discharge any of its functions, or 10
  - (b) there is a significant risk that an integrated care board will fail to do so.
- (2) NHS England may direct the integrated care board to discharge such of those functions in such manner and within such period or periods as may be specified in the direction. 15
- (3) NHS England may direct –
  - (a) the integrated care board, or
  - (b) the chief executive of the integrated care board,
 to cease to perform any functions for such period or periods as may be specified in the direction. 20
- (4) NHS England may –
  - (a) terminate the appointment of the integrated care board’s chief executive, and
  - (b) direct the chair of the board as to which individual to appoint as a replacement and on what terms. 25
- (5) Where a direction is given under subsection (3)(a) NHS England may –
  - (a) exercise, on behalf of the integrated care board, any of the functions that are the subject of the direction;
  - (b) direct another integrated care board to perform any of those functions on behalf of the integrated care board, in such manner and within such period or periods as may be specified in the direction. 30
- (6) A direction under subsection (5)(b) may include provision prohibiting or restricting the integrated care board from making delegation arrangements in relation to a function that is exercisable by it by virtue of the direction. 35
- (7) In subsection (6) “delegation arrangements” means arrangements made by a person for the exercise of a function by someone else.
- (8) Where a direction is given under subsection (3)(b) NHS England may –
  - (a) exercise, on behalf of the chief executive, any of the functions that are the subject of the direction;
  - (b) direct the chief executive of another integrated care board to perform any of those functions on behalf of the chief executive, 40



in such manner and within such period or periods as may be specified in the direction.

- (9) For the purposes of this section –
- (a) a failure to discharge a function includes a failure to discharge it properly, and 5
  - (b) a failure to discharge a function properly includes a failure to discharge it consistently with what NHS England considers to be the interests of the health service.

**14Z60 Section 14Z59 directions: consultation and cooperation**

- (1) Before exercising the power conferred by section 14Z59(5)(b) or (8)(b) NHS England must consult the integrated care board to which it is proposing to give the direction or to whose chief executive it is proposing to give the direction. 10
- (2) Where a direction is given under section 14Z59(3)(b) to the chief executive of an integrated care board, that board must co-operate with any chief executive to whom a direction is given under subsection (8)(b). 15

*Disclosure of information*

**14Z61 Permitted disclosures of information**

- (1) An integrated care board may disclose information obtained by it in the exercise of its functions if – 20
- (a) the information has previously been lawfully disclosed to the public,
  - (b) the disclosure is made under or pursuant to regulations under section 113 or 114 of the Health and Social Care (Community Health and Standards) Act 2003 (complaints about health care or social services), 25
  - (c) the disclosure is made in accordance with any enactment or court order,
  - (d) the disclosure is necessary or expedient for the purposes of protecting the welfare of any individual, 30
  - (e) the disclosure is made to any person in circumstances where it is necessary or expedient for the person to have the information for the purpose of exercising functions of that person under any enactment, 35
  - (f) the disclosure is made for the purpose of facilitating the exercise of any of the integrated care board’s functions,
  - (g) the disclosure is made in connection with the investigation of a criminal offence (whether or not in the United Kingdom), or
  - (h) the disclosure is made for the purpose of criminal proceedings (whether or not in the United Kingdom). 40
- (2) Subsection (1)(a) to (c) and (h) have effect notwithstanding any rule of common law which would otherwise prohibit or restrict the disclosure.

*Interpretation***14Z62 Interpretation**

In this Chapter –

- “the health service” means the health service in England;
  - “health services” means services provided as part of the health service; 5
  - “integrated care partnership” has the meaning given by section 116ZA(1) of the Local Government and Public Involvement in Health Act 2007;
  - “relevant Health and Wellbeing Board”, in relation to an integrated care board, has the meaning given by section 14Z50(7).” 10
- (3) In section 48 (power to obtain information from NHS foundation trust) –
- (a) after subsection (1) insert –
    - “(1A) An integrated care board may require any of its partner NHS foundation trusts to provide it with any information that it requires.”; 15
  - (b) for subsection (2), substitute –
    - “(2) Information required under this section must be provided in such form, and at such time or within such period, as may be specified by the person imposing the requirement.” 20
- (4) In Schedule 4 (NHS trusts: constitution etc), in paragraph 13 –
- (a) the existing provision becomes sub-paragraph (1);
  - (b) after that sub-paragraph insert –
    - “(2) An integrated care board may require any of its partner NHS trusts to provide it with any information that it requires. 25
    - (3) Information required under sub-paragraph (2) must be provided in such form, and at such time or within such period, as may be specified by the integrated care board.”

*Integrated care partnerships* 30**20 Integrated care partnerships and strategies**

- (1) The Local Government and Public Involvement in Health Act 2007 is amended in accordance with subsections (2) to (6).
- (2) In section 104 (interpretation: partner authorities), in subsection (2), for paragraph (ja) substitute – 35
  - “(ja) an integrated care board;”.
- (3) In section 116 (health and social care: joint strategic needs assessments) –
  - (a) in subsection (4), for paragraph (b) substitute –
    - “(b) each of its partner integrated care boards;”;
  - (b) after subsection (5) insert – 40
    - “(5A) The responsible local authority must give a copy of each assessment of relevant needs prepared under this section to any

- integrated care partnership established under section 16ZA whose area coincides with or includes the whole or part of the area of the responsible local authority.”;
- (c) in subsections (6) and (7), for “clinical commissioning group”, in each place it occurs, substitute “integrated care board”; 5
- (d) in subsection (8), for “clinical commissioning groups” substitute “integrated care boards”;
- (e) in subsections (8A) and (9), for “clinical commissioning group”, in each place it occurs, substitute “integrated care board”.
- (4) After section 116 insert – 10
- “116ZA Integrated care partnerships**
- (1) An integrated care board and each responsible local authority whose area coincides with or falls wholly or partly within the board’s area must establish a joint committee for the board’s area (an “integrated care partnership”). 15
- (2) The integrated care partnership for an area is to consist of –
- (a) one member appointed by the integrated care board,
- (b) one member appointed by each of the responsible local authorities, and
- (c) any members appointed by the integrated care partnership. 20
- (3) An integrated care partnership may determine its own procedure (including quorum).
- 116ZB Integrated care strategies**
- (1) An integrated care partnership must prepare a strategy (an “integrated care strategy”) setting out how the assessed needs in relation to its area are to be met by the exercise of functions of – 25
- (a) the integrated care board for its area,
- (b) NHS England, or
- (c) the responsible local authorities whose areas coincide with or fall wholly or partly within its area. 30
- (2) In preparing a strategy under this section, an integrated care partnership must, in particular, consider the extent to which the needs could be met more effectively by the making of arrangements under section 75 of the National Health Service Act 2006 (rather than in any other way). 35
- (3) In preparing a strategy under this section, an integrated care partnership must have regard to –
- (a) the mandate published by the Secretary of State under section 13A of the National Health Service Act 2006, and
- (b) any guidance issued by the Secretary of State. 40
- (4) In preparing a strategy under this section, an integrated care partnership must –
- (a) involve the Local Healthwatch organisations whose areas coincide with or fall wholly or partly within its area, and
- (b) involve the people who live or work in that area. 45

- 
- (5) An integrated care partnership may include in a strategy under this section a statement of its views on how arrangements for the provision of health-related services in its area could be more closely integrated with arrangements for the provision of health services and social care services in that area. 5
- (6) Each time that an integrated care partnership receives an assessment of relevant needs under section 116(5A) it must –
- (a) consider whether the current integrated care strategy should be revised, and
  - (b) if so, prepare a revised integrated care strategy under subsection (1). 10
- (7) An integrated care partnership must –
- (a) publish each integrated care strategy, and
  - (b) give a copy of each integrated care strategy to –
    - (i) each responsible local authority whose area coincides with or falls wholly or partly within its area, and 15
    - (ii) each partner integrated care board of those responsible local authorities.
- (8) In this section –
- (a) “assessed needs”, in relation to the area of an integrated care partnership, means the needs assessed under section 116 in relation to the areas of the responsible local authorities so far as those needs relate to the integrated care partnership’s area; 20
  - (b) “partner integrated care board”, in relation to a responsible local authority, has the same meaning as in section 116; 25
  - (c) “health services”, “health-related services” and “social care services” have the same meaning as in section 195 of the Health and Social Care Act 2012.”
- (5) In section 116A (health and social care: joint health and wellbeing strategies) – 30
- (a) in the heading, after “joint” insert “local”;
  - (b) for subsections (1) and (2) substitute –
    - “(1) This section applies where a responsible local authority and each of its partner integrated care boards receive an integrated care strategy under section 116ZB(7)(b).
    - (2) The responsible local authority and each of its partner integrated care boards must prepare a strategy (“a joint local health and wellbeing strategy”) setting out how the assessed needs in relation to the responsible local authority’s area are to be met by the exercise of functions of –
      - (a) the responsible local authority, 40
      - (b) its partner integrated care boards, or
      - (c) NHS England.
    - (2A) But the responsible local authority and its partner integrated care boards need not prepare a new joint local health and wellbeing strategy if, having considered the integrated care strategy, they consider that the existing joint local health and wellbeing strategy is sufficient.”; 45
  - (c) in subsection (3) –

- (i) for “clinical commissioning groups” substitute “integrated care boards”;
    - (ii) after “the extent to which the” insert “assessed”;
  - (d) in subsection (4) –
    - (i) for “clinical commissioning groups” substitute “integrated care boards”;
    - (ii) before paragraph (a) insert –
      - “(za) the integrated care strategy prepared under section 116ZB,”;
  - (e) in subsections (5) and (7), for “clinical commissioning groups” substitute “integrated care boards”;
  - (f) in subsection (8), for paragraph (a) (including the “and” at the end) substitute –
    - “(a) “partner integrated care board”, in relation to a responsible local authority, has the same meaning as in section 116,
    - (aa) “assessed needs”, in relation to the area of a local authority, means the needs assessed in relation to its area under section 116, and”.
- (6) For section 116B substitute –
  - “116B Duty to have regard to assessments and strategies**
  - (1) A responsible local authority and each of its partner integrated care boards must, in exercising any functions, have regard to the following so far as relevant –
    - (a) any assessment of relevant needs prepared under section 116 in relation to the responsible local authority’s area,
    - (b) any integrated care strategy prepared under section 116ZB in relation to an area that coincides with or includes the whole or part of the responsible local authority’s area, and
    - (c) any joint local health and wellbeing strategy prepared under section 116A by the responsible local authority and its partner integrated care boards.
  - (2) NHS England must, in exercising any functions in arranging for the provision of health services in relation to the area of a responsible local authority, have regard to the following so far as relevant –
    - (a) any assessment of relevant needs prepared under section 116 in relation to that area, and
    - (b) any integrated care strategy prepared under section 116ZB in relation to an area that coincides with or includes the whole or part of that area,
    - (c) any joint local health and wellbeing strategy prepared under section 116A by the responsible local authority and its partner integrated care boards.”
- (7) In the following provisions after “joint” insert “local” –
  - (a) section 17(6)(g) and (h) of the National Health Service (Wales) Act 2006;
  - (b) sections 26(7) and 27(4) of the Children and Families Act 2014.

*Integrated care system: financial controls***21 NHS England’s financial responsibilities**

For sections 223C to 223E of the National Health Service Act 2006 substitute –

**“223C Financial duties of NHS England: expenditure**

- |   |    |
|---|----|
| (1) NHS England must exercise its functions with a view to ensuring that expenditure incurred by the following bodies in a financial year (taken together) does not exceed the aggregate of any sums received by them in the year – | 5  |
| (a) NHS England;  |    |
| (b) integrated care boards.   | 10 |
| (2) The Secretary of State may by direction –   |    |
| (a) specify descriptions of expenditure that are, or are not, to be treated for the purposes of this section as expenditure incurred by a body, or expenditure incurred by it in a particular financial year;                       | 15 |
| (b) specify descriptions of sums that are, or are not, to be treated for the purposes of this section as having been received by a body, or as having been received by it in a particular financial year;                           |    |
| (c) provide for sums received by NHS England under section 223B in a year but not spent to be treated for the purposes of this section as expenditure incurred by it in a particular financial year;                                | 20 |
| (d) provide for sums received by an integrated care board under section 223G in a year but not spent to be treated for the purposes of this section as expenditure incurred by it in a particular financial year.                   | 25 |
| (3) For the purposes of this section any sum allotted to NHS England for a year under section 223B is to be treated as received by it in that year (subject to any direction under subsection (2)(b)).                              |    |

**223CA NHS England: banking facilities** 30

The Secretary of State may by direction require NHS England to use banking facilities specified in the direction for any purposes so specified.

**223D Financial duties of NHS England: controls on total resource use**

- |   |    |
|---|----|
| (1) NHS England must exercise its functions with a view to ensuring that, in respect of each financial year –   | 35 |
| (a) total capital resource use does not exceed the limit specified in a direction by the Secretary of State;  |    |
| (b) total revenue resource use does not exceed the limit specified in a direction by the Secretary of State   | 40 |
| (2) In subsection (1) “total capital resource use” and “total revenue resource use” means the use of capital resources or (as the case may be) revenue resources by relevant NHS bodies, other than use that consists of the transfer of resources between relevant NHS bodies. |    |
| (3) In subsection (2) “relevant NHS bodies” means –   | 45 |

- (a) NHS England,
  - (b) integrated care boards,
  - (c) NHS trusts established under section 25, and
  - (d) NHS foundation trusts.
- (4) A direction under subsection (1)(a) or (b) specifying a limit in relation to a financial year may be varied by a subsequent direction only if – 5
- (a) NHS England agrees to the change,
  - (b) a parliamentary general election takes place, or
  - (c) the Secretary of State considers that there are exceptional circumstances which make the variation necessary. 10
- (5) The Secretary of State must publish and lay before Parliament any directions under this section.
- (6) Any reference in this Chapter to the use of capital resources or revenue resources is a reference to their expenditure, consumption or reduction in value. 15
- 223E Financial duties of NHS England: additional controls on resource use**
- (1) The Secretary of State may direct NHS England to ensure –
- (a) that relevant capital resource in a financial year which is attributable to matters specified in the direction does not exceed an amount so specified; 20
  - (b) that relevant revenue resource use in a financial year which is attributable to matters specified in the direction does not exceed an amount so specified.
- (2) In subsection (1) “relevant capital resource use” and “relevant revenue resource use” means the use of capital resources or (as the case may be) revenue resources by NHS England and integrated care boards. 25
- (3) The Secretary of State may direct NHS England to ensure that NHS England’s use of revenue resources in a financial year which is attributable to such matters relating to administration as are specified in the direction does not exceed an amount so specified.” 30
- 22 Expansion of NHS England’s duties in respect of expenditure**
- In section 223C of the National Health Service Act 2006 (as substituted by section 21 of this Act), in subsection (1), after paragraph (b) insert –
- “(c) NHS trusts established under section 25;
  - (d) NHS foundation trusts.” 35
- 23 Financial responsibilities of integrated care boards and their partners**
- (1) The National Health Service Act 2006 is amended as follows.

- (2) For the italic heading before section 223G substitute –

*“Integrated care boards”.*

- (3) After section 223GA insert –

**“223GB Power to impose financial requirements on integrated care boards**

- (1) NHS England may give integrated care boards directions about their management or use of financial or other resources. 5
- (2) The directions that may be given include a direction imposing limits on expenditure or resource use by integrated care boards.
- (3) NHS England must publish any directions under this section.

**223GC Financial duties of integrated care boards: expenditure limits 10**

- (1) An integrated care board must exercise its functions with a view to ensuring that expenditure incurred by the board in a financial year does not exceed the sums received by it in that year.
- (2) NHS England may by direction –
- (a) specify descriptions of expenditure that are, or are not, to be treated for the purposes of this section as expenditure incurred by an integrated care board, or expenditure incurred by it in a particular financial year; 15
- (b) specify descriptions of sums that are, or are not, to be treated for the purposes of this section as having been received by an integrated care board, or as having been received by it in a particular financial year; 20
- (c) provide for sums received by an integrated care board under section 223G in a year but not spent to be treated for the purposes of this section as expenditure incurred by it in a particular financial year. 25
- (3) For the purposes of this section any sum allotted to an integrated care board for a year under section 223G is to be treated as received by it in that year (subject to any direction under subsection (2)(b)).

**223GD Integrated care boards: banking facilities 30**

The Secretary of State may give integrated care boards directions requiring them to use specified banking facilities for any specified purposes.”

- (4) Omit sections 223H to 223J (financial duties of clinical commissioning groups).
- (5) After section 223K insert – 35

*“Joint duties of an integrated care board and its partner NHS trusts and NHS foundation trusts*

**223L Joint financial objectives for integrated care boards etc**

- (1) NHS England may set joint financial objectives for integrated care boards and their partner NHS trusts and NHS foundation trusts. 40



- (2) An integrated care board and its partner NHS trusts and NHS foundation trusts must seek to achieve any financial objectives set under this section.
- (3) Financial objectives under this section may apply to—
  - (a) integrated care boards and their partner NHS trusts and NHS foundation trusts generally, 5
  - (b) a particular integrated care board and its partner NHS trusts and NHS foundation trusts, or
  - (c) an integrated care board of a particular description and its partner NHS trusts and NHS foundation trusts. 10

**223M Financial duties of integrated care boards etc: use of resources**

- (1) Each integrated care board and its partner NHS trusts and NHS foundation trusts must exercise their functions with a view to ensuring that, in respect of each financial year—
  - (a) local capital resource use does not exceed the limit specified in a direction by NHS England; 15
  - (b) local revenue resource use does not exceed the limit specified in a direction by NHS England.
- (2) In this section “local capital resource use” and “local revenue resource use” means the use of capital resources or (as the case may be) revenue resources by the integrated care board and its partner NHS trusts and NHS foundation trusts, other than use that consists of the transfer of resources between those bodies. 20
- (3) Where an NHS trust or NHS foundation trust is the partner of more than one integrated care board, its use of capital resources or revenue resources is to be apportioned for the purposes of this section to one or more of the integrated care boards in such manner as may be provided for in a direction by NHS England. 25
- (4) NHS England may by direction make provision for determining to which integrated care board, NHS trust or NHS foundation trust a use of capital resources or revenue resources is to be attributed for the purposes of this section. 30

**223N Financial duties of integrated care boards etc: additional controls on resource use**

- (1) NHS England may direct an integrated care board and its partner NHS trusts and NHS foundation trusts to exercise their functions with a view to—
  - (a) ensuring that local capital resource use in a financial year which is attributable to matters specified in the direction does not exceed an amount so specified; 40
  - (b) ensuring that local revenue resource use in a financial year which is attributable to matters specified in the direction does not exceed an amount so specified.
- (2) A direction under subsection (1) may—
  - (a) specify descriptions of resources which must, or must not, be treated as local capital resources or local revenue resources for the purposes of the direction; 45

- (b) specify uses of local capital resources or local revenue resources which must, or must not, be taken into account for the purposes of the direction.
- (3) Any directions given under section 223M(3) or (4) apply for the purposes of this section as they apply for the purposes of section 223M. 5
- (4) In this section “local capital resource use” and “local revenue resource use” have the meaning given by section 223M(2).

*Directions about resources etc to be taken into account*

**223O Resources etc relevant to section 223D, 223E or 223M**

- The Secretary of State may give directions, in relation to a financial year – 10
- (a) specifying descriptions of resources which must, or must not, be treated as capital resources or revenue resources for the purposes of section 223D, 223E or 223M;
  - (b) specifying uses of capital resources or revenue resources which must, or must not, be taken into account for the purposes of section 223D, 223E or 223M.” 15

**24 Expansion of financial duties of integrated care boards and their partners**

- (1) The National Health Service Act 2006 is amended as follows.
- (2) Omit section 223GC (inserted by section 23 of this Act). 20
- (3) After section 223L (inserted by section 23 of this Act) insert –

**“223LA Financial duties of integrated care boards etc: expenditure limits**

- (1) An integrated care board and its partner NHS trusts and NHS foundation trusts must exercise their functions with a view to ensuring that their expenditure in a financial year (taken together) does not exceed the aggregate of any sums received by them in the year. 25
- (2) Where an NHS trust or NHS foundation trust is the partner of more than one integrated care board its receipts and expenditure are to be apportioned for the purposes of this section to one or more of the integrated care boards in such manner as may be provided for in a direction by NHS England. 30
- (3) NHS England may by direction –
  - (a) specify descriptions of expenditure that are, or are not, to be treated for the purposes of this section as expenditure incurred by a body, or expenditure incurred by it in a particular financial year; 35
  - (b) specify descriptions of sums that are, or are not, to be treated for the purposes of this section as having been received by a body, or as having been received by it in a particular financial year;
  - (c) provide for sums received by an integrated care board under section 223G in a year but not spent to be treated for the purposes of this section as expenditure by it in a particular financial year. 40

- (4) For the purposes of this section any sum allotted to an integrated care board for a year under section 223G is to be treated as received by it in that year (subject to any direction under subsection (3)(b)).”

*Integrated care system: reviews and further amendments*

<b>25</b>	<b>Care Quality Commission reviews etc of integrated care system</b>	<b>5</b>
(1)	Chapter 3 of Part 1 of the Health and Social Care Act 2008 (quality of health and social care) is amended as follows.	
(2)	After section 46A (inserted by section 125 of this Act) insert –	
	<b>“46B Reviews and performance assessments: integrated care system</b>	
(1)	The Commission must, in accordance with this section –	10
	(a) conduct reviews of –	
	(i) the provision of relevant health care, and adult social care, within the area of each integrated care board, and	
	(ii) the exercise of the functions of the following in relation to the provision of that care within the area of each integrated care board: the board; its partner local authorities; and registered service providers,	15
(b)	assess the functioning of the system for the provision of relevant health care, and adult social care, within the area of each integrated care board (taking into account, in particular, how those mentioned in paragraph (a)(ii) work together), and	20
(c)	publish a report of its assessment.	
(2)	The Secretary of State –	
	(a) must set, and may from time to time revise, objectives and priorities for the Commission in relation to assessments under this section, and	25
	(b) must inform the Commission of the objectives and priorities.	
(3)	The Commission –	
	(a) must determine, and may from time to time revise, indicators of quality for the purposes of assessments under this section, and	30
	(b) must obtain the approval of the Secretary of State in relation to the indicators.	
(4)	The Secretary of State may direct the Commission to revise the indicators under subsection (3).	
(5)	Different objectives and priorities may be set, and different indicators of quality may be determined, for different cases.	35
(6)	The Commission –	
	(a) must prepare, and may from time to time revise, a statement –	
	(i) setting out the frequency with which reviews under this section are to be conducted and the period to which they are to relate, and	40
	(ii) describing the method that it proposes to use in assessing and evaluating the functioning of the system	

- for the provision of relevant health care, and adult social care, within the area of an integrated care board, and
- (b) must obtain the approval of the Secretary of State in relation to the statement.
- (7) The statement may – 5
- (a) make different provision about frequency and period of reviews for different cases, and
- (b) describe different methods for different cases.
- (8) Before preparing or revising a statement under subsection (6) the Commission must consult – 10
- (a) NHS England, and
- (b) any other persons it considers appropriate.
- (9) The Secretary of State may direct the Commission to revise the statement under subsection (6).
- (10) The Commission must publish – 15
- (a) the objectives and priorities under subsection (2),
- (b) the indicators of quality under subsection (3), and
- (c) the statement under subsection (6).
- (11) For the purposes of this section – 20
- “adult social care” means social care for individuals aged 18 or over;
- “partner local authority”, in relation to an integrated care board, means any English local authority whose area coincides with, or includes the whole or any part of, the area of the integrated care board; 25
- “registered service provider” means a person registered under Chapter 2 as a service provider;
- “relevant health care” means – 30
- (a) NHS care, or
- (b) the promotion and protection of public health.
- (12) Regulations may amend the definition of “relevant health care” to include health care which is provided or commissioned by a public authority (but which does not amount to NHS care).”
- (3) In section 48 (special reviews and investigations), in subsection (2), after “46A” (inserted by section 125 of this Act) insert “or 46B”. 35
- (4) In section 50 (failings by English local authorities), in subsection (1), after “46A” (inserted by section 125 of this Act) insert “or 46B”.
- (5) In section 162 (orders and regulations: parliamentary control), in subsection (3), after paragraph (c) insert – 40
- “(c) regulations under section 46B(12) (amendment of definition of relevant health care),”.

## 26 Integrated care system: further amendments

Schedule 4 contains minor and consequential amendments.

*Merger of NHS bodies etc*

**27 Abolition of Monitor and transfer of functions to NHS England**

- (1) Monitor is abolished.
- (2) Schedule 5 contains amendments to transfer Monitor’s functions to NHS England and related amendments. 5

**28 Exercise by NHS England of new regulatory functions**

- (1) The National Health Service Act 2006 is amended as follows.
- (2) After section 13S insert –

*“Regulatory functions*

**13SA Minimising conflicts between regulatory and other functions 10**

- (1) NHS England must make arrangements for –
  - (a) minimising the risk of conflicts between the exercise of its regulatory functions and its other functions;
  - (b) managing any conflicts that arise.
- (2) In this Act “regulatory functions”, in relation to NHS England, means – 15
  - (a) its functions under the provisions listed in subsection (3),
  - (b) its functions under Chapter 5A of Part 2 (trust special administrators) in relation to NHS foundation trusts, except for any functions that are conferred on it under section 65DA, 65F or 65G as a commissioner, and 20
  - (c) any other functions of NHS England so far as exercisable in connection with functions within paragraph (a) or (b).
- (3) Those provisions are –
  - (a) in Part 2 of this Act, Chapter 5 (NHS foundation trusts);
  - (b) in Part 3 of the Health and Social Care Act 2012 – 25
    - (i) Chapter 3 (licensing);
    - (ii) Chapter 4 (NHS payment scheme);
    - (iii) Chapter 5 (health special administration);
    - (iv) Chapter 6 (financial assistance in special administration cases).” 30
- (3) In section 13U (annual report), after subsection (2) insert –
  - “(2A) The annual report must also include a statement explaining what NHS England has done, during the financial year, to comply with its duties under section 13SA.”
- (4) In section 275 (interpretation), in subsection (1), at the appropriate place insert – 35
  - ““regulatory functions”, in relation to NHS England, has the meaning given by section 13SA.”

**29 Modification of standard licence conditions**

- (1) Section 100 of the Health and Social Care Act 2012 (modification of standard conditions) is amended as follows.
- (2) After subsection (1) insert –
  - “(1A) Before making modifications under subsection (1) that NHS England consider to be a major change, NHS England must –
    - (a) carry out an assessment of the likely impact of the modifications, or
    - (b) publish a statement setting out its reasons for concluding that such assessment is not needed.”
- (3) In subsection (2), for “such modifications” substitute “modifications under subsection (1)”.
- (4) In subsection (4), after paragraph (b) insert –
  - “(ba) set out any impact assessment carried out by NHS England under subsection (1A)(a).”

**30 Abolition of NHS Trust Development Authority**

- (1) The Special Health Authority called the National Health Service Trust Development Authority is abolished.
- (2) The following are revoked –
  - (a) the National Health Service Trust Development Authority (Establishment and Constitution) Order 2012 (S.I. 2012/901);
  - (b) the National Health Service Trust Development Authority Regulations 2012 (S.I. 2012/922);
  - (c) the National Health Service Trust Development Authority (Directions and Miscellaneous Amendments etc.) Regulations 2016 (S.I. 2016/214).
- (3) In section 9 of the Mental Health Units (Use of Force) Act 2018 (investigation of deaths or serious injuries), omit paragraph (d).
- (4) In section 15 of the Domestic Abuse Act 2021 (duty to co-operate with the Domestic Abuse Commissioner), in subsection (7), omit paragraph (e) of the definition of “NHS body in England”.

**31 Merger of bodies: consequential amendment**

In section 1H of the National Health Service Act 2006 (NHS England and its general functions), in subsection (3)(b), before “so as to secure” insert “, NHS trusts established under section 25 and NHS foundation trusts”.

**32 Transfer schemes in connection with abolished bodies**

- (1) The Secretary of State may make one or more schemes for the transfer of property, rights and liabilities from Monitor or the National Health Service Trust Development Authority to NHS England.
- (2) The things that may be transferred under a transfer scheme include –
  - (a) property, rights and liabilities that could not otherwise be transferred;

- (b) property acquired, and rights and liabilities arising, after the making of the scheme;
- (c) criminal liabilities.
- (3) A transfer scheme may –
  - (a) create rights, or impose liabilities, in relation to property or rights transferred; 5
  - (b) make provision about the continuing effect of things done by the transferor in respect of anything transferred;
  - (c) make provision about the continuation of things (including legal proceedings) in the process of being done by, on behalf of or in relation to the transferor in respect of anything transferred; 10
  - (d) make provision for references to the transferor in an instrument or other document in respect of anything transferred to be treated as references to the transferee;
  - (e) make provision which is the same as or similar to the TUPE regulations; 15
  - (f) make other consequential, supplementary, incidental or transitional provision.
- (4) In subsection (3)(e), “the TUPE regulations” means the Transfer of Undertakings (Protection of Employment) Regulations 2006 (S.I. 2006/246).
- (5) In this section references to rights and liabilities include rights and liabilities relating to a contract of employment. 20

### 33 Transfer schemes under section 32: taxation

- (1) The Treasury may by regulations make provision varying the way in which a relevant tax has effect in relation to –
  - (a) anything transferred under a scheme under section 32, or 25
  - (b) anything done for the purposes of, or in relation to, a transfer under such a scheme.
- (2) The provision which may be made under subsection (1)(a) includes in particular provision for –
  - (a) a tax provision not to apply, or to apply with modifications, in relation to anything transferred; 30
  - (b) anything transferred to be treated in a specified way for the purposes of a tax provision;
  - (c) the Secretary of State to be required or permitted to determine, or specify the method for determining, anything which needs to be determined for the purposes of any tax provision so far as relating to anything transferred. 35
- (3) The provision which may be made under subsection (1)(b) includes in particular provision for –
  - (a) a tax provision not to apply, or to apply with modifications, in relation to anything done for the purposes of or in relation to the transfer; 40
  - (b) anything done for the purposes of, or in relation to, the transfer to have or not have a specified consequence or be treated in a specified way;
  - (c) the Secretary of State to be required or permitted to determine, or specify the method for determining, anything which needs to be determined for the purposes of any tax provision so far as relating to anything done for the purposes of, or in relation to, the transfer. 45

- (4) Regulations under this section are subject to annulment in pursuance of a resolution of the House of Commons.
- (5) In this section –  
     “relevant tax” means income tax, corporation tax, capital gains tax, stamp duty or stamp duty reserve tax;  
     “tax provision” means a provision of an enactment about a relevant tax.

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*Secretary of State's functions*

**34 Report on assessing and meeting workforce needs**

After section 1G of the National Health Service Act 2006 (but before the italic heading after it) insert –

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**“1GA Secretary of State’s duty to report on workforce systems**

- (1) The Secretary of State must, at least once every five years, publish a report describing the system in place for assessing and meeting the workforce needs of the health service in England.
- (2) NHS England and Health Education England must assist in the preparation of a report under this section, if requested to do so by the Secretary of State.”

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**35 Arrangements for exercise of public health functions**

For section 7A of the National Health Service Act 2006 substitute –

**“7A Exercise of Secretary of State’s public health functions**

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- (1) The Secretary of State may arrange for any of the public health functions of the Secretary of State to be exercised by one or more relevant bodies.
- (2) In this section “relevant body” means –  
     (a) NHS England,  
     (b) an integrated care board,  
     (c) a local authority (within the meaning of section 2B),  
     (d) a combined authority, or  
     (e) such other body as may be prescribed.
- (3) Arrangements under this section may be made on such terms as may be agreed between the parties including –  
     (a) terms as to payment;  
     (b) terms prohibiting or restricting a relevant body from making delegation arrangements in relation to a function that is exercisable by it by virtue of arrangements under this section.
- (4) In subsection (3)(b) “delegation arrangements” means arrangements made by a person for the exercise of a function by someone else.
- (5) Any rights acquired, or liabilities (including liabilities in tort) incurred, in respect of the exercise by a relevant body of any function by virtue of this section are enforceable by or against that body (and no other person).

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- (6) The reference in subsection (1) to the public health functions of the Secretary of State includes any functions of the Secretary of State exercisable in connection with those functions (including the powers conferred by section 12).”

**36 Power of direction: public health functions** 5

- (1) The National Health Service Act 2006 is amended as follows.  
(2) After section 7A (inserted by section 35 of this Act) insert –

**“7B Directions requiring NHS bodies to exercise public health functions**

- (1) The Secretary of State may by direction provide for any of the public health functions of the Secretary of State to be exercised by one or more relevant bodies. 10
- (2) In this section “relevant body” means –  
(a) NHS England, or  
(b) an integrated care board.
- (3) A direction under subsection (1) may include provision prohibiting or restricting the relevant body from making delegation arrangements in relation to a function that is exercisable by it by virtue of the direction. 15
- (4) In subsection (3) “delegation arrangements” means arrangements made by a person for the exercise of a function by someone else.
- (5) The Secretary of State may make payments to a relevant body in respect of the exercise by it of a function by virtue of a direction under subsection (1). 20
- (6) The Secretary of State may give directions to an integrated care board as to the exercise by it of any functions by virtue of this section.
- (7) For power to give directions to NHS England as to the exercise of functions, see section 13ZC. 25
- (8) As soon as reasonably practicable after giving a direction under subsection (1) or (6), the Secretary of State must publish it.
- (9) Any rights acquired, or liabilities (including liabilities in tort) incurred, in respect of the exercise by a relevant body of any function by virtue of this section are enforceable by or against it (and no other person). 30
- (10) The reference in subsection (1) to the public health functions of the Secretary of State includes any functions of the Secretary of State exercisable in connection with those functions (including the powers conferred by section 12).” 35
- (3) In section 73 (directions and regulations under Parts 1 and 2), in subsection (1), after paragraph (a) insert –  
“(aa) section 7B,”.

**37 Power of direction: investigation functions**

- (1) The National Health Service Act 2006 is amended as follows. 40

- (2) After section 7B (inserted by section 36 of this Act) insert –

**“7C Power of direction: investigation functions**

- (1) The Secretary of State may direct –
- (a) NHS England, or
  - (b) any other public body,
- to exercise any of the investigation functions which are specified in the direction. 5
- (2) A direction under subsection (1) may include provision prohibiting or restricting the body directed from making delegation arrangements in relation to a function that is exercisable by it by virtue of the direction. 10
- (3) In subsection (2) “delegation arrangements” means arrangements made by a person for the exercise of a function by someone else.
- (4) The Secretary of State may make payments to NHS England or any other body in respect of the exercise by it of a function by virtue of a direction under subsection (1). 15
- (5) The Secretary of State may give directions to any body on whom functions are conferred by virtue of subsection (1)(b) as to the exercise of those functions.
- (6) For power to give directions to NHS England as to the exercise of functions, see section 13ZC. 20
- (7) As soon as reasonably practicable after giving a direction under subsection (1) or (5), the Secretary of State must publish it.
- (8) Any rights acquired, or liabilities (including liabilities in tort) incurred, in respect of the exercise by NHS England or any other body of any function by virtue of this section are enforceable by or against it (and no other person). 25
- (9) In this section “the investigation functions” are functions which, immediately before the coming into force of section 30 of the Health and Care Act 2021, were exercised by the Special Health Authority called the National Health Service Trust Development Authority pursuant to –
- (a) the National Health Service Trust Development Authority (Healthcare Safety Investigation Branch) Directions 2016 made under sections 7 and 8 of the National Health Service Act 2006, or 35
  - (b) the National Health Service Trust Development Authority (Healthcare Safety Investigation Branch) (Additional Investigatory Functions in respect of Maternity Cases) Directions 2018 made under sections 7 and 8 of the National Health Service Act 2006. 40

**7D Transfer schemes in connection with a direction under section 7C**

- (1) The Secretary of State may, in connection with a direction under section 7C, make one or more transfer schemes.
- (2) A “transfer scheme” is a scheme for the transfer to NHS England or any other public body of any property, rights or liabilities relating to the 45

discharge of functions pursuant to any directions made by the Secretary of State under the power conferred by section 7C.

- (3) The things that may be transferred under a transfer scheme include—
- (a) property, rights and liabilities that could not otherwise be transferred; 5
  - (b) property acquired, and rights and liabilities arising, after the making of the scheme;
  - (c) criminal liabilities.
- (4) A transfer scheme may —
- (a) create rights, or impose liabilities, in relation to property or rights transferred; 10
  - (b) make provision about the continuing effect of things done by, or on behalf of or in relation to the transferor in respect of anything transferred;
  - (c) make provision about the continuation of things (including legal proceedings) in the process of being done by, on behalf of or in relation to the transferor in respect of anything transferred; 15
  - (d) make provision for references to the transferor in an instrument or other document in respect of anything transferred to be treated as references to the transferee; 20
  - (e) make provision for the shared ownership or use of property;
  - (f) make provision which is the same as or similar to the TUPE regulations;
  - (g) make other consequential, supplementary, incidental or transitional provision. 25
- (5) A transfer scheme may provide —
- (a) for modifications by agreement;
  - (b) for modifications to have effect from the date when the original scheme came into effect.
- (6) In subsection (4)(f), “the TUPE regulations” means the Transfer of Undertakings (Protection of Employment) Regulations 2006 (S.I. 2006/246). 30
- (7) For the purposes of this section —
- (a) references to right and liabilities include rights and liabilities relating to a contract of employment; 35
  - (b) references to the transfer of property include the grant of a lease.
- (8) For the purposes of subsection (7)(a)—
- (a) an individual who holds employment in the civil service of the State is to be treated as employed by virtue of a contract of employment, and 40
  - (b) the terms of the individual’s employment in the civil service are to be regarded as constituting the terms of the contract of employment.
- 7E Transfer schemes under section 7D: taxation 45**
- (1) The Treasury may by regulations make provision varying the way in which a relevant tax has effect in relation to —

- (a) anything transferred under a scheme under section 7D, or
  - (b) anything done for the purposes of, or in relation to, a transfer under such a scheme.
- (2) The provision which may be made under subsection (1)(a) includes in particular provision for – 5
- (a) a tax provision not to apply, or to apply with modifications, in relation to anything transferred;
  - (b) anything transferred to be treated in a specified way for the purposes of a tax provision;
  - (c) the Secretary of State to be required or permitted to determine, or specify the method for determining, anything which needs to be determined for the purposes of any tax provision so far as relating to anything transferred. 10
- (3) The provision which may be made under subsection (1)(b) includes in particular provision for – 15
- (a) a tax provision not to apply, or to apply with modifications, in relation to anything done for the purposes of or in relation to the transfer;
  - (b) anything done for the purposes of, or in relation to, the transfer to have or not have a specified consequence or be treated in a specified way; 20
  - (c) the Secretary of State to be required or permitted to determine, or specify the method for determining, anything which needs to be determined for the purposes of any tax provision so far as relating to anything done for the purposes of, or in relation to, the transfer. 25
- (4) In this section references to the transfer of property include the grant of a lease.
- (5) In this section –
- “relevant tax” means income tax, corporation tax, capital gains tax, stamp duty or stamp duty reserve tax; 30
  - “tax provision” means a provision of an enactment about a relevant tax.”
- (3) In section 73 (directions and regulations under Parts 1 and 2), in subsection (1), after paragraph (aa) (inserted by section 36 of this Act) insert – 35
- “(ab) section 7C,”.
- (4) In section 272 (orders, regulations, rules and directions) –
- (a) in subsection (4), after “subsections” insert “(4A),” and
  - (b) after that subsection insert –
- “(4A) A statutory instrument containing regulations under section 7E(1) is subject to annulment in pursuance of a resolution of the House of Commons.” 40

### 38 General power to direct NHS England

- (1) The National Health Service Act 2006 is amended as follows.

- (2) Before section 13Z1 (and the italic heading before it) insert –

*“Powers of direction*

**13ZC Secretary of State directions as to exercise of NHS England functions**

- (1) The Secretary of State may give NHS England directions as to the exercise of any of its functions. 5
- (2) The directions that may be given include a direction as to whether a power is to be exercised or not.
- (3) The directions that may be given include a direction as to –
- (a) when or how a function is, or is not, to be exercised;
  - (b) conditions that must be met before a function is exercised (for example, conditions relating to the provision of information, consultation or approval); 10
  - (c) matters to be taken into account in exercising a function.
- (4) For exceptions to the power to give directions under subsection (1), see section 13ZD. 15
- (5) A direction under subsection (1) must include a statement that the Secretary of State considers the direction to be in the public interest.
- (6) As soon as reasonably practicable after giving a direction under subsection (1), the Secretary of State must publish it.
- (7) The fact that the Secretary of State has a function under any other enactment in relation to NHS England’s exercise of functions is not to be read as limiting the power conferred by subsection (1). 20
- (8) The reference in subsection (7) to a function of the Secretary of State does not include a function of making subordinate legislation.

**13ZD Power to give directions: exceptions** 25

- (1) A direction under section 13ZC may not be given in relation to a function relating to the appointment or employment of a person.
- (2) A direction under section 13ZC may not be given in relation to a decision about the services to be provided to a particular individual for or in connection with the prevention, diagnosis or treatment of illness. 30
- (3) A direction under section 13ZC may not be given in relation to the provision of any drug, medicine or other treatment, or the use of any diagnostic technique, unless NICE has made a recommendation or issued guidance as to its clinical and cost effectiveness and the direction is not inconsistent with that recommendation or guidance. 35

**13ZE Compliance with directions: significant failure**

- (1) This section applies where –
- (a) NHS England is given a direction under section 13ZC,
  - (b) the direction –
- (i) states that the Secretary of State considers that NHS England is failing or has failed to discharge any of its functions, and 40

- (ii) states that the Secretary of State considers that the failure is significant and explains why,
- (c) the direction states that it is given for the purposes of addressing that failure, and
- (d) NHS England fails to comply with the direction. 5
- (2) The Secretary of State may –
  - (a) discharge the functions to which the direction relates, or
  - (b) make arrangements for any other person to discharge them on the Secretary of State’s behalf.
- (3) Where the Secretary of State exercises the power under subsection (2), the Secretary of State must publish the reasons for doing so. 10
- (4) For the purpose of this section –
  - (a) a failure to discharge a function includes a failure to discharge it properly, and
  - (b) a failure to discharge a function properly includes a failure to discharge it consistently with what the Secretary of State considers to be the interests of the health service. 15

### **13ZF Secretary of State directions to provide information**

- (1) The Secretary of State may direct NHS England to provide the Secretary of State with any documents or other information that may be specified in the direction. 20
- (2) The directions that may be given include a direction to provide documents or other information that NHS England would need to obtain from others in the exercise of some other power.
- (3) The directions may include provision as to – 25
  - (a) the form or manner in which the documents or information must be provided;
  - (b) the time at which or period within which the documents or information must be provided.”
- (3) Omit section 13Z2 (failure to discharge functions) and the italic heading before it. 30
- (4) In Schedule A1 (constitution of NHS England), omit paragraph 14 and the italic heading before it.

### **39 Reconfiguration of services: intervention powers**

- (1) After section 68 of the National Health Service Act 2006 insert – 35

*“Reconfiguration of NHS services*

#### **68A Reconfiguration of NHS services**

Schedule 10A confers intervention powers on the Secretary of State in relation to the reconfiguration of NHS services.”

- (2) Schedule 6 inserts into the National Health Service Act 2006 a new Schedule 10A to that Act (intervention powers in relation to the reconfiguration of NHS services). 40

*NHS trusts*

- 40 NHS trusts in England**
- In the Health and Social Care Act 2012, omit section 179 (abolition of NHS trusts in England).
- 41 Removal of power to appoint trust funds and trustees** 5
- In Schedule 4 to the National Health Service Act 2006, omit paragraph 10 (power to appoint trustees for an NHS trust) and the italic heading before it.
- 42 Sections 40 and 41: consequential amendments**
- Schedule 7 contains amendments that are consequential on sections 40 and 41.
- 43 Licensing of NHS trusts** 10
- (1) In the National Health Service (Licence Exemptions, etc) Regulations 2013 (S.I. 2013/2677), omit regulation 4 (which exempts NHS trusts in England from the requirement to hold a licence).
- (2) After section 87 of the Health and Social Care Act 2012 insert –
- “87A Application and grant: NHS trusts** 15
- (1) An NHS trust established under section 25 of the National Health Service Act 2006 is to be treated, on its establishment, as –
- (a) having made an application for a licence under section 85, and
- (b) having met the criteria for holding a licence for the time being published under section 86. 20
- (2) An NHS trust established under section 25 of the National Health Service Act 2006 before the day on which section 43(1) of the Health and Care Act 2021 comes into force is to be treated, for the purposes of subsection (1), as having been established on that day.”
- 44 NHS trusts: wider effect of decisions** 25
- After section 26 of the National Health Service Act 2006 insert –
- “26A Duty to have regard to wider effect of decisions**
- (1) In making a decision about the exercise of its functions, an NHS trust established under section 25 must have regard to all likely effects of the decision in relation to – 30
- (a) the health and well-being of the people of England;
- (b) the quality of services provided to individuals –
- (i) by relevant bodies, or
- (ii) in pursuance of arrangements made by relevant bodies, for or in connection with the prevention, diagnosis or treatment of illness, as part of the health service in England; 35
- (c) efficiency and sustainability in relation to the use of resources by relevant bodies for the purposes of the health service in England.

- (2) The reference in subsection (1) to a decision does not include a reference to a decision about the services to be provided to a particular individual for or in connection with the prevention, diagnosis or treatment of illness.
- (3) In discharging the duty under this section, NHS trusts must have regard to guidance published by NHS England under section 13NB. 5
- (4) In this section “relevant bodies” means—
- (a) NHS England,
  - (b) integrated care boards,
  - (c) NHS trusts established under section 25, and
  - (d) NHS foundation trusts.” 10
- 45 Oversight and support of NHS trusts**
- (1) The National Health Service Act 2006 is amended as follows.
- (2) After section 27 insert—
- “27A Oversight and support of NHS trusts** 15
- NHS England must—
- (a) monitor NHS trusts established under section 25 in the carrying out of their functions, and
  - (b) provide such advice, guidance or other support as it considers appropriate to help NHS trusts established under section 25 in the carrying out of their functions.” 20
- (3) In Schedule 4—
- (a) in paragraph 12 (reports etc), in sub-paragraph (1), for “the Secretary of State”, in both places it occurs, substitute “NHS England”;
  - (b) in paragraph 13 (provision of information by NHS trusts), in sub-paragraph (1) (as created by section 19(4) of this Act)— 25
    - (i) after “the Secretary of State” insert “or NHS England”;
    - (ii) for “he” substitute “the Secretary of State or NHS England”.
- 46 Directions to NHS trusts**
- (1) The National Health Service Act 2006 is amended as follows. 30
- (2) After section 27A (inserted by section 45 of this Act) insert—
- “27B NHS England’s directions to NHS trusts**
- (1) NHS England may give directions to an NHS trust established under section 25 about its exercise of any functions.
  - (2) In so far as a direction under this section conflicts with a direction under section 8 or paragraph 25(3) of Schedule 4, it is of no effect.” 35
- (3) In section 73 (directions and regulations under Parts 1 and 2), in subsection (1), after paragraph (ba) (inserted by section 7 of this Act) insert—
- “(bb) section 27B.”.
- (4) In Schedule 4— 40
- (a) in paragraph 20 (additional income), in sub-paragraph (2)—



- (i) omit the “and” at the end of paragraph (a);
- (ii) at the end of paragraph (b) insert “, and
  - (c) in circumstances specified in directions under section 27B, with the consent of NHS England.”;
- (b) in paragraph 25 (staff), in sub-paragraph (3), at the end insert “and any directions given by NHS England under section 27B”.

#### 47 Recommendations about restructuring of NHS trusts

After section 27B of the National Health Service Act 2006 (inserted by section 46 of this Act) insert – 10

##### “27C Recommendations about restructuring

- (1) NHS England may –
  - (a) make recommendations to NHS trusts for or in connection with the making of restructuring applications;
  - (b) take such other steps as it considers appropriate to facilitate restructuring applications involving NHS trusts. 15
- (2) In this section “restructuring application”, in relation to an NHS trust, means an application by the NHS trust under –
  - (a) section 56 (mergers involving NHS foundation trusts);
  - (b) section 56A (acquisitions by NHS foundation trusts); 20
  - (c) section 69A (transfer of property etc between NHS bodies);
  - (d) paragraph 28 of Schedule 4 (dissolution of NHS trusts).”

#### 48 Intervention in NHS trusts

After section 27C of the National Health Service Act 2006 (inserted by section 47 of this Act) insert – 25

##### “27D Intervention in NHS trusts: recommendations etc by NHS England

- (1) If NHS England considers that Secretary of State ought to make an order under section 66(2) or 68(2) in relation to an NHS trust established under section 25, NHS England must –
  - (a) make a recommendation to that effect, 30
  - (b) set out its reasons for the recommendation, and
  - (c) make any recommendations it considers appropriate as to the contents of the order.
- (2) NHS England must make any inquiries, and provide any other assistance, that the Secretary of State may require in connection with deciding whether to make an order section 66(2) or 68(2) in relation to an NHS trust established under section 25 and, if so, on what terms.” 35

#### 49 NHS trusts: conversion to NHS foundation trusts and dissolution

- (1) The National Health Service Act 2006 is amended as follows.
- (2) In section 33 (application by NHS trusts to become NHS foundation trusts), in subsection (1), omit “, if the application is supported by the Secretary of State”. 40

- (3) In section 35 (authorisation of NHS foundation trusts), in subsection (1), after “if” insert “the Secretary of State approves the authorisation and”.
- (4) In section 57 (supplementary provision in connection with mergers and acquisitions with NHS foundation trusts), in subsection (5), after “Secretary of State” insert “or NHS England”. 5
- (5) In Schedule 4 –
- (a) in paragraph 28 (power to dissolve NHS trusts) –
- (i) in sub-paragraph (1), after “Secretary of State” insert “or NHS England”;
- (ii) after sub-paragraph (1) insert – 10
- “(1A) An order under this paragraph may be made by NHS England only with the approval of the Secretary of State.”;
- (iii) in sub-paragraphs (2)(b) and (3), after “the Secretary of State” insert “or NHS England”; 15
- (b) in paragraph 29 (transfers), for sub-paragraph (1) substitute –
- “(1) If an NHS trust is dissolved under paragraph 28, the Secretary of State or NHS England may by order transfer, or provide for the transfer of, the property and liabilities of the NHS trust to the Secretary of State or an NHS body; and such an order may include provisions corresponding to those of paragraph 9.”; 20
- (c) in paragraph 30 (transfers: pensions etc), in sub-paragraph (1), after “he” insert “or NHS England”.
- 50 Appointment of chair of NHS trusts** 25
- In paragraph 3(1)(a) of Schedule 4 to the National Health Service Act 2006 (appointment of chair of board of directors of NHS trust), for “the Secretary of State” substitute “NHS England”.
- 51 Financial objectives for NHS trusts**
- In paragraph 2 of Schedule 5 of the National Health Service Act 2006 (financial obligations of NHS trusts), for sub-paragraphs (2) and (3) substitute – 30
- “(2) NHS England may set financial objectives for NHS trusts.
- (3) An NHS trust must achieve any financial objectives set under sub-paragraph (2).
- (4) Financial objectives under sub-paragraph (2) may apply to NHS trusts generally, or to a particular NHS trust or NHS trusts of a particular description.” 35
- NHS foundation trusts*
- 52 Licensing of NHS foundation trusts**
- In section 88 of the Health and Social Care Act 2012 (application and grant of 40

licenses: NHS foundation trusts), for subsection (1) substitute –

- “(1) This section applies where –
- (a) an NHS trust becomes an NHS foundation trust in pursuance of section 36 of the National Health Service Act 2006 (effect of authorisation of NHS foundation trust), or
  - (b) an NHS foundation trust is established under sections 56 or 56B of that Act (mergers and separations).”

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### 53 Capital spending limits for NHS foundation trusts

(1) The National Health Service Act 2006 is amended as follows.

(2) After section 42A insert –

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#### “42B Limits on capital expenditure

(1) NHS England may make an order imposing a limit on the capital expenditure of an NHS foundation trust.

(2) The order must specify –

- (a) the trust,
- (b) the capital expenditure limit, and
- (c) the period to which the limit relates.

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(3) NHS England must consult the trust before making the order.

(4) NHS England must publish each order under this section.

(5) A trust that is the subject of an order under this section must not exceed the capital expenditure limit imposed by the order during the period to which it relates.

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(6) In this section “capital expenditure”, in relation to an NHS foundation trust, means expenditure of the trust which falls to be capitalised in its annual accounts.

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#### 42C Guidance in relation to orders under section 42B

(1) NHS England must publish guidance about the exercise of its power to make orders under section 42B, including guidance about –

- (a) the circumstances in which it is likely to make an order, and
- (b) the method it will use to determine the capital expenditure limit.

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(2) NHS England must consult the Secretary of State before it publishes guidance, or revised guidance, under this section.

(3) NHS England must have regard to the guidance in exercising its power to make orders under section 42B.”

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(3) In section 64 (orders and regulations under Chapter 5), in subsection (1), after “regulations” insert “, other than the power to make an order under section 42B,”.

### 54 Accounts, reports and forward plans

(1) In the National Health Service Act 2006 –

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<ul style="list-style-type: none"> <li>(a) in section 43, omit subsections (3B) and (3C) (requirements relating to content etc of forward plan for NHS foundation trusts);</li> <li>(b) in paragraph 27 of Schedule 7, omit sub-paragraphs (2) and (3) (which require the forward plan to be prepared by the directors etc).</li> </ul>	5
<ul style="list-style-type: none"> <li>(2) In the Health and Social Care Act 2012 – <ul style="list-style-type: none"> <li>(a) omit section 155 (accounts: transfer of functions relating to accounts from the regulator to the Secretary of State);</li> <li>(b) in section 156 omit – <ul style="list-style-type: none"> <li>(i) subsection (3) (power to provide for content of annual reports to be prescribed by regulations rather than determined by the regulator);</li> <li>(ii) subsection (4) (duty to give forward plan to Secretary of State, rather than to the regulator).</li> </ul> </li> </ul> </li> </ul>	10
<b>55 NHS foundation trusts: joint exercise of functions</b>	
After section 47 of the National Health Service Act 2006 insert –	15
<b>“47A Joint exercise of functions</b>	
An NHS foundation trust may enter into arrangements for the carrying out, on such terms as the NHS foundation trust considers appropriate, of any of its functions jointly with any other person.”	
<b>56 NHS foundation trusts: mergers, acquisitions and separations</b>	
(1) The National Health Service Act 2006 is amended as follows.	
(2) In section 56 (mergers) –	
(a) in subsection (2), omit paragraph (a);	
(b) for subsection (4) substitute –	
“(4) NHS England must grant the application if –	25
(a) it is satisfied that such steps as are necessary to prepare for the dissolution of the trusts and the establishment of the new trust have been taken, and	
(b) the Secretary of State approves the grant of the application,	30
and must otherwise refuse the application.”	
(3) In section 56A (acquisitions) –	
(a) in subsection (3), omit paragraph (a) and the “and” at the end;	
(b) for subsection (4) substitute –	
“(4) NHS England must grant the application if –	35
(a) it is satisfied that such steps as are necessary to prepare for the acquisition have been taken, and	
(b) the Secretary of State approves the grant of the application,	40
and must otherwise refuse the application.”	
(4) In section 56B (separations), for subsection (4) substitute –	
“(4) NHS England must grant the application if –	

- (a) it is satisfied that such steps as are necessary to prepare for the dissolution of the trust and the establishment of each of the proposed new trusts have been taken, and
- (b) the Secretary of State approves the grant of the application, and must otherwise refuse the application.” 5

## 57 Transfers on dissolution of NHS foundation trusts

In section 57A of the National Health Service Act 2006 (dissolution) –

- (a) in subsection (3), omit paragraph (a) and the “and” at the end;
- (b) in subsection (4), for paragraph (b) substitute –
  - “(b) transferring, or providing for the transfer of, the property and liabilities (including criminal liabilities) to another NHS foundation trust, an NHS trust established under section 25 or the Secretary of State.”; 10
- (c) after subsection (4) insert –
  - “(5) The order must include provision for the transfer of any employees of the NHS foundation trust that is dissolved.” 15

## 58 NHS foundation trusts: wider effect of decisions

In the National Health Service Act 2006, after section 63 insert –

### “63A Duty to have regard to wider effect of decisions

- (1) In making a decision about the exercise of its functions, an NHS foundation trust must have regard to all likely effects of the decision in relation to –
  - (a) the health and well-being of the people of England;
  - (b) the quality of services provided to individuals –
    - (i) by relevant bodies, or 25
    - (ii) in pursuance of arrangements made by relevant bodies, for or in connection with the prevention, diagnosis or treatment of illness, as part of the health service in England;
  - (c) efficiency and sustainability in relation to the use of resources by relevant bodies for the purposes of the health service in England. 30
- (2) The reference in subsection (1) to a decision does not include a reference to a decision about the services to be provided to a particular individual for or in connection with the prevention, diagnosis or treatment of illness. 35
- (3) In discharging the duty under this section, NHS foundation trusts must have regard to guidance published by NHS England under section 13NB.
- (4) In this section “relevant bodies” means –
  - (a) NHS England, 40
  - (b) integrated care boards,
  - (c) NHS trusts established under section 25, and
  - (d) NHS foundation trusts.”

*NHS trusts and NHS foundation trusts***59 Transfer schemes between trusts**

After section 69 of the National Health Service Act 2006 insert –

*“Transfer schemes*

- |            |  |          |
|------------|--|----------|
| <b>69A</b> | <b>Transfer schemes: NHS trusts and NHS foundation trusts</b>  | <b>5</b> |
| (1)        | NHS England may make one or more schemes for the transfer of property, rights and liabilities from a relevant NHS body to another relevant NHS body on an application made to it under this section. |          |
| (2)        | The application must –   |          |
|            | (a) be made jointly by the relevant NHS bodies, and  | 10       |
|            | (b) state the property, rights or liabilities to be transferred.   |          |
| (3)        | NHS England may grant an application under this section only if it is satisfied that such steps as are necessary to prepare for the transfer have been taken.  |          |
| (4)        | The things that may be transferred under a transfer scheme include –   | 15       |
|            | (a) property, rights and liabilities that could not otherwise be transferred;  |          |
|            | (b) property acquired, and rights and liabilities arising, after the making of the scheme;   |          |
|            | (c) criminal liabilities.  | 20       |
| (5)        | A transfer scheme may –  |          |
|            | (a) create rights, or impose liabilities, in relation to property or rights transferred;   |          |
|            | (b) make provision about the continuing effect of things done by the transferor in respect of anything transferred;  | 25       |
|            | (c) make provision about the continuation of things (including legal proceedings) in the process of being done by, on behalf of or in relation to the transferor in respect of anything transferred; |          |
|            | (d) make provision for references to the transferor in an instrument or other document in respect of anything transferred to be treated as references to the transferee;                             | 30       |
|            | (e) make provision for the shared ownership or use of property;  |          |
|            | (f) make provision which is the same as or similar to the TUPE regulations;  |          |
|            | (g) make other consequential, supplementary, incidental or transitional provision.   | 35       |
| (6)        | A transfer scheme may provide –  |          |
|            | (a) for modifications by agreement;  |          |
|            | (b) for modifications to have effect from the date when the original scheme came into effect.  | 40       |
| (7)        | In this section –  |          |
|            | (a) references to rights and liabilities include rights and liabilities relating to a contract of employment;  |          |

- (b) references to the transfer of property include the grant of a lease.

(8) In this section –

“relevant NHS body” means –

- (a) an NHS trust established under section 25;
- (b) an NHS foundation trust;

5

“the TUPE regulations” means the Transfer of Undertakings (Protection of Employment) Regulations 2006 (S.I. 2006/246).”

## 60 Trust special administrators

Schedule 8 contains amendments to Chapter 5A of the National Health Service Act 2006 (which transfer functions to NHS England in relation to trust special administrators). 10

### *Joint working and delegation of functions*

## 61 Joint working and delegation arrangements

- (1) The National Health Service Act 2006 is amended as follows. 15
- (2) After section 65Z4 (inserted by section 8 of this Act) insert –

### *“Joint working arrangements and delegation*

#### **65Z5 Joint working and delegation arrangements**

- (1) A relevant body may arrange for any functions exercisable by it to be exercised by or jointly with any one or more of the following – 20
  - (a) a relevant body;
  - (b) a local authority (within the meaning of section 2B);
  - (c) a combined authority.
- (2) In this section “relevant body” means – 25
  - (a) NHS England,
  - (b) an integrated care board,
  - (c) an NHS trust established under section 25,
  - (d) an NHS foundation trust, or
  - (e) such other body as may be prescribed.
- (3) Regulations may – 30
  - (a) provide that the power in subsection (1) does not apply, or applies only to a prescribed extent, in relation to prescribed functions;
  - (b) impose conditions on the exercise of the power.
- (4) Arrangements under this section may be made on such terms as may be agreed between the parties, including – 35
  - (a) terms as to payment;
  - (b) terms prohibiting or restricting a body from making delegation arrangements in relation to a function that is exercisable by it by virtue of arrangements under this section. 40

- (5) In subsection (4)(b) “delegation arrangements” means arrangements made by a body for the exercise of a function by someone else.
- (6) Any rights acquired, or liabilities (including liabilities in tort) incurred, in respect of the exercise by a body of any function by virtue of this section are enforceable by or against that body (and no other person). 5

### **65Z6 Joint committees and pooled funds**

- (1) This section applies where a function is exercisable jointly (by virtue of section 65Z5 or otherwise) by a relevant body and any one or more of the following –
- (a) a relevant body; 10
  - (b) a local authority (within the meaning of section 2B);
  - (c) a combined authority.
- (2) The bodies by whom the function is exercisable jointly may –
- (a) arrange for the function to be exercised by a joint committee of theirs; 15
  - (b) arrange for one or more of the bodies, or a joint committee of the bodies, to establish and maintain a pooled fund.
- (3) A pooled fund is a fund –
- (a) which is made up of payments received in accordance with the arrangements from relevant bodies that are party to the arrangements, and 20
  - (b) out of which payments may be made in accordance with the arrangements towards expenditure incurred in the exercise of functions in relation to which the arrangements are made.
- (4) Arrangements under this section may be made on such terms as may be agreed between the parties, including terms as to payment. 25
- (5) In this section “relevant body” has the meaning given by section 65Z5(2).

### **65Z7 Joint working and delegation: guidance by NHS England**

- (1) NHS England may publish guidance for relevant bodies about the exercise of their powers under sections 65Z5 and 65Z6. 30
- (2) A relevant body must have regard to any guidance published under this section.
- (3) In this section “relevant body” has the meaning given by section 65Z5(2).” 35
- (3) In section 75(7B) –
- (a) at the end of paragraph (a) insert “or”;
  - (b) for paragraphs (b) and (c) substitute – 40
    - “(b) section 65Z5 (joint working and delegation arrangements).”
- (4) In consequence of subsection (2), omit sections 13Z to 13ZB and the italic heading before those sections.



**62 References to functions: treatment of delegation arrangements etc**

- (1) After section 275 of the National Health Service Act 2006 insert –
- “275A References to functions: delegation etc**
- (1) A reference in this Act to the functions of a person includes functions of others that are exercisable by the person by virtue of any provision of any enactment (unless the context otherwise requires). 5
- (2) Regulations may create exceptions to subsection (1).”
- (2) Schedule 9 contains –
- (a) amendments that are consequential on this section and other provisions of this Part, and 10
- (b) other related amendments.

*Collaborative working*

**63 Repeal of duties to promote autonomy**

- (1) In the National Health Service Act 2006 omit –
- (a) section 1D (Secretary of State’s duty to promote of autonomy); 15
- (b) section 13F (NHS Commissioning Board’s duty to promote autonomy).
- (2) In consequence of subsection (1), in the Health and Social Care Act 2012, omit section 5.

**64 Guidance about joint appointments**

After section 13U of the National Health Service Act 2006 insert – 20

*“Joint appointments*

**13UA Guidance about joint appointments**

- (1) NHS England may publish guidance for a relevant NHS body about the making of a joint appointment to which this section applies.
- (2) A joint appointment to which this section applies is an appointment of a person to a position in – 25
- (a) one or more relevant NHS commissioner and one or more relevant NHS provider,
- (b) one or more relevant NHS body and one or more local authority, or 30
- (c) one or more relevant NHS body and one or more combined authority.
- (3) A relevant NHS body must have regard to guidance published under this section.
- (4) NHS England must consult such persons as NHS England considers appropriate – 35
- (a) before it first publishes guidance under this section, and
- (b) before it publishes any revised guidance containing changes that are, in the opinion of NHS England, significant.

- (5) In this section –
- “local authority” has the same meaning as in section 2B;
  - “relevant NHS body” means –
    - (a) a relevant NHS commissioner;
    - (b) a relevant NHS provider; 5
  - “relevant NHS commissioner” means –
    - (a) NHS England;
    - (b) an integrated care board;
  - “relevant NHS provider” means –
    - (a) an NHS trust established under section 25; 10
    - (b) an NHS foundation trust.”

## 65 Co-operation by NHS bodies etc

- (1) The National Health Service Act 2006 is amended in accordance with subsections (2) and (3).
- (2) In section 72 (co-operation between NHS bodies) – 15
- (a) after subsection (1) insert –
    - “(1A) The Secretary of State may publish guidance on the discharge of the duty under subsection (1) in relation to England.
    - (1B) An NHS body other than a Welsh NHS body must have regard to any guidance published under subsection (1A).”;
  - (b) after subsection (4) insert –
    - “(5) In this section “Welsh NHS body” means –
      - (a) an NHS trust established under the National Health Service (Wales) Act 2006,
      - (b) a Special Health Authority established under that Act, 25
      - or
      - (c) a Local Health Board.”
- (3) In section 82 (co-operation between NHS bodies and local authorities) –
- (a) the existing words become subsection (1);
  - (b) after that subsection insert – 30
    - “(2) The Secretary of State may publish guidance on the discharge of the duty under this section in relation to England.
    - (3) The following must have regard to any guidance published under subsection (2) –
      - (a) an NHS body other than a Welsh NHS body; 35
      - (b) a local authority in England.
    - (4) In this section “Welsh NHS body” means –
      - (a) an NHS trust established under the National Health Service (Wales) Act 2006,
      - (b) a Special Health Authority established under that Act, 40
      - or
      - (c) a Local Health Board.”

- (4) In the Health and Social Care Act 2012, in section 96 (limits on functions to set or modify licence conditions) –
- (a) in subsection (2), for paragraph (g) substitute –
    - “(g) for the purpose of enabling, promoting or securing co-operation between providers of health care services for the purposes of the NHS, or between such providers and –
      - (i) NHS bodies, within the meaning of section 72 of the National Health Service Act 2006, or
      - (ii) local authorities in England (and for this purpose “local authority” has the meaning given by section 275(1) of the National Health Service Act 2006);”;
  - (b) in subsection (3), in the words before paragraph (a), for “(f) and (g)” substitute “and (f)”. ”

## 66 Wider effect of decisions: licensing of health care providers

In section 96 of the Health and Social Care Act 2012 (limits on functions to set or modify licence conditions) –

- (a) in subsection (2), after paragraph (d) insert –
  - “(da) for the purpose of ensuring that decisions relating to the provision of health care services for the purposes of the NHS are made with regard to all their likely effects in relation to the matters referred to in subsection (2A);”;
- (b) after subsection (2) insert –
  - “(2A) The matters referred to in subsection (2)(da) are –
    - (a) the health and well-being of the people of England;
    - (b) the quality of services provided to individuals –
      - (i) by relevant bodies, or
      - (ii) in pursuance of arrangements made by relevant bodies,for or in connection with the prevention, diagnosis or treatment of illness, as part of the health service in England;
  - (c) efficiency and sustainability in relation to the use of resources by relevant bodies for the purposes of the health service in England.
- (2B) In subsection (2A) “relevant bodies” means –
  - (a) NHS England,
  - (b) integrated care boards,
  - (c) NHS trusts established under section 25, and
  - (d) NHS foundation trusts.”

### *NHS payment scheme*

## 67 The NHS payment scheme

Schedule 10 –

- (a) replaces the national tariff with the NHS payment scheme, and

- (b) makes provision relating to the NHS payment scheme.

*Patient choice and provider selection*

**68 Regulations as to patient choice**

- (1) The National Health Service Act 2006 is amended as follows.
- (2) In section 6E (standing rules) – 5
- (a) in subsection (1) –
- (i) for “may” substitute “must”;
- (ii) for “or” substitute “and”;
- (b) after subsection (1) insert –
- “(1A) The regulations must make provision as to the arrangements that NHS England and integrated care boards must make, in exercising their commissioning functions, for enabling persons to whom specified treatments or other specified services are to be provided to make choices with respect to specified aspects of them. 10
- (1B) The regulations may make other provision for the purpose of securing that, in exercising their commissioning functions, NHS England and integrated care boards protect and promote the rights of persons to make choices in relation to treatments or other services, where those rights – 15
- (a) arise by virtue of regulations under subsection (1A), or
- (b) are described in the NHS Constitution.”;
- (c) omit subsection (2)(c). 20
- (3) After section 6E insert –
- “6F Enforcement of section 6E regulations relating to patient choice 25**
- (1) NHS England may investigate whether an integrated care board has failed or is likely to fail to comply with a requirement imposed by regulations under section 6E(1A) or (1B) (a “patient choice requirement”).
- (2) NHS England may direct an integrated care board – 30
- (a) to put in place measures for the purpose of preventing failures to comply with patient choice requirements or mitigating the effect of such failures, or
- (b) where an investigation under subsection (1) has been carried out, to remedy a failure to comply with patient choice requirements. 35
- (3) Where an investigation under subsection (1) is being or has been carried out, NHS England may accept from the integrated care board an undertaking that it will take any action falling within subsection (2)(a) or (b) that is specified in the undertaking, within a period that is so specified. 40
- (4) Where NHS England accepts an undertaking under subsection (3), NHS England may not –

- (a) continue to carry out any ongoing investigation under subsection (1) so far as relating to matters to which the undertaking relates, or
  - (b) give a direction under subsection (2) in relation to those matters, unless the integrated care board fails to comply with the undertaking. 5
- (5) If an integrated care board from which NHS England has accepted an undertaking under subsection (3) complies partially with the undertaking, NHS England must take the partial compliance into account in deciding whether to do something mentioned in subsection (4)(a) or (b). 10
- (6) Schedule 1ZA makes further provision about undertakings.

#### **6G Guidance relating to patient choice**

- (1) NHS England must publish guidance about how it intends to exercise powers conferred on it by section 6F and Schedule 1ZA.
  - (2) Before publishing guidance under this section, NHS England must obtain the approval of the Secretary of State.” 15
- (4) In section 13U (annual report), in subsection (2)(c), for the words from “sections” to the end substitute “or by virtue of –
- (i) section 6E(1A) and (1B);
  - (ii) section 13E; 20
  - (iii) section 13G;
  - (iv) section 13I;
  - (v) section 13Q.”
- (5) Schedule 11 inserts into the National Health Service Act 2006 a new Schedule 1ZA (undertakings by integrated care boards). 25

#### **69 Procurement regulations**

After section 12ZA of the National Health Service Act 2006 insert –

##### *“Procurement*

#### **12ZB Procurement regulations**

- (1) Regulations may make provision in relation to the procurement by relevant authorities of – 30
  - (a) health care services for the purposes of the health service in England, and
  - (b) other goods or services that are procured together with those health care services. 35
- (2) The regulations may, in particular, make provision in relation to –
  - (a) general objectives of procurement;
  - (b) procurement processes.
- (3) The regulations may, in particular, make provision for the purposes of – 40
  - (a) ensuring transparency or fairness in relation to procurement,
  - (b) ensuring that compliance can be verified, or

- (c) managing conflicts of interest.
- (4) NHS England may publish guidance about compliance with requirements imposed by the regulations.
- (5) A relevant authority must have regard to guidance published under this section. 5
- (6) Before publishing guidance under this section, NHS England must obtain the approval of the Secretary of State.
- (7) In this section –
  - “health care service” has the same meaning as in Part 3 of the Health and Social Care Act 2012 (see section 150 of that Act); 10
  - “relevant authority” means –
    - (a) a combined authority;
    - (b) an integrated care board;
    - (c) a local authority in England;
    - (d) NHS England; 15
    - (e) an NHS foundation trust;
    - (f) an NHS trust established under section 25.”

## 70 Procurement and patient choice: consequential amendments etc

- (1) In the National Health Service Act 2006, in section 12E (Secretary of State’s duty as respects variation in provision of health services), for subsection (2) substitute – 20
  - “(2) The functions mentioned in this subsection are the functions of the Secretary of State under –
    - (a) section 6E;
    - (b) section 12ZB; 25
    - (c) section 13A.”
- (2) Omit sections 75 to 78 of, and Schedule 9 to, the Health and Social Care Act 2012 (regulations etc relating to procurement, patient choice and competition).
- (3) In section 40 of the Small Business, Enterprise and Employment Act 2015 (investigation of procurement functions), in subsection (7), omit paragraph (b) and the “or” before it. 30
- (4) The National Health Service (Procurement, Patient Choice and Competition) (No. 2) Regulations 2013 (S.I. 2013/500) are revoked.

### *Competition*

## 71 Duty to provide assistance to the CMA 35

- (1) After section 13SA of the National Health Service Act 2006 (inserted by section 28(2) of this Act) insert –
  - “**13SB Provision of regulatory information or assistance to the CMA**
    - (1) NHS England must give the Competition and Markets Authority (“the CMA”) – 40

- (a) any regulatory information that the CMA may require to enable the CMA to exercise its relevant functions,
    - (b) any other regulatory information it considers would assist the CMA in exercising its relevant functions, and
    - (c) any other assistance the CMA may require to assist the CMA in exercising its relevant functions. 5
  - (2) In this section –
    - “regulatory information” means information held by NHS England in connection with –
      - (a) its regulatory functions falling within section 13SA(2)(a) or (b), or 10
      - (b) its functions under –
        - (i) sections 6F and Schedule 1ZA (patient choice: enforcement);
        - (ii) sections 27A and 27C (NHS trusts: oversight and support and recommendations about restructuring); 15
    - “relevant functions”, in relation to the CMA, means its functions under the Competition Act 1998 and the Enterprise Act 2002 so far as those functions are exercisable on behalf of the CMA by the CMA Board or a CMA group (within the meaning of Schedule 4 to the Enterprise and Regulatory Reform Act 2013).” 20
  - (2) In the Health and Social Care Act 2012, omit section 80 (co-operation between monitor and CMA).
- 72 Mergers of providers: removal of CMA powers 25**
- (1) After section 72 of the National Health Service Act 2006 insert –
    - “NHS trusts and foundation trusts: exemption from merger legislation*
- 72A Exemption from Part 3 of the Enterprise Act 2002**
- (1) For the purposes of Part 3 of the Enterprise Act 2002 (mergers), a relevant merger situation is not to be treated as having been created where two or more relevant NHS enterprises cease to be distinct enterprises. 30
  - (2) But subsection (1) does not apply to a case where two or more relevant NHS enterprises and one or more enterprises that are not relevant NHS enterprises cease to be distinct enterprises. 35
  - (3) In this section “relevant NHS enterprise” means the activities, or part of the activities, of –
    - (a) an NHS trust established under section 25;
    - (b) an NHS foundation trust.”
  - (2) Omit section 79 of the Health and Social Care Act 2012 (competition: mergers involving NHS foundation trusts). 40

- 73 Removal of functions relating to competition etc**
- (1) Omit sections 72 and 73 of the Health and Social Care Act 2012 (Monitor and CMA: concurrent functions).
  - (2) Schedule 12 contains consequential amendments.
- 74 Removal of CMA’s involvement in licensing etc** 5
- (1) The Health and Social Care Act 2012 is amended as follows.
  - (2) In section 95 (licensing: special conditions), in subsection (1)–
    - (a) in paragraph (a), omit “with the consent of the applicant,”;
    - (b) in paragraph (b), omit “with the consent of the licence holder,”.
  - (3) In section 100 (modification of standard conditions)– 10
    - (a) omit subsections (6) to (9);
    - (b) in subsection (11) omit “and section 101”.
  - (4) Omit section 101 (modification references to the CMA).
  - (5) In section 103 (standard condition as to transparency of certain criteria), in subsection (3)– 15
    - (a) in paragraph (a), for “the powers conferred on Monitor by sections 100, 101(7) and paragraph 7(2) of Schedule 10” substitute “the power conferred on NHS England by section 100”;
    - (b) omit paragraph (b) but not the “and” at the end.
  - (6) In section 141 (levy on providers: consultation), in subsection (8), omit “and section 142”. 20
  - (7) Omit section 142 (levy on providers: responses to consultation).
  - (8) In section 304 (regulations, orders and directions), in subsection (5), omit paragraphs (d) and (j).
  - (9) Omit Schedule 10 (references by Monitor to the CMA). 25

*Miscellaneous*

- 75 Special Health Authorities: removal of 3 year limit**
- (1) In the National Health Service Act 2006–
    - (a) omit section 28A (three year limit for special health authorities);
    - (b) in section 272(6), omit paragraph (zc). 30
  - (2) In the NHS Counter Fraud (Establishment, Constitution, and Staff and Other Transfer Provisions) Order 2017 (S.I. 2017/958)–
    - (a) in article 2, omit the definition of “the abolition date”;
    - (b) omit Part 4 (including Schedule 3) (abolition of the authority).
  - (3) In consequence of subsection (1), in the Health and Social Care Act 2012, omit section 48. 35



## 76 Pharmaceutical services: remuneration in respect of vaccines etc

- (1) Section 164 of the National Health Service Act 2006 (remuneration for persons providing pharmaceutical services) is amended as follows.
- (2) In subsection (8A) for “special medicinal products” substitute “any of the following—”
  - (a) drugs or medicines used for vaccinating or immunising people against disease,
  - (b) anything used in connection with the supply or administration of drugs or medicines within paragraph (a),
  - (c) drugs or medicines, not within paragraph (a), that are used for preventing or treating a disease that, at the time the regulations are made, the Secretary of State considers to be a pandemic disease or at risk of becoming a pandemic disease,
  - (d) anything used in connection with the supply or administration of drugs or medicines within paragraph (c), or
  - (e) a product which is a special medicinal product for the purposes of regulation 167 of the Human Medicines Regulations 2012 (S.I. 2012/1916).”
- (3) In subsection (8D)—
  - (a) for “special medicinal products are” substitute “anything within subsection (8A)(a) to (e) is”;
  - (b) in paragraph (b), for “special medicinal products” substitute “that thing.”
- (4) In subsection (8E), omit the definition of “special medicinal product”.
- (5) After subsection (8E) insert—

“(8F) Where regulations include provision made in reliance on subsection (8A)(c) or (d) and the Secretary of State considers that the disease to which it relates is no longer a pandemic disease or at risk of becoming a pandemic disease, the Secretary of State must revoke that provision within such period as the Secretary of State considers reasonable (taking into account, in particular, the need for any transitional arrangements).”

## 77 Tidying up etc provisions about accounts of certain NHS bodies

- (1) After section 29 of the National Health Service Act 2006 insert—

**“29A Special Health Authorities: accounts and audit**

  - (1) In this section a reference to a Special Health Authority is to a Special Health Authority which—
    - (a) performs functions only or mainly in respect of England, or
    - (b) neither performs functions only or mainly in respect of England, nor performs functions only or mainly in respect of Wales.
  - (2) A Special Health Authority must keep proper accounts and proper records in relation to the accounts.
  - (3) The Secretary of State may give a Special Health Authority directions as to the form in which its accounts must be kept.

- 
- (4) A Special Health Authority must prepare, in respect of each financial year, annual accounts in such form as the Secretary of State may direct.
- (5) A Special Health Authority must send copies of any annual accounts prepared by it under subsection (4) –
- (a) to the Secretary of State, by such date as the Secretary of State may direct, and
  - (b) to the Comptroller and Auditor General, as soon as is reasonably practicable following the end of the financial year in question.
- (6) The Comptroller and Auditor General must examine, certify and report on the annual accounts.
- (7) The Special Health Authority must lay before Parliament –
- (a) a copy of the annual accounts, and
  - (b) the Comptroller and Auditor General’s report on them.
- (8) Nothing in subsection (2) requires any annual accounts prepared by a Special Health Authority to include matters relating to a charitable trust of which it is a trustee.
- (9) Nothing in subsection (4) has effect in relation to accounts relating to a charitable trust of which the Special Health Authority is a trustee.”
- (2) In Schedule 4 to that Act (NHS trusts), after paragraph 11 insert –
- “Accounts and audit*
- 11A (1) An NHS trust must keep proper accounts and proper records in relation to the accounts.
- (2) The Secretary of State may give an NHS trust directions as to the form in which its accounts must be kept.
  - (3) An NHS trust must prepare, in respect of each financial year, annual accounts in such form as the Secretary of State may direct.
  - (4) For the audit of the annual accounts, see the Local Audit and Accountability Act 2014 (and, in particular, section 4 of that Act).
  - (5) The Comptroller and Auditor General may examine –
    - (a) the annual accounts and any records relating to them, and
    - (b) any report on them by the auditor or auditors.
  - (6) An NHS trust must send a copy of its audited annual accounts to NHS England by such date as NHS England may direct.
  - (7) Nothing in sub-paragraph (1) has effect in relation to accounts relating to a charitable trust of which an NHS trust is a trustee.
  - (8) Nothing in sub-paragraph (3) requires any accounts prepared by an NHS trust to include matters relating to a charitable trust of which it is a trustee.”
- (3) In consequence of subsections (1) and (2) –
- (a) in section 6(3)(b) of the National Audit Act 1983, omit “Schedule 15 to the National Health Service Act 2006 or”;

- (b) in the National Health Service Act 2006, omit –
  - (i) section 232 and the italic heading before it;
  - (ii) section 277(3)(n);
  - (iii) Schedule 15;
- (c) in section 57(2A) of the Local Electoral Administration and Registration Services (Scotland) Act 2006, omit “(apart from in Schedule 15)”. 5

## 78 Repeal of spent powers to make transfer schemes etc

- (1) In the Health and Social Care Act 2012, omit –
  - (a) sections 300 and 301;
  - (b) section 308(3)(i); 10
  - (c) Schedules 22 and 23.

- (2) For section 302 of that Act substitute –

### “302 Transfer schemes in respect of previously transferred property

- (1) This section applies in relation to any property, rights or liabilities transferred under a property transfer scheme made under section 300(1) (before its repeal) from a Primary Care Trust, a Strategic Health Authority or the Secretary of State to a Special Health Authority or a qualifying company. 15
- (2) The Secretary of State may make a scheme for the transfer of any such property, rights or liabilities from the Special Health Authority or qualifying company to any of the following – 20
  - (a) a Minister of the Crown;
  - (b) NHS England;
  - (c) an integrated care board;
  - (d) an NHS trust; 25
  - (e) an NHS foundation trust;
  - (f) a qualifying company.
- (3) The things that may be transferred under a scheme under this section include –
  - (a) property, rights and liabilities that could not otherwise be transferred; 30
  - (b) property acquired, and rights and liabilities arising, after the making of the scheme;
  - (c) criminal liabilities, except where transfer is to a Minister of the Crown. 35
- (4) A transfer scheme under this section may make supplementary, incidental, transitional and consequential provision and may in particular –
  - (a) create rights, or impose liabilities, in relation to property or rights transferred; 40
  - (b) make provision about the continuing effect of things done by the transferor in respect of anything transferred;
  - (c) make provision about the continuation of things (including legal proceedings) in the process of being done by, on behalf of or in relation to the transferor in respect of anything transferred; 45

- (d) make provision for references to the transferor in an instrument or other document in respect of anything transferred to be treated as references to the transferee.
- (5) A transfer scheme under this section may make provision for the shared ownership or use of property. 5
- (6) A transfer scheme under this section may provide—
  - (a) for the scheme to be modified by agreement after it comes into effect, and
  - (b) for any such modifications to have effect from the date when the original scheme comes into effect. 10
- (7) In this section references to the transfer of property include references to the grant of a lease.
- (8) In this section “qualifying company” means—
  - (a) a company which is formed under section 223 of the National Health Service Act 2006 and wholly or partly owned by the Secretary of State or NHS England, or 15
  - (b) a subsidiary of a company which is formed under that section and wholly owned by the Secretary of State.”
- (3) In Schedule 1 to the Public Records Act 1958 (bodies the records of which are public records), in Part 1 of the Table at the end of paragraph 3, omit “or section 300 of the Health and Social Care Act 2012”. 20

## 79 Abolition of Local Education and Training Boards

- (1) The committees of Health Education England called Local Education and Training Boards are abolished.
- (2) In consequence, the Care Act 2014 is amended as follows. 25
- (3) In section 100 (objectives, priorities and outcomes), in subsection (4)—
  - (a) after paragraph (a), insert “and”;
  - (b) omit paragraph (c).
- (4) Omit sections 103 to 107 and the italic heading before them (local functions).
- (5) In section 108 (tariffs), in subsection (9), omit “an LETB or”. 30
- (6) In section 119 (interpretation and supplementary provision), in the table in subsection (1), omit the entries relating to the following—
  - “appointment criteria”;
  - “commissioner of health services”;
  - “LETB”. 35
- (7) In Schedule 5 (Health Education England)—
  - (a) in paragraph 9, in sub-paragraph (3), omit “(including a committee which HEE is required to appoint under section 103(1) (LETBs))”;
  - (b) in paragraph 13—
    - (i) in sub-paragraph (2), omit “(but see sub-paragraph (5))”;
    - (ii) omit sub-paragraph (5); 40
  - (c) in paragraph 26, in sub-paragraph (2)—
    - (i) omit paragraph (a);

- (ii) in paragraph (b), omit “other”;
- (d) in paragraph 27, in sub-paragraph (2) –
  - (i) omit paragraph (a) and the “and” at the end;
  - (ii) in paragraph (b), omit “other”.
- (8) Omit Schedule 6 (local education and training boards). 5

## 80 Hospital patients with care and support needs: repeals etc

- (1) In the Care Act 2014, omit section 74 and Schedule 3 (assessment notices etc in relation to the discharge of hospital patients with care and support needs).
- (2) The Community Care (Delayed Discharges etc) Act 2003 is repealed.
- (3) In consequence of subsection (1) – 10
  - (a) in section 14 of the Coronavirus Act 2020, omit subsection (8);
  - (b) the Care and Support (Discharge of Hospital Patients) Regulations 2014 (S.I. 2014/2823) are revoked.
- (4) In consequence of subsection (2) –
  - (a) in Schedule 1 to the Local Authority Social Services Act 1970, omit the entry relating to the Community Care (Delayed Discharges etc) Act 2003; 15
  - (b) in the Children Act 1989 –
    - (i) in section 17ZA(6)(b), omit sub-paragraph (iii);
    - (ii) in section 17ZD(8)(b), omit sub-paragraph (iii); 20
  - (c) in Schedule 2 to the Social Services and Well-being (Wales) Act 2014 (anaw 4), in Table 1 –
    - (i) in the English language text, omit the entry relating to the Community Care (Delayed Discharges etc) Act 2003;
    - (ii) in the Welsh language text, omit the entry relating to Deddf Gofal Cymunedol (Rhyddhau Gohiriedig etc) 2003. 25

## PART 2

### HEALTH AND ADULT SOCIAL CARE: INFORMATION

## 81 Information standards

- (1) The Health and Social Care Act 2012 is amended as follows. 30
- (2) In section 250 (information standards) –
  - (a) for subsection (2) substitute –
    - “(2) For the purposes of this Part “an information standard” is a standard in relation to the processing of information.
  - (2A) An information standard must specify to whom it applies. 35
  - (2B) An information standard may apply to one or more persons falling within the following paragraphs –
    - (a) the Secretary of State;
    - (b) NHS England;

- 
- (c) a public body which exercises functions in connection with the provision of health care or of adult social care in England;
      - (d) any person, other than a public body, who is required to be registered under Chapter 2 of Part 1 of the Health and Social Care Act 2008 in respect of the carrying on of a regulated activity (within the meaning of Part 1 of that Act).”; 5
    - (b) in subsection (3), for “services” substitute “care”;
    - (c) omit subsection (5); 10
    - (d) for subsection (6) substitute –
      - “(6) The Secretary of State must –
        - (a) have regard to any information standard published by NHS England that applies to the Secretary of State, and
        - (b) comply with any information standard published by the Secretary of State that applies to the Secretary of State. 15
      - (6A) Any other person to whom an information standard published under this section applies must comply with the information standard, except in so far as the requirement to comply is waived (see subsection (6B)). 20
      - (6B) Regulations may confer on a person who publishes an information standard the power to waive a person’s requirement to comply with the information standard (in whole or in part and generally or for a specific period).
      - (6C) The regulations may include provision – 25
        - (a) limiting the circumstances in which waivers may be granted;
        - (b) setting out the procedure to be followed in connection with waivers;
        - (c) requiring an information standard to include specified information about waivers. 30
      - (6D) For enforcement of information standards against persons other than public bodies, see section 277E.”;
    - (e) in subsection (7) –
      - (i) at the appropriate place insert – 35
        - ““health care” includes all forms of health care whether relating to physical or mental health and also includes procedures that are similar to forms of medical or surgical care but are not provided in connection with a medical condition.”; 40
      - (ii) omit the definition of “health services”.
  - (3) For section 251 substitute –
    - “**251 Information standards: procedure etc**
      - (1) Regulations – 45

- (a) must make provision about the procedure to be followed in connection with the preparation and publication of information standards under section 250;
  - (b) may require an information standard published under section 250 to be reviewed periodically in accordance with the regulations. 5
- (2) Before laying a draft of regulations under subsection (1) before either House of Parliament, the Secretary of State must consult such persons as the Secretary of State considers appropriate.
- (3) For the purposes of section 250 the Secretary of State or NHS England may adopt an information standard prepared or published by another person. 10

**251ZA Information standards: compliance**

- (1) The Secretary of State may require a person to provide the Secretary of State with documents, records or other information for the purposes of monitoring the person’s compliance with information standards published under section 250. 15
- (2) A requirement under subsection (1) may specify –
  - (a) the form and manner in which information is to be provided, and
  - (b) when information is to be provided. 20
- (3) A requirement under subsection (1) must be in writing.
- (4) For enforcement of requirements under subsection (1) against persons other than public bodies, see section 277E.”
- (4) In section 251C (continuity of information: interpretation) – 25
  - (a) after subsection (6) insert –
    - “(6A) “Health services” means services which must or may be provided as part of the health service in England; and for that purpose “the health service” has the same meaning as in the National Health Service Act 2006 (see section 275(1) of that Act).”; 30
  - (b) for subsection (7) substitute –
    - “(7) “Adult social care” and “public body” have the same meaning as in section 250; and “processes and “processed” are to be read in accordance with the meaning of “processing” in that section.” 35
- (5) In section 304 (regulations etc), in subsection (5), before paragraph (k) insert –
  - “(ja) regulations under section 250(6B) or 251(1) (regulations about waiver of information standards and the procedure for setting standards);”.

**82 Sharing anonymous health and social care information**

After section 251C of the Health and Social Care Act 2012 insert –

**“CHAPTER 1B****SHARING OF ANONYMOUS INFORMATION**

<b>251D Sharing anonymous information</b>	5
(1) A health or social care body may –	
(a) require another health or social care body to provide information, other than personal information, that relates only to its activities in connection with the provision of health services or adult social care in England;	10
(b) require a private health or social care provider to provide information, other than personal information, that relates only to its activities in connection with the provision of health services, or adult social care in England, in pursuance of arrangements made with a public body.	15
(2) A health or social care body may impose a requirement under subsection (1) only for purposes related to its functions in connection with the provision of health services or adult social care in England.	
(3) Regulations may create exceptions to subsection (1), which may be framed by reference to specified bodies or descriptions of bodies, descriptions of information or otherwise.	20
(4) Subsection (1) does not require a person to process information so as to render it into a form in which it must be provided.	
(5) For enforcement of requirements under subsection (1)(b), see section 277E.	25
(6) For the purposes of this section –	
“adult social care” has the meaning given by section 250(7);	
“health services” has the meaning given by section 251C(6A);	
“health or social care body” means a public body which exercises functions in connection with the provision of health services or of adult social care in England;	30
“personal information” means information which is in a form that –	
(a) identifies any individual, or	
(b) enables the identity of any individual to be ascertained;	35
“private health or social care provider” means a person (other than a public body) who provides health services, or adult social care in England, pursuant to arrangements made with a health or social care body;	
“public body” has the meaning given by section 250(7).”	40

**83 General duties of the Health and Social Care Information Centre etc**

- (1) The Health and Social Care Act 2012 is amended as follows.
- (2) In section 253(1) (general duties of the Information Centre) –



- (a) omit the “and” at the end of paragraph (ca);
  - (b) after paragraph (ca) insert –
    - “(cb) the need to promote the effective and efficient planning, development and provision of health services and of adult social care in England;”
  - (c) at the end of paragraph (d) insert “, and
    - (e) the need to balance the needs mentioned in this subsection against one another, so far as they compete.”
- (3) In section 261(1A) (other dissemination of information), for “the purposes of” substitute “purposes connected with”.

#### 84 Collection of information from private health care providers

In section 259 of the Health and Social Care Act 2012 (powers to require and request provision of information), in subsection (1) –

- (a) at the end of paragraph (a) omit “and”;
- (b) for paragraph (b) substitute –
  - “(aa) require any health care provider, not within paragraph (a), to provide the Centre with any information which the Centre considers it necessary or expedient to have for the purposes of complying with a direction of the Secretary of State under section 254, and
  - (b) request any person to provide the Centre with any information which the Centre considers it necessary or expedient to have for the purposes of any function it exercises by virtue of this Chapter.”;
- (c) in subsection (2), for “Those persons” substitute “The persons mentioned in this subsection are”;
- (d) omit subsection (4);
- (e) in subsection (5), after “subsection (1)(a)” insert “or (aa)”;
- (f) omit subsection (7);
- (g) in subsection (9), after “subsection” insert “(1)(aa) or”;
- (h) after subsection (10) insert –
  - “(10A) For enforcement of requirements under subsection (1)(a) or (aa) against persons other than public bodies, see section 277E.
  - (10B) In this section “health care provider” means a person who is required to be registered under Chapter 2 of Part 1 of the Health and Social Care Act 2008 in respect of the carrying on of a regulated activity (within the meaning of Part 1 of that Act) involving or connected with the provision of health care.”

**85 Collection of information about adult social care**

In Part 9 of the Health and Social Care Act 2012, after section 277 insert –

**“CHAPTER 3****INFORMATION ABOUT ADULT SOCIAL CARE**

<b>277A Provision of adult social care information to Secretary of State</b>	<b>5</b>
(1) The Secretary of State may require a relevant provider of adult social care services to provide the Secretary of State with information that relates to –	
(a) the person on whom the requirement is imposed,	
(b) their activities in connection with the provision of adult social care in England, or	10
(c) any person to whom they have provided –	
(i) adult social care in England, or	
(ii) adult social care, outside England, in pursuance of arrangements made by an English local authority.	15
(2) The Secretary of State may impose a requirement under subsection (1) only if the information is sought for purposes connected with the health care system, or adult social care system, in England.	
(3) A requirement under subsection (1) may specify –	
(a) the form and manner in which information is to be provided, and	
(b) when information is to be provided.	20
(4) A requirement under subsection (1) must be in writing.	
(5) The provision of information under this section –	
(a) does not breach any obligation of confidence owed by the person providing it, but	25
(b) is subject to any express restriction on disclosure imposed by any enactment (other than a restriction which allows disclosure if authorised by an enactment).	
(6) For enforcement of requirements under subsection (1), see section 277E.	30
(7) In this section –	
“adult social care” –	
(a) includes all forms of personal care and other practical assistance provided for individuals who, by reason of age, illness, disability, pregnancy, childbirth, dependence on alcohol or drugs, or any other similar circumstances, are in need of such care or other assistance, but	35
(b) does not include anything provided by an establishment or agency for which Her Majesty’s Chief Inspector of Education, Children’s Services and Skills is the registration authority under section 5 of the Care Standards Act 2000;	40
“English local authority” means –	

- (a) a county council in England;
  - (b) a district council for an area in England for which there is no county council;
  - (c) a London borough council;
  - (d) the Common Council of the City of London (in its capacity as a local authority);
- 5
- “relevant provider of adult social care services” means a person who is required to be registered under Chapter 2 of Part 1 of the Health and Social Care Act 2008 in respect of the carrying on of a regulated activity (within the meaning of Part 1 of that Act) involving or connected with the provision of adult social care.
- 10

### **277B Restrictions on onward disclosure of information**

- (1) Information provided under section 277A may not be disclosed by the Secretary of State except for purposes connected with the health care system, or adult social care system, in England. 15
- (2) Commercially sensitive information provided under section 277A may not be disclosed by the Secretary of State (even for the purposes mentioned in subsection (1)) unless the Secretary of State considers that the disclosure is appropriate, having taken into account the public interest as well as the interests of the person to whom the commercially sensitive information relates. 20
- (3) Subsections (1) and (2) do not restrict the disclosure of information where—
  - (a) the person to whom the information relates has consented to the disclosure, 25
  - (b) the information has previously been lawfully disclosed to the public,
  - (c) the disclosure is in accordance with any court order,
  - (d) the disclosure is necessary or expedient for the purposes of protecting the welfare of any individual, 30
  - (e) the disclosure is made to any person in circumstances where it is necessary or expedient for the person to have the information for the purpose of exercising functions of that person conferred under or by virtue of any provision of this or any other Act,
  - (f) the disclosure is in connection with the investigation of a criminal offence (whether or not in the United Kingdom), or 35
  - (g) the disclosure is for the purpose of criminal proceedings (whether or not in the United Kingdom).
- (4) In this section “commercially sensitive information” means commercial information whose disclosure the Secretary of State thinks might significantly harm the legitimate business interests of the person to whom it relates. 40

### **277C Directions to certain bodies to exercise functions under this Chapter**

- (1) The Secretary of State may direct the Health and Social Care Information Centre to exercise the functions of the Secretary of State under section 277A (and where a direction is given, section 277B applies accordingly). 45

- (2) The Secretary of State may direct a Special Health Authority performing functions only or mainly in respect of England to exercise the functions of the Secretary of State under section 277A (and where a direction is given, section 277B applies accordingly).
- (3) The Secretary of State may give directions to a body about the exercise of any functions that it is directed to exercise under subsection (1) or (2) (including directions as to the processing of information that the body obtains in exercising those functions). 5

#### **277D Arrangements with third parties**

- (1) The Secretary of State may make arrangements for any person prescribed by regulations under this subsection to exercise the functions of the Secretary of State under section 277A (and where arrangements are made, section 277B applies accordingly). 10
- (2) Arrangements under subsection (1) may –
- (a) provide for the Secretary of State to make payments to the person; 15
  - (b) make provision as to the circumstances in which any such payments are to be repaid to the Secretary of State.
- (3) Section 304(9) (differential provision) applies in relation to the power to make arrangements under subsection (1) as it applies to a power of the Secretary of State to give directions under this Act.” 20

#### **86 Enforcement of duties against private providers**

- (1) The Health and Social Care Act 2012 is amended as follows.
- (2) After section 277D (inserted by section 85 of this Act) insert –

#### **“CHAPTER 4 25**

#### **ENFORCEMENT**

#### **277E Enforcement of provisions under this Part**

- (1) Regulations may make provision conferring on the Secretary of State the power to impose a financial penalty on a person, other than a public body, who without reasonable excuse – 30
- (a) fails to comply with an information standard (unless the requirement for the person to comply has been waived by virtue of regulations under section 250(6B));
  - (b) fails to comply with a requirement to provide information imposed under section 251ZA(1), 251D(1)(b), 259(1)(a) or (aa) or 277A(1); 35
  - (c) provides information in response to such a requirement that is false or misleading to a material extent.
- (2) The amount of the financial penalty is to be specified in, or determined in accordance with, the regulations. 40
- (3) The regulations must include provision –

- (a) requiring the Secretary of State, before imposing a financial penalty on a person, to give the person written notice (a “notice of intent”) of the proposed financial penalty;
  - (b) ensuring that the person is given an opportunity to make representations about the proposed financial penalty; 5
  - (c) requiring the Secretary of State, after the period for making representations, to decide whether to impose the financial penalty;
  - (d) requiring the Secretary of State, if the Secretary of State decides to impose the financial penalty, to give the person notice in writing (a “final notice”) imposing the penalty; 10
  - (e) enabling a person on whom a financial penalty is imposed to appeal to the First-tier Tribunal in accordance with the regulations;
  - (f) as to the powers of the Tribunal on such an appeal. 15
- (4) The provision that may be made by the regulations includes provision—
- (a) enabling a notice of intent or final notice to be withdrawn or amended;
  - (b) requiring the Secretary of State to withdraw a final notice in circumstances specified in the regulations; 20
  - (c) for a financial penalty to be increased by an amount specified in or determined in accordance with the regulations in the event of late payment;
  - (d) for the recovery of financial penalties in the county court. 25
- (5) In this section “public body” has the meaning given by section 250(7).

**277F Directions to Special Health Authority to exercise functions under section 277E**

The Secretary of State may —

- (a) direct a Special Health Authority performing functions only or mainly in respect of England to exercise the functions of the Secretary of State under regulations made under section 277E; 30
  - (b) give the Special Health Authority directions about the exercise of those functions (including directions as to the processing of information that the body obtains in exercising those functions).” 35
- (3) In section 304 (regulations etc), in subsection (5), after paragraph (ja) (inserted by section 81 of this Act) insert —
- “(jb) regulations under section 277E (regulations about enforcement);”.
- 40

**87 Medicine information systems**

- (1) The Medicines and Medical Devices Act 2021 is amended in accordance with subsections (2) to (9).
- (2) In Part 2, for the heading of Chapter 1 substitute “Regulations: general”.

- (3) After that Chapter insert –

**“CHAPTER 1A**

REGULATIONS: INFORMATION SYSTEMS

**7A Information systems**

- |     |   |    |
|-----|---|----|
| (1) | The appropriate authority may by regulations make provision about the establishment and operation by the Health and Social Care Information Centre (“the Information Centre”) of one or more information systems for purposes relating to – | 5  |
|     | (a) the safety, quality and efficacy of human medicines;  |    |
|     | (b) the improvement of clinical decision-making in relation to human medicines.   | 10 |
| (2) | The regulations may (among other things) make provision –   |    |
|     | (a) about the information in relation to human medicines which may or must be entered or retained in an information system established under subsection (1);  | 15 |
|     | (b) requiring information to be provided to the Information Centre for the purposes of its functions under the regulations;   |    |
|     | (c) about the use or disclosure of information contained in an information system established under subsection (1);   |    |
|     | (d) requiring the Information Centre to have regard to specified matters in exercising its functions under the regulations.   | 20 |
| (3) | The provision mentioned in subsection (2)(a) and (b) may relate to –  |    |
|     | (a) information for specified purposes,   |    |
|     | (b) information that the Information Centre considers it necessary or expedient to have for the purposes of its functions under the regulations,  | 25 |
|     | (c) information (including information relating to individuals) which is of a specified description, or   |    |
|     | (d) information (including information relating to individuals) which is of a description set out in a direction in writing given by the appropriate authority.   | 30 |
| (4) | The provision mentioned in subsection (2)(b) may include provision –  |    |
|     | (a) requiring, or enabling the Information Centre to require, specified persons or descriptions of persons to whom subsection (5) applies to provide information to the Information Centre;   | 35 |
|     | (b) about the manner in which, and the time at which, those persons must provide information, or for those matters to be determined by the Information Centre;  |    |
|     | (c) about any procedural steps the Information Centre must follow in requiring a person to provide information to it;   | 40 |
|     | (d) requiring specified persons or descriptions of persons to whom subsection (5) applies to record or retain information which they are, or may be, required to provide to the Information Centre under the regulations;                   | 45 |
|     | (e) in relation to the enforcement of any requirement imposed by or under the regulations.  |    |

- (5) This subsection applies to any person who provides services, or exercises any powers or duties, relating to—
- (a) human medicines,
  - (b) health, or
  - (c) education. 5
- (6) The provision mentioned in subsection (2)(c) may include provision about—
- (a) the analysis by the Information Centre of information that is contained in an information system (whether alone or in combination with other information) for the purposes mentioned in subsection (1) or for other purposes; 10
  - (b) the publication by the Information Centre of information that is contained in an information system or has been analysed in combination with such information;
  - (c) the disclosure (other than by way of publication) of information mentioned in paragraph (b) to specified persons or descriptions of persons, or for specified purposes; 15
  - (d) the use or further disclosure by any person of information disclosed to them under the regulations.
- (7) Regulations conferring on the appropriate authority a power to give a direction by virtue of subsection (3)(d) must provide that the power includes power to vary or revoke the directions by a subsequent direction. 20
- (8) Regulations under subsection (1) may provide that the disclosure of information by virtue of this section does not breach— 25
- (a) an obligation of confidence owed by the person making the disclosure, or
  - (b) any other restriction on the disclosure of the information (however imposed), other than a restriction imposed by the data protection legislation. 30
- (9) In this section—
- “data protection legislation” has the meaning given by section 3(9) of the Data Protection Act 2018;
  - “human medicine” has the same meaning as in Part 2 (see section 9); 35
  - “specified” means specified in regulations under subsection (1).

## **7B Offence of disclosing information**

- (1) A person to whom information is disclosed under regulations under section 7A(1) commits an offence if the person uses or discloses that information in contravention of the regulations. 40
- (2) A person guilty of an offence under this section is liable—
- (a) on summary conviction in England and Wales, to imprisonment for a term not exceeding 51 weeks, to a fine or to both;
  - (b) on summary conviction in Scotland or Northern Ireland, to imprisonment for a term not exceeding 6 months, to a fine not exceeding level 5 on the standard scale or to both. 45

- (3) In relation to an offence committed before the coming into force of section 281(5) of the Criminal Justice Act 2003, the reference in subsection (2)(a) to 51 weeks is to be read as a reference to 6 months.”
- (4) In section 19 (medical devices: information systems) –
- (a) in subsection (6) – 5
- (i) in paragraph (b) for “contained in an information system” substitute “that is contained in an information system or has been analysed in combination with such information”;
- (ii) in paragraph (c) for “contained in an information system” substitute “mentioned in paragraph (b)”;
- (b) after subsection (7) insert – 10
- “(7A) Regulations under this section may provide that the disclosure of information by virtue of this section does not breach –
- (a) an obligation of confidence owed by the person making the disclosure, or 15
- (b) any other restriction on the disclosure of the information (however imposed), other than a restriction imposed by the data protection legislation.”
- (5) In section 43 (power to make consequential etc provision) –
- (a) in subsection (1), for “This section” substitute “Subsection (2)”;
- (b) after subsection (2) insert – 20
- “(3) Provision made by regulations under section 7A or 19 by virtue of subsection (2)(a) may include provision –
- (a) changing the territorial extent of provisions of Chapter 2 of Part 9 of the Health and Social Care Act 2012 (constitution and functions etc of the Health and Social Care Information Centre), or 25
- (b) otherwise amending that Chapter.”
- (6) In section 44 (scope of powers of Northern Ireland departments) after “2(1)” insert “, 7A(1)”. 30
- (7) In section 45 (consultation), in subsection (6), for paragraph (a) (but not the “and” at the end) substitute –
- “(a) in relation to regulations made under section 2(1) or 7A(1), the appropriate authority within the meaning given by section 2(6),
- (aa) in relation to regulations made under section 10(1), the appropriate authority within the meaning given by section 10(6),”. 35
- (8) In section 46 (reporting requirements) –
- (a) in subsections (1), (3)(b) and (4)(a), after “2(1),” insert “7A(1),”;
- (b) in subsection (5), in paragraphs (a) and (b) of the definition of “relevant authority”, after “2(1)” insert “, 7A(1)”. 40
- (9) In section 47 (procedure for regulations), in subsection (2), after “2(1)” insert “, 7A(1)”. 40
- (10) In section 253 of the Health and Social Care Act 2012 (general duties of



Information Centre), after subsection (2) insert –

“(2A) Subsections (1) and (2) do not apply in relation to the functions of the Information Centre by virtue of the Medicines and Medical Devices Act 2021.”

### PART 3

5

#### SECRETARY OF STATE'S POWERS TO TRANSFER OR DELEGATE FUNCTIONS

#### 88 Relevant bodies

In this Part, “relevant body” means –

- (a) Health Education England,
- (b) the Health and Social Care Information Centre, 10
- (c) the Health Research Authority,
- (d) the Human Fertilisation and Embryology Authority,
- (e) the Human Tissue Authority, or
- (f) NHS England.

#### 89 Power to transfer functions between bodies

15

- (1) The Secretary of State may by regulations transfer a function of a relevant body to another relevant body.
- (2) Regulations under this section may be made only if the Secretary of State considers that they serve the purpose of improving the exercise of public functions, having regard to – 20
  - (a) efficiency,
  - (b) effectiveness,
  - (c) economy, and
  - (d) securing appropriate accountability to Ministers.
- (3) Regulations under this section may not transfer a function of NHS England if the Secretary of State considers that to do so would make NHS England redundant. 25
- (4) The provision which may be made by regulations under this section by virtue of section 135(1)(a) includes provision – 30
  - (a) modifying functions of either relevant body (see further section 91(1));
  - (b) modifying the constitutional or funding arrangements of either relevant body (see further section 91(2) and (3));
  - (c) abolishing the relevant body from which functions are transferred, where the Secretary of State considers that it is redundant as a result of the transfer of functions. 35
- (5) Where –
  - (a) regulations under this section contain provision for a body to exercise a function that is exercisable in relation to Scotland, Wales or Northern Ireland,
  - (b) immediately before that provision takes effect, the function is exercisable by another body whose constitutional arrangements contain provision (however expressed) for the body to include a 40

- member whose experience, functions or appointment are connected with that part of the United Kingdom, and
- (c) the Secretary of State considers that the constitutional arrangements of the body referred to in paragraph (a) do not contain corresponding provision as to membership, 5
- the Secretary of State must make provision by virtue of section 135(1)(a) modifying the constitutional arrangements of the body referred to in paragraph (a) so that they contain corresponding provision as to membership.
- (6) In this section, “Minister” means a Minister of the Crown (as defined by section 8 of the Ministers of the Crown Act 1975). 10

## 90 Power to provide for exercise of functions of Secretary of State

- (1) The Secretary of State may by regulations provide for a relevant body to exercise specified functions of the Secretary of State on behalf of the Secretary of State.
- (2) The functions that may be specified are – 15
- (a) any functions of the Secretary of State which relate to the health service in England;
- (b) any other functions that the Secretary of State may provide for a Special Health Authority to exercise.
- (3) The provision which may be made by regulations under this section by virtue of section 135(1)(a) includes provision – 20
- (a) modifying functions of the relevant body (see further section 91(1));
- (b) modifying the constitutional or funding arrangements of the relevant body (see further section 91(2) and (3)).
- (4) Where – 25
- (a) regulations under this section contain provision for a relevant body to exercise a function of the Secretary of State that is exercisable in relation to Scotland, Wales or Northern Ireland,
- (b) immediately before that provision takes effect, the Secretary of State’s function is exercisable by a body whose constitutional arrangements contain provision (however expressed) for the body to include a member whose experience, functions or appointment are connected with that part of the United Kingdom, and 30
- (c) the Secretary of State considers that the relevant body’s constitutional arrangements do not contain corresponding provision as to membership, 35
- the Secretary of State must make provision by virtue of section 135(1)(a) modifying the relevant body’s constitutional arrangements so that they contain corresponding provision as to membership.
- (5) Regulations under this section may make provision for determining whether and in what circumstances the Secretary of State or a relevant body is liable for the exercise of the specified functions by the relevant body. 40
- (6) The specification of a function in regulations under this section does not preclude the Secretary of State from exercising the function.
- (7) In this section “the health service” has the same meaning as in the National Health Service Act 2006 (see section 275(1) of that Act). 45

## 91 Scope of powers

- (1) In sections 89 and 90, references to modifying the functions of a body include –
  - (a) conferring a function on the body;
  - (b) abolishing a function of the body;
  - (c) changing the purpose or objective for which the body exercises a function; 5
  - (d) changing the conditions under which the body exercises a function.
- (2) In sections 89 and 90, references to the constitutional arrangements of a body include matters relating to –
  - (a) the name of the body; 10
  - (b) the chair of the body (including qualifications and procedures for appointment and functions);
  - (c) members of the body (including the number of members, qualifications and procedures for appointment and functions);
  - (d) staff of the body exercising functions on its behalf (including qualifications and procedures for appointment and functions); 15
  - (e) the body's powers to employ staff;
  - (f) governing procedures and arrangements (including the role and membership of committees and sub-committees);
  - (g) reports and accounts. 20
- (3) In sections 89 and 90, references to modifying the funding arrangements of a body include –
  - (a) modifying the extent to which it is funded by a Minister;
  - (b) conferring power on the body to charge fees for the exercise of a function (and to determine their amount). 25
- (4) Regulations under section 89 or 90 may repeal and re-enact (but may not create) –
  - (a) a power to make subordinate legislation,
  - (b) a power of forcible entry, search or seizure,
  - (c) a power to compel the giving of evidence, or 30
  - (d) a criminal offence.
- (5) The provision which may be made by regulations under section 89 or 90 may be made by repealing, revoking or amending provision made by or under an Act, whenever passed or made.
- (6) The provision which may be made by regulations under section 89 or 90 by virtue of section 135(1)(a) includes provision repealing, revoking or amending provision made by or under any of the following, whenever passed or made –
  - (a) an Act;
  - (b) an Act of the Scottish Parliament;
  - (c) a Measure or Act of Senedd Cymru; 40
  - (d) Northern Ireland legislation.
- (7) In this section, “Minister” means a Minister of the Crown (as defined by section 8 of the Ministers of the Crown Act 1975).

**92 Transfer schemes in connection with regulations**

- (1) The Secretary of State may, in connection with regulations under section 89 or 90, make one or more schemes for the transfer of property, rights and liabilities (“transfer schemes”).
- (2) A transfer scheme in connection with regulations under section 89 may provide for the transfer of property, rights or liabilities to any appropriate person from the relevant body from which functions are transferred by the regulations. 5
- (3) A transfer scheme in connection with regulations under section 90 may provide for the transfer of property, rights or liabilities to any appropriate person from – 10
- (a) the Secretary of State,
  - (b) a Special Health Authority, or
  - (c) any relevant body ceasing to exercise functions of the Secretary of State as a result of the regulations. 15
- (4) The things that may be transferred under a transfer scheme include –
- (a) property, rights and liabilities that could not otherwise be transferred;
  - (b) property acquired, and rights and liabilities arising, after the making of the scheme;
  - (c) criminal liabilities. 20
- (5) A transfer scheme may –
- (a) create rights, or impose liabilities, in relation to property or rights transferred;
  - (b) make provision about the continuing effect of things done by, on behalf of or in relation to the transferor in respect of anything transferred; 25
  - (c) make provision about the continuation of things (including legal proceedings) in the process of being done by, on behalf of or in relation to the transferor in respect of anything transferred;
  - (d) make provision for references to the transferor in an instrument or other document in respect of anything transferred to be treated as references to the transferee; 30
  - (e) make provision for the shared ownership or use of property;
  - (f) make provision which is the same as or similar to the TUPE regulations;
  - (g) make other consequential, supplementary, incidental or transitional provision. 35
- (6) A transfer scheme may provide –
- (a) for modifications by agreement;
  - (b) for modifications to have effect from the date when the original scheme came into effect.
- (7) In subsection (5)(f), “the TUPE regulations” means the Transfer of Undertakings (Protection of Employment) Regulations 2006 (S.I. 2006/246). 40
- (8) For the purposes of this section –
- (a) references to rights and liabilities include rights and liabilities relating to a contract of employment;
  - (b) references to the transfer of property include the grant of a lease. 45
- (9) For the purposes of subsection (8)(a) –

- (a) an individual who holds employment in the civil service of the State is to be treated as employed by virtue of a contract of employment, and
  - (b) the terms of the individual's employment in the civil service of the State are to be treated as constituting the terms of the contract of employment. 5
- (10) In this section “appropriate person” means—
  - (a) any relevant body,
  - (b) the Secretary of State,
  - (c) the Scottish Ministers,
  - (d) a Northern Ireland department, 10
  - (e) the Welsh Ministers,
  - (f) an integrated care board,
  - (g) a Special Health Authority, or
  - (h) an NHS trust.
- 93 Transfer schemes: taxation 15**
- (1) The Treasury may by regulations make provision varying the way in which a relevant tax has effect in relation to—
  - (a) anything transferred under a scheme under section 92, or
  - (b) anything done for the purposes of, or in relation to, a transfer under such a scheme. 20
- (2) The provision which may be made under subsection (1)(a) includes in particular provision for—
  - (a) a tax provision not to apply, or to apply with modifications, in relation to anything transferred;
  - (b) anything transferred to be treated in a specified way for the purposes of a tax provision; 25
  - (c) the Secretary of State to be required or permitted to determine, or specify the method for determining, anything which needs to be determined for the purposes of any tax provision so far as relating to anything transferred. 30
- (3) The provision which may be made under subsection (1)(b) includes in particular provision for—
  - (a) a tax provision not to apply, or to apply with modifications, in relation to anything done for the purposes of or in relation to the transfer;
  - (b) anything done for the purposes of, or in relation to, the transfer to have or not have a specified consequence or be treated in a specified way; 35
  - (c) the Secretary of State to be required or permitted to determine, or specify the method for determining, anything which needs to be determined for the purposes of any tax provision so far as relating to anything done for the purposes of, or in relation to, the transfer. 40
- (4) Regulations under this section are subject to annulment in pursuance of a resolution of the House of Commons.
- (5) In this section references to the transfer of property include the grant of a lease.
- (6) In this section—
  - “relevant tax” means income tax, corporation tax, capital gains tax, stamp duty or stamp duty reserve tax; 45

“tax provision” means a provision of an enactment about a relevant tax.

#### 94 Consultation on draft regulations

- (1) Before making regulations under section 89 or 90 the Secretary of State must consult the following about a draft of the regulations –
  - (a) any body to which the draft regulations relate, 5
  - (b) the Scottish Ministers, if the draft regulations, so far as applying in or as regards Scotland, make provision –
    - (i) which would be within the legislative competence of the Scottish Parliament if contained in an Act of that Parliament, or
    - (ii) for any matter in relation to which functions are exercisable by the Scottish Ministers, 10
  - (c) the Welsh Ministers, if the draft regulations, so far as applying in or as regards Wales, make provision –
    - (i) which would be within the legislative competence of Senedd Cymru if contained in an Act of the Senedd, or 15
    - (ii) for any matter in relation to which functions are exercisable by the Welsh Ministers,
  - (d) such Northern Ireland department or departments as the Secretary of State considers appropriate, if the draft regulations, so far as applying in or as regards Northern Ireland, make provision – 20
    - (i) which would be within the legislative competence of the Northern Ireland Assembly if contained in an Act of that Assembly, or
    - (ii) for any matter in relation to which a Northern Ireland department exercises functions, and 25
  - (e) such other persons as the Secretary of State considers appropriate.
- (2) Consultation is not required under subsection (1)(d)(i) in relation to any provision if –
  - (a) a Bill for an Act of the Northern Ireland Assembly containing the provision would require the consent of the Secretary of State under section 8 of the Northern Ireland Act 1998, and 30
  - (b) the provision does not affect, other than incidentally, a transferred matter (within the meaning of that Act).
- (3) If, as a result of consultation under subsection (1), it appears to the Secretary of State appropriate to change the draft regulations, the Secretary of State must carry out such further consultation with respect to the changes as the Secretary of State considers appropriate. 35
- (4) It is immaterial for the purposes of this section whether consultation is carried out before or after the commencement of this section.

## PART 4

### THE HEALTH SERVICES SAFETY INVESTIGATIONS BODY

#### *Introductory*

#### **95 Establishment of the HSSIB**

- (1) A body corporate called the Health Services Safety Investigations Body is established. 5
- (2) In this Part that body is referred to as “the HSSIB”.
- (3) Schedule 13 contains further provision about the HSSIB.

#### *Investigations*

#### **96 Investigation of incidents with safety implications** 10

- (1) The HSSIB has the function of investigating incidents that –
  - (a) occur in England during the provision of health care services, and
  - (b) have or may have implications for the safety of patients.
- (2) The purpose of the investigations is to –
  - (a) identify risks to the safety of patients, and 15
  - (b) address those risks by facilitating the improvement of systems and practices in the provision of NHS services or other health care services in England.
- (3) In particular, where an investigation relates to an incident that did not occur during the provision of NHS services, the HSSIB must consider whether, in relation to any risks identified, the systems and practices in the provision of NHS services could be improved. 20
- (4) The purpose of the investigations does not include assessing or determining –
  - (a) blame,
  - (b) civil or criminal liability, or 25
  - (c) whether action needs to be taken in respect of an individual by a regulatory body.
- (5) In this Part, an incident within subsection (1) is called a “qualifying incident”.

#### **97 Deciding which incidents to investigate**

- (1) The HSSIB’s function under section 96 includes determining which qualifying incidents it will investigate, subject to subsection (2). 30
- (2) The Secretary of State may direct the HSSIB to carry out an investigation of –
  - (a) a particular qualifying incident that has occurred, or
  - (b) qualifying incidents that have occurred and are of a particular description. 35
- (3) A direction under subsection (2) may specify the date by which the HSSIB must publish its final report (see section 99).

- 
- (4) A direction under subsection (2) –
- (a) must be in writing;
  - (b) may be varied or revoked by subsequent directions;
  - (c) may provide for a person to exercise a discretion in dealing with any matter. 5
- (5) Once the HSSIB has begun an investigation into a qualifying incident, it must, in such manner as it thinks appropriate, publish a statement which –
- (a) reports that it has begun the investigation,
  - (b) contains a brief description of the incident, and
  - (c) sets out, in general terms, the issues that the HSSIB expects to consider in the investigation. 10
- (6) The HSSIB may give advance notice of a statement under subsection (5) to any person the HSSIB considers may be affected by the investigation.
- (7) Where the HSSIB discontinues an investigation, it must, in such manner as it thinks appropriate, publish a statement which – 15
- (a) reports that it has discontinued the investigation, and
  - (b) gives its reasons for doing so.
- (8) Where the HSSIB determines not to investigate a qualifying incident, it may give notice of its determination to any person the HSSIB considers to have an interest in the determination. 20
- (9) Notice under subsection (8) may include –
- (a) a brief description of the incident, and
  - (b) the HSSIB’s reasons for not investigating it.
- 98 Criteria, principles and processes**
- (1) The HSSIB must determine and publish – 25
- (a) the criteria it will use in determining which incidents it investigates,
  - (b) the principles which are to govern investigations,
  - (c) the processes to be followed in carrying out investigations, and
  - (d) the processes for ensuring that, so far as reasonable and practicable, patients and their families are involved in investigations. 30
- (2) The processes determined under subsection (1)(c) must include –
- (a) the procedures and methods to be used in investigations (including in the interviewing of persons), and
  - (b) the time periods within which the HSSIB aims to complete investigations. 35
- (3) Different processes under subsection (1)(c) or (d) may be determined for different descriptions of investigation.
- (4) Anything published under subsection (1)(d) must be –
- (a) easily accessible to patients and their families, and
  - (b) capable of being easily understood by them. 40
- (5) The HSSIB must review the criteria, principles and processes –
- (a) within the period of three years beginning with their publication under subsection (1), and



- (b) subsequently within each period of five years beginning with the completion of the previous review.
- (6) If the HSSIB revises the criteria, principles and processes it must publish them as revised.
- (7) In determining or revising the criteria, principles and processes the HSSIB must consult –
  - (a) the Secretary of State, and
  - (b) any other persons the HSSIB considers appropriate.

### *Reports*

- 99 Final reports** 10
- (1) When the HSSIB completes an investigation, it must publish a report on the outcome of the investigation (the “final report”).
  - (2) The final report must –
    - (a) contain a statement of findings of fact made as a result of the investigation and an analysis of those findings, 15
    - (b) make such recommendations as to the action to be taken by any person as the HSSIB considers appropriate, and
    - (c) set out the HSSIB’s conclusions on the matters it considered in accordance with section 96(3) (but only if that provision is applicable to the investigation). 20
  - (3) The final report must focus on ascertaining risks to the safety of patients and any recommendations as to the action to be taken by any person must focus on addressing those risks (rather than on the activities of individuals involved in the incident).
  - (4) In particular, the final report may not include an assessment or determination of – 25
    - (a) blame,
    - (b) civil or criminal liability, or
    - (c) whether action needs to be taken in respect of an individual by a regulatory body. 30
  - (5) Information which is protected material (see section 108(2)) may be disclosed in a final report if the HSSIB determines that the benefits to the safety of patients served by the disclosure outweigh – 35
    - (a) any adverse impact on current or future investigations by deterring persons from providing information to the HSSIB, and
    - (b) any adverse impact on securing the improvement of the safety of health care services provided to patients in England.
  - (6) The final report may not, without their consent, include the name of any individual – 40
    - (a) who has provided information to the HSSIB for the purposes of the investigation, or
    - (b) who was involved in the incident being investigated.
  - (7) Where an investigation is carried out pursuant to a direction under section 97, the HSSIB must send a copy of the final report to the Secretary of State.

**100 Interim reports**

- (1) While the HSSIB is carrying out an investigation, it may publish a report on any matter relating to the investigation (an “interim report”).
- (2) An interim report may –
  - (a) contain a statement of findings of fact made as a result of the investigation to date and an analysis of those findings, 5
  - (b) make such recommendations as to the action to be taken by any person as the HSSIB considers appropriate, and
  - (c) set out the HSSIB’s conclusions to date on the matters it has considered in accordance with section 96(3). 10
- (3) Subsections (3) to (7) of section 99 apply in relation to an interim report as they apply in relation to a final report.

**101 Draft reports**

- (1) Before it publishes a final or interim report, the HSSIB –
  - (a) must send a draft of the report to any person who the HSSIB reasonably believes could be adversely affected by the report, and 15
  - (b) may send a draft of the report to any other person who the HSSIB believes should be sent a draft.
- (2) If a person who the HSSIB reasonably believes could have been adversely affected by the report has died, the draft report must be sent to the person (if any) who appears to the HSSIB to best represent the interests of the person who has died. 20
- (3) The HSSIB must notify every person to whom a draft report is sent that the person has an opportunity to comment on the draft report before the deadline specified by the HSSIB. 25
- (4) If a person’s comments on a draft report are not taken into account in the final or interim report as published, the HSSIB must explain to the person why that is.

**102 Response to reports**

- (1) This section applies where a final or interim report includes recommendations as to the action to be taken by any person. 30
- (2) The HSSIB must, in such manner as it thinks appropriate, send the report to that person or make it available to them.
- (3) The report must specify the deadline for that person to provide a written response. 35
- (4) Before that deadline, the person must respond to the HSSIB in writing setting out the actions they propose to take in pursuance of the recommendations.
- (5) The HSSIB may publish the response.
- (6) Subsection (4) does not require a person to do anything that they could be required to do by an Act of Senedd Cymru made without the consent of a Minister of the Crown. 40

### 103 Admissibility of reports

- (1) A final report, an interim report and the draft of a final or interim report sent to a person under section 101 are not admissible in any proceedings within subsection (2).
- (2) Those proceedings are – 5
  - (a) proceedings to determine civil or criminal liability in respect of any matter;
  - (b) proceedings before any employment tribunal;
  - (c) proceedings before a regulatory body (including proceedings for the purposes of investigating an allegation); 10
  - (d) proceedings to determine an appeal against a decision made in proceedings falling within paragraphs (a) to (c).
- (3) But the High Court may order that a final or interim report is admissible in proceedings within subsection (2) on an application by a person who is a party to the proceedings or otherwise entitled to appear in them. 15
- (4) The HSSIB may make representations to the High Court about any application under subsection (3).
- (5) The High Court may make an order under subsection (3) only if it determines that the interests of justice served by admitting the report outweigh – 20
  - (a) any adverse impact on current or future investigations by deterring persons from providing information for the purposes of investigations, and
  - (b) any adverse impact on securing the improvement of the safety of health care services provided to patients in England.

*Investigatory powers etc* 25

### 104 Powers of entry, inspection and seizure

- (1) If an investigator considers it necessary for the purposes of an investigation, the investigator may – 30
  - (a) enter and inspect premises in England, other than premises used wholly or mainly as a private dwelling;
  - (b) inspect and take copies of any document at, or capable of being viewed using equipment at, the premises;
  - (c) inspect any equipment or other item at the premises;
  - (d) seize and remove from the premises any document, equipment or other item (unless that would risk the safety of any patient). 35
- (2) In subsection (1)(b) the reference to inspecting and taking copies of any document includes requiring any document which is kept in electronic form to be produced in a form in which it is legible and can be taken away.
- (3) Where any document, equipment or other item is seized by an investigator, or any copy of a document is taken, it may be retained by the HSSIB for so long as is necessary for the purposes of the investigation. 40
- (4) An investigator exercising any power conferred by this section must, if asked, produce evidence of the investigator’s authority from the HSSIB to act on its behalf.

- (5) The powers conferred by subsection (1) may be exercised in relation to premises in which there is a Crown interest, but only if the HSSIB gives reasonable notice to the occupier of the premises of its intention to enter and inspect the premises.
- (6) But if the Secretary of State certifies that it appears to the Secretary of State appropriate in the interests of national security that the powers conferred by subsection (1) – 5
- (a) should not be exercisable in relation to any premises in which there is a Crown interest and which are specified in the certificate, or
- (b) should not be exercisable in relation to any such premises which are so specified except in circumstances specified in the certificate, 10
- those powers are not exercisable in relation to those premises or (as the case may be) are not exercisable except in the circumstances specified.
- (7) In this section “Crown interest” means – 15
- (a) an interest belonging to a government department or held in trust for Her Majesty for the purposes of a government department;
- (b) an interest belonging to Her Majesty in right of the Crown;
- (c) an interest belonging to Her Majesty in right of the Duchy of Lancaster;
- (d) an interest belonging to the Duchy of Cornwall.
- 105 Powers to require information etc** 20
- (1) An investigator may by notice require any person –
- (a) to attend at a specified time and place and to provide information by answering questions;
- (b) to provide specified information, or information of a specified description, by a specified date; 25
- (c) to provide specified documents, equipment or items, or documents, equipment or items of a specified description, by a specified date.
- (2) An investigator may give a person a notice only if the investigator reasonably believes that –
- (a) in the case of a requirement under subsection (1)(a), the person is able to provide information which is necessary for the purposes of an investigation; 30
- (b) in the case of a requirement under subsection (1)(b) –
- (i) it is necessary to obtain the information for the purposes of an investigation, and 35
- (ii) the person is able to provide it;
- (c) in the case of a requirement under subsection (1)(c) –
- (i) it is necessary to obtain the document, equipment or other item for the purposes of the HSSIB’s investigation function, and
- (ii) the person is able to provide it. 40
- (3) But a person is not required by virtue of subsection (1) to provide any information, document, equipment or other item where –
- (a) its provision would risk the safety of any patient,
- (b) its provision might incriminate the person, or
- (c) in the case of information or a document, the person would be entitled to refuse to provide it in any proceedings in any court on the grounds that it is the subject of legal professional privilege. 45

- (4) A notice must –
  - (a) specify the grounds for the investigator believing the matters in subsection (2),
  - (b) give an explanation of the consequences of failing to comply with the notice (see section 107), and
  - (c) attach evidence of the investigator’s authority from the HSSIB to exercise the powers conferred by this section.5
- (5) If a notice requires a person to provide anything which is kept in electronic form, the notice may require it to be provided in a form in which it is legible.
- (6) An investigator may withdraw a notice under subsection (1) by giving notice of withdrawal to the person to whom the notice was given. 10
- (7) Where any document, equipment or other item is provided to an investigator pursuant to a notice, it may be retained by the HSSIB for so long as is necessary for the purposes of the HSSIB’s investigation function, unless its retention would risk the safety of any patient. 15
- (8) Where a person attends to answer questions pursuant to a notice under subsection (1)(a), the HSSIB –
  - (a) must reimburse the person the reasonable costs incurred in attending;
  - (b) may record, by any means, the answers given.
- (9) In this section “specified” means specified in the notice. 20

## 106 Voluntary provision of information etc

A person may disclose any information, document, equipment or other item to the HSSIB if the person reasonably believes that the disclosure is necessary for the purpose of enabling the HSSIB to carry out its investigation function.

## 107 Offences relating to investigations 25

- (1) A person commits an offence if the person –
  - (a) intentionally obstructs an investigator in the performance of functions conferred by section 104, or
  - (b) fails without reasonable excuse to comply with a notice given under section 105. 30
- (2) A person commits an offence if the person provides information to the HSSIB for the purposes of the HSSIB’s investigation function which the person knows or suspects is false or misleading in a material respect.
- (3) It is a defence for a person charged with an offence under subsection (2) to show that –
  - (a) the person reasonably believed that the information would assist the HSSIB in carrying out its investigation function, and
  - (b) at the time of providing the information the person informed the HSSIB that the person knew or suspected that it was false or misleading. 35
- (4) If a person charged with an offence under subsection (2) relies on the defence under subsection (3), and evidence is adduced which is sufficient to raise an issue with respect to that defence, the court must assume that the defence is satisfied unless the prosecution proves beyond reasonable doubt that it is not. 40

- (5) A person who commits an offence under this section is liable on summary conviction to a fine.
- (6) Subsections (1)(a) and (5) apply to persons in the public service of the Crown as they apply to other persons.

*Protection of material held by the HSSIB* 5

**108 Prohibition on disclosure of HSSIB material**

- (1) The HSSIB, or an individual connected with the HSSIB, must not disclose protected material to any person.
- (2) In this Part “protected material” means any information, document, equipment or other item which – 10
  - (a) is held by the HSSIB, or an individual connected with the HSSIB, for the purposes of the HSSIB’s investigation function,
  - (b) relates to a qualifying incident (whether or not investigated by the HSSIB), and
  - (c) has not already been lawfully made available to the public. 15
- (3) In this Part “individual connected with the HSSIB” means – 20
  - (a) a member of the HSSIB,
  - (b) a member of a committee or sub-committee of the HSSIB,
  - (c) an investigator, or
  - (d) an individual (other than an investigator) who works for the HSSIB.
- (4) For the purposes of subsection (3)(d) an individual “works for” the HSSIB if the individual works – 25
  - (a) under a contract of employment with the HSSIB,
  - (b) under a contract of apprenticeship with the HSSIB,
  - (c) under a contract under which the individual undertakes to do or perform personally any work or services for the HSSIB, or
  - (d) as an agency worker within the meaning of the Agency Workers Regulations 2010 (S.I. 2010/93) in circumstances where the HSSIB is the hirer within the meaning of those Regulations.
- (5) An individual who was, but has ceased to be, connected with the HSSIB must not disclose to any person, other than the HSSIB or an individual connected with the HSSIB, any information, document, equipment or other item held by that individual – 30
  - (a) which the individual obtained because they were connected with the HSSIB, 35
  - (b) which, at the time they ceased to be connected with the HSSIB, was protected material, and
  - (c) which has not already been lawfully made available to the public.

**109 Exceptions to prohibition on disclosure**

- (1) Section 108(1) does not apply to a disclosure which is required or authorised by – 40
  - (a) Schedule 14,
  - (b) any other provision of this Part, or

- (c) regulations made by the Secretary of State.
  - (2) Regulations under subsection (1)(c) may, for example, require or authorise disclosures of protected material by reference to –
    - (a) the kind of material that it is (for example, a particular kind of equipment), 5
    - (b) the matters to which it relates,
    - (c) the person from whom it was obtained,
    - (d) the purpose for which it was produced or is held, or
    - (e) the purpose for which it is disclosed.
  - (3) But regulations under subsection (1)(c) may not require or authorise disclosures of protected material by reference to the qualifying incident to which the material relates. 10
  - (4) Regulations under subsection (1)(c) may provide for a person to exercise a discretion in dealing with any matter.
  - (5) Subject to subsection (6), regulations under subsection (1)(c) may provide that disclosures which are required or authorised by the regulations do not breach – 15
    - (a) obligations of confidence owed by the person making the disclosure, or
    - (b) any other restrictions on disclosure.
  - (6) Nothing in regulations under subsection (1)(c) operates to require or authorise disclosures which would contravene the data protection legislation (but, for the purposes of this subsection, in determining whether any disclosure required or authorised by the regulations would do so, take the requirement or authorisation into account). 20
- 110 Offences of unlawful disclosure** 25
- (1) A person commits an offence if the person –
    - (a) breaches the prohibition in section 108(1) by knowingly or recklessly disclosing protected material to another person, and
    - (b) knows or suspects that the disclosure is prohibited.
  - (2) An individual who was, but has ceased to be, connected with the HSSIB commits an offence if the individual – 30
    - (a) breaches the prohibition in section 108(5) by knowingly or recklessly disclosing any information, document, equipment or other thing to another person, and
    - (b) knows or suspects that the disclosure is prohibited. 35
  - (3) Subsection (4) applies where protected material is disclosed to a person not connected with the HSSIB –
    - (a) in a draft report sent to the person under section 101(1),
    - (b) under paragraph 2, 3 or 4 of Schedule 14 (disclosures for purposes of an investigation, offence or safety risk), or 40
    - (c) under regulations under section 109(1)(c).
  - (4) The person not connected with the HSSIB to whom protected material is disclosed as specified in subsection (3) commits an offence if the person –
    - (a) knowingly or recklessly discloses the protected material to another person without reasonable excuse, and 45

- (b) knows or suspects that it is protected material.
- (5) A person who commits an offence under this section is liable on summary conviction to a fine.

### 111 Restriction of statutory powers requiring disclosure

- (1) A power under any enactment (whenever passed or made) other than this Part to require the disclosure of, or to seize, any information, document, equipment or other item may not be used – 5
- (a) to require the disclosure of protected material by the HSSIB, or
- (b) to seize protected material from the HSSIB.
- (2) Subsection (1) applies to a power to require disclosure, or to seize, however it is expressed (and, for example, it applies if the power is to require a person to give, supply, furnish or produce any information, document, equipment or other item). 10
- (3) Subsection (1) does not apply to a power to the extent that the provision conferring it is within the legislative competence of a devolved legislature. 15
- (4) A provision is within the legislative competence of a devolved legislature if –
- (a) it would be within the legislative competence of the Scottish Parliament if it were contained in an Act of that Parliament;
- (b) it would be within the legislative competence of Senedd Cymru if it were contained in an Act of the Senedd (including any provision that could only be made with the consent of a Minister of the Crown); 20
- (c) the provision, if it were contained in an Act of the Northern Ireland Assembly –
- (i) would be within the legislative competence of that Assembly, and 25
- (ii) would not require the consent of the Secretary of State.
- (5) In this section “enactment” includes an enactment comprised in subordinate legislation within the meaning of the Interpretation Act 1978.
- (6) References to the HSSIB in subsection (1) include – 30
- (a) an individual connected with the HSSIB, and
- (b) an individual who was, but has ceased to be, connected with the HSSIB.
- (7) This section is subject to paragraph 6 of Schedule 14 (disclosure to coroners).

#### *Relationship with other bodies*

### 112 Co-operation

- (1) This section applies where – 35
- (a) the HSSIB is carrying out an investigation into a qualifying incident, and
- (b) a listed person is also carrying out an investigation into the same or a related incident.
- (2) The HSSIB and the listed person must co-operate with each other regarding practical arrangements for co-ordinating those investigations. 40



- 
- (3) The following are listed persons –
- (a) an NHS foundation trust, an NHS trust or any other person providing NHS services;
  - (b) NHS England;
  - (c) an integrated care board; 5
  - (d) a Special Health Authority;
  - (e) the Care Quality Commission;
  - (f) the Health Research Authority;
  - (g) the Human Tissue Authority;
  - (h) the Human Fertilisation and Embryology Authority; 10
  - (i) Health Education England;
  - (j) the Health Service Commissioner for England;
  - (k) the Parliamentary Commissioner for Administration;
  - (l) any regulatory body;
  - (m) the Health and Safety Executive; 15
  - (n) the Commissioner for Patient Safety.
- (4) The HSSIB must publish guidance about when a qualifying incident is to be regarded as related to another incident for the purposes of this section.
- (5) If the HSSIB revises the guidance the HSSIB must publish it as revised.
- 113 Assistance of NHS bodies** 20
- (1) The HSSIB must comply with –
- (a) any request by a relevant NHS body to provide it with assistance in connection with the carrying out of investigations into incidents occurring during the provision of NHS services or occurring at premises at which NHS services are provided; 25
  - (b) any request by NHS England to provide any other relevant NHS body with such assistance;
  - (c) any request by the Secretary of State to provide a relevant NHS body with such assistance.
- (2) In subsection (1) “relevant NHS body” means – 30
- (a) an NHS foundation trust;
  - (b) an NHS trust;
  - (c) NHS England;
  - (d) an integrated care board.
- (3) For the purposes of this section giving assistance includes – 35
- (a) disseminating information about best practice,
  - (b) developing standards to be adopted, and
  - (c) giving advice, guidance or training.
- (4) Subsection (1) does not apply if – 40
- (a) the assistance requested is giving advice, guidance or training, and
  - (b) the HSSIB determines that it is impracticable for it to give the assistance.
- (5) The HSSIB may give assistance to a person other than a relevant NHS body in relation to any matter connected with the carrying out of investigations if the

HSSIB has been requested to provide the assistance by the person to whom it is to be given.

- (6) But the HSSIB may give assistance under subsection (5) only to the extent that the assistance does not to any significant extent interfere with the exercise by the HSSIB of its investigation function. 5
- (7) The activities which the HSSIB may carry out in, or in connection with, giving assistance under subsection (5) are not restricted to activities carried out in the United Kingdom.
- (8) The HSSIB may impose charges for or in connection with giving assistance under subsection (5). 10
- (9) Charges under subsection (8) may be calculated on the basis that the HSSIB considers to be the appropriate commercial basis.

#### 114 Investigations relating to Wales and Northern Ireland

- (1) The HSSIB may enter into an agreement with any person for the HSSIB to carry out an investigation falling within subsection (2). 15
- (2) An investigation falls within this subsection if—
  - (a) it is an investigation into one or more incidents that have occurred, or are occurring, in the United Kingdom—
    - (i) during the provision of any of the services mentioned in subsection (3), or 20
    - (ii) at premises at which any of those services are, or were, provided,
  - (b) the incident or incidents have or may have implications for the safety of persons for whom those services are provided,
  - (c) the investigation is carried out for the purpose of identifying risks to the safety of such persons and addressing those risks by facilitating the improvement of systems and practices in the provision of any of the services mentioned in subsection (3), and 25
  - (d) the investigation does not involve the assessment or determination of blame or civil or criminal liability. 30
- (3) The services referred to in subsection (2) are—
  - (a) services provided for the purposes of the health service continued under section 1(1) of the National Health Service (Wales) Act 2006, and
  - (b) health care, within the meaning of the Health and Social Care (Reform) Act (Northern Ireland) 2009, provided for the purposes of the system promoted under section 2(1) of that Act. 35
- (4) The HSSIB may impose charges for providing services under an agreement under subsection (1).
- (5) Those charges must not exceed the costs incurred by the HSSIB in providing the services. 40
- (6) The HSSIB may enter into an agreement under subsection (1) only if it considers that the provision of the services under the agreement will not to any significant extent interfere with the exercise by the HSSIB of its investigation function.

*Oversight of functions*

**115 Failure to exercise functions**

- (1) This section applies if the Secretary of State considers that –
  - (a) the HSSIB is failing or has failed to exercise any of its functions, and
  - (b) the failure is significant. 5
- (2) The Secretary of State may direct the HSSIB to exercise such of its functions, in such manner and within such period, as the direction specifies.
- (3) But the Secretary of State may not give a direction under subsection (2) which directs the outcome of a particular investigation.
- (4) If the HSSIB fails to comply with a direction under subsection (2), the Secretary of State may – 10
  - (a) exercise the functions specified in the direction, or
  - (b) make arrangements for some other person to exercise them on the Secretary of State’s behalf.
- (5) The reference in subsection (1)(a) to exercising a function includes a reference to exercising it properly. 15
- (6) A direction under subsection (2) –
  - (a) must be in writing;
  - (b) may be varied or revoked by subsequent directions.

**116 Review**

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- (1) Before the end of the period mentioned in subsection (2), the Secretary of State must –
  - (a) review the effectiveness of the exercise by the HSSIB of its investigation function,
  - (b) prepare and publish a report of the review, and 25
  - (c) lay the report before Parliament.
- (2) The period is four years beginning with the day on which section 96 comes into force.

*Offences: supplementary*

**117 Offences by bodies corporate**

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- (1) Where an offence under this Part is committed by a body corporate and is proved –
  - (a) to have been committed with the consent or connivance of an officer of the body corporate, or
  - (b) to be attributable to any neglect on the part of an officer of the body corporate, 35the officer (as well as the body corporate) commits the offence and is liable to be proceeded against and punished accordingly.
- (2) In subsection (1) “officer”, in relation to a body corporate, means –
  - (a) a director, manager, secretary or other similar officer, or 40

(b) any person purporting to act in any such capacity.

In paragraph (a) “director”, in relation to a body corporate whose affairs are managed by its members, means a member of the body corporate.

### **118 Offences by partnerships**

- |  |    |
|--|----|
| (1) Proceedings for an offence alleged to have been committed by a partnership may be brought in the name of the partnership.                              | 5  |
| (2) Rules of court relating to the service of documents have effect in relation to proceedings for an offence as if the partnership were a body corporate. |    |
| (3) For the purposes of such proceedings Schedule 3 to the Magistrates’ Courts Act 1980 applies as it applies in relation to a body corporate.             | 10 |
| (4) A fine imposed on a partnership on its conviction for an offence must be paid out of the partnership assets.   |    |
| (5) Where an offence is committed by a partnership and is proved –   |    |
| (a) to have been committed with the consent or connivance of a partner, or   |    |
| (b) to be attributable to any neglect on the part of a partner,  | 15 |
| the partner (as well as the partnership) commits the offence and is liable to be proceeded against and punished accordingly.                               |    |
| (6) In this section –  |    |
| “offence” means an offence under this Part;  |    |
| “partner” includes a person purporting to act as a partner.  | 20 |

### *Supplementary*

### **119 Obligations of confidence etc**

- |  |    |
|--|----|
| (1) Subject to subsection (2), a disclosure of any information, document, equipment or other item which is required or authorised by or under section 105 or 106 or Schedule 14 does not breach –  | 25 |
| (a) any obligation of confidence owed by the person making the disclosure, or  |    |
| (b) any other restriction on disclosure.   |    |
| (2) Nothing in this Part operates to require or authorise a disclosure of information which would contravene the data protection legislation (but, for the purposes of this subsection, in determining whether a disclosure required or authorised by or under this Part would do so, take the requirement or authorisation into account). | 30 |

### **120 Consequential amendments relating to Part 4**

Schedule 15 contains amendments consequential on this Part. 35

### **121 Interpretation of Part 4**

In this Part –

“the 2006 Act” means the National Health Service Act 2006;

“Chief Investigator” means the person appointed in accordance with paragraph 3 of Schedule 13;	
“data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);	
to “disclose”, in relation to information, documents, equipment and other items includes to permit access to such things;	5
“documents” includes personal and medical records;	
“final report” means a report under section 99;	
“health care services” means –	
(a) all forms of health care services provided for individuals, whether relating to physical or mental health, and	10
(b) procedures that are similar to forms of medical or surgical care but are not provided in connection with a medical condition;	
“the HSSIB” has the meaning given by section 95(2);	
“interim report” means a report under section 100;	15
“investigation”, except in sections 112 and 113, means an investigation carried out by the HSSIB under section 96(1) and any related term is to be read accordingly;	
the “investigation function” of the HSSIB is its function under section 96(1) (and see also section 97(1));	20
“investigator” means a person authorised by the HSSIB to carry out functions in relation to investigations on its behalf;	
“Minister of the Crown” has the same meaning as in the Ministers of the Crown Act 1975;	
“NHS foundation trust” has the meaning given by section 30 of the 2006 Act;	25
“NHS services” means health care services provided in England for the purposes of the health service continued under section 1(1) of the 2006 Act;	
“NHS trust” means a National Health Service trust established under section 25 of the 2006 Act;	30
“notice” means notice in writing;	
“patients” means individuals for whom health care services are provided;	
“premises” includes a vehicle;	
“protected material” has the meaning given by section 108(2);	35
“qualifying incident” has the meaning given by section 96(5);	
“regulatory body” means –	
(a) the General Medical Council,	
(b) the General Dental Council,	
(c) the General Optical Council,	40
(d) the General Osteopathic Council,	
(e) the General Chiropractic Council,	
(f) the General Pharmaceutical Council,	
(g) the Nursing and Midwifery Council,	
(h) the Health and Care Professions Council, or	45
(i) any other regulatory body, within the meaning of Schedule 3 to the Health Act 1999, established at any time by an Order in Council under section 60 of that Act;	
“Special Health Authority” means a Special Health Authority established under section 28 of the 2006 Act.	50

## PART 5

## MISCELLANEOUS

*International healthcare***122 International healthcare arrangements**

- |     |  |           |
|-----|--|-----------|
| (1) | The Healthcare (European Economic Area and Switzerland Arrangements) Act 2019 is renamed the Healthcare (International Arrangements) Act 2019.   | 5         |
| (2) | That Act is amended as follows.  |           |
| (3) | Omit section 1 (power to make healthcare payments).  |           |
| (4) | For section 2 substitute –   |           |
|     | <b>“2 Healthcare agreements and payments</b>   | <b>10</b> |
|     | (1) <i>The Secretary of State may by regulations make provision for the purpose of giving effect to a healthcare agreement (including provision about payments).</i>   |           |
|     | (2) <i>The Secretary of State may by regulations make provision authorising the Secretary of State to make a payment (otherwise than under a healthcare agreement) in respect of healthcare provided in a relevant country or territory, but only where the Secretary of State considers that exceptional circumstances justify the payment.</i> | 15        |
|     | (3) In subsection (2) “relevant country or territory” means a country or territory, outside the United Kingdom, in respect of which there is a healthcare agreement.   | 20        |
|     | (4) Regulations under this section may include provision about administrative arrangements (including provision about evidential requirements).  |           |
|     | (5) Regulations under this section may –   |           |
|     | (a) confer functions on a public authority (including discretions);  | 25        |
|     | (b) provide for the delegation of functions to a public authority.   |           |
|     | (6) The Secretary of State may give directions to a person about the exercise of any functions exercisable by the person under regulations made by virtue of subsection (5) (and may vary or revoke any such directions).  |           |
|     | (7) In this section “public authority” means a person who exercises functions of a public nature (but does not include a person who does so only because of exercising functions on behalf of another).”   | 30        |
| (5) | In section 3 (meaning of “healthcare” and “healthcare agreement”), for the definition of “healthcare agreement” substitute –   |           |
|     | ““healthcare agreement” means an agreement or other commitment between the United Kingdom and either a country or territory outside the United Kingdom or an international organisation, concerning healthcare provided anywhere in the world;”.   | 35        |

- (6) In section 8 (short title etc), in subsection (3), for “Healthcare (European Economic Area and Switzerland Arrangements) Act 2019” substitute “Healthcare (International Arrangements) Act 2019”.

### 123 Default powers of Secretary of State in relation to adult social care

- (1) In section 7D of the Local Authority Social Services Act 1970 (default powers of Secretary of State as respects social services functions of local authorities) – 5
- (a) in subsection (1), for the words from “imposed” to “2002” substitute “referred to in subsection (4)”;
  - (b) after subsection (3) insert –
    - “(4) Subsection (1) does not apply in relation to a duty imposed by or under – 10
      - (a) the Children Act 1989,
      - (b) section 1 or 2(4) of the Adoption (Intercountry Aspects) Act 1999,
      - (c) the Adoption and Children Act 2002, or 15
      - (d) Part 1 of the Care Act 2014.”
- (2) The Care Act 2014 is amended in accordance with subsections (3) and (4).
- (3) After section 72 insert –

#### *“Default by local authority*

### 72A Default power of Secretary of State 20

- (1) Where the Secretary of State is satisfied that a local authority is failing, or has failed, to discharge any of its functions under or by virtue of this Part to an acceptable standard, the Secretary of State may give to the local authority any directions that the Secretary of State considers appropriate for the purpose of addressing the failure. 25
- (2) The directions may include provision requiring the local authority –
- (a) to act in accordance with advice given by the Secretary of State or a person nominated by the Secretary of State,
  - (b) to collaborate with the Secretary of State or a person nominated by the Secretary of State in taking steps specified in the directions, or 30
  - (c) to provide the Secretary of State or a person nominated by the Secretary of State with information of a description specified in the directions, on request or otherwise.
- (3) If the Secretary of State considers it necessary for the purpose of addressing the failure, the directions may include provision – 35
- (a) for specified functions of the local authority to be exercised by the Secretary of State or a person nominated by the Secretary of State for a period specified in the direction or for so long as the Secretary of State considers appropriate, and 40
  - (b) requiring the local authority to comply with any instructions of the Secretary of State or the nominee in relation to the exercise of the functions.

- (4) So far as is appropriate in consequence of directions given by virtue of subsection (3), a reference (however expressed) in an enactment, instrument or other document to a local authority is to be read as a reference to the person by whom the function is exercisable.
- (5) If directions given by virtue of subsection (3) expire or are revoked without being replaced then, so far as is appropriate in consequence of the expiry or revocation, a reference (however expressed) in an instrument or other document to the person by whom the function was exercisable is to be read as a reference to the local authority to whom the directions were given. 5
- (6) The Secretary of State may, for the purposes of cases in which directions are given under subsection (3)(a), make regulations disapplying or modifying an enactment which confers a function on the Secretary of State in respect of a function of a local authority. 10
- (7) Directions under this section may require the local authority to provide financial assistance to the Secretary of State, or a person nominated by the Secretary of State, for the purpose of meeting costs incurred by the Secretary of State or the nominee as a result of the directions. 15

#### **72B Default power of Secretary of State: supplementary**

- (1) Before giving directions under section 72A the Secretary of State must give the local authority concerned an opportunity to make representations about the proposed directions, except so far as the Secretary of State considers that it is impractical to do so for reasons of urgency. 20
- (2) The power to give directions under section 72A includes a power to vary or revoke the directions by subsequent directions. 25
- (3) Subsection (1) does not apply in relation to proposed directions varying previous directions if the Secretary of State does not consider the variations to be significant.
- (4) Directions under section 72A must be in writing. 30
- (5) The Secretary of State must publish—  
 (a) any directions given under section 72A, and  
 (b) the reasons for giving them.
- (6) Directions under section 72A are enforceable, on the Secretary of State’s application, by a mandatory order.” 35
- (4) In section 125(4) (regulations and orders subject to affirmative procedure), after paragraph (k) insert—  
 “(ka) regulations under section 72A(6) (modification of enactments where local authority functions are exercised by the Secretary of State or a nominee);” 40

#### **124 Care Quality Commission’s powers in relation to local authority failings**

- (1) The Health and Social Care Act 2008 is amended as follows.
- (2) In section 48 (special reviews and investigations), in subsection (6) omit “or (3)”.



- (3) In section 50 (failings by English local authorities) –
- (a) in subsection (2), in the words before paragraph (a), omit “subject to subsection (3)”;
  - (b) for subsections (3) and (4) substitute –  
“(3A) Nothing in subsection (2) prevents a report published under section 46(1)(c), 46A(1)(c), 46B(1)(c) or 48(4) from specifying respects in which the Commission considers a local authority to be failing and making recommendations to the local authority for addressing the failure.”

*Social care: regulation and financial assistance* 10

## 125 Regulation of local authority functions relating to adult social care

- (1) Chapter 3 of Part 1 of the Health and Social Care Act 2008 (quality of health and social care) is amended as follows.
- (2) In section 46 (reviews and performance assessments by the Care Quality Commission), in the heading, at the end insert “: registered service providers”. 15
- (3) After section 46 insert –

### “46A Reviews and performance assessments: local authorities

- (1) The Commission must, in accordance with this section –
  - (a) conduct reviews of the exercise of regulated care functions by English local authorities, 20
  - (b) assess the performance of those authorities following each such review, and
  - (c) publish a report of its assessment.
- (2) In this section “regulated care functions” means such functions under Part 1 of the Care Act 2014 (functions relating to adult social care in England) as may be prescribed. 25
- (3) Regulations under subsection (2) may prescribe –
  - (a) all functions of English local authorities under Part 1 of the Care Act 2014 or some of their functions under that Part;
  - (b) the whole of a function or a particular aspect of it. 30
- (4) The Secretary of State –
  - (a) must set, and may from time to time revise, objectives and priorities for the Commission in relation to the assessment under this section of the performance of English local authorities, and 35
  - (b) must inform the Commission of the objectives and priorities.
- (5) The Commission –
  - (a) must determine, and may from time to time revise, indicators of quality for the purposes of the assessment under this section of the performance of English local authorities, and 40
  - (b) must obtain the approval of the Secretary of State in relation to the indicators.

- (6) The Secretary of State may direct the Commission to revise the indicators under subsection (5).
- (7) Different objectives and priorities may be set, and different indicators of quality may be determined, for different cases.
- (8) The Commission – 5
- (a) must prepare, and may from time to time revise, a statement –
- (i) setting out the frequency with which reviews under this section are to be conducted and the period to which they are to relate, and
- (ii) describing the method that it proposes to use in assessing and evaluating the performance of a English local authority under this section, and 10
- (b) must obtain the approval of the Secretary of State in relation to the statement.
- (9) The Secretary of State may direct the Commission to revise the statement under subsection (8). 15
- (10) The statement may –
- (a) make different provision about frequency and period of reviews for different cases, and
- (b) describe different methods for different cases. 20
- (11) The Commission must publish –
- (a) the objectives and priorities under subsection (4),
- (b) the indicators of quality under subsection (5), and
- (c) the statement under subsection (8).
- (12) For the purposes of this section “English local authority” includes the Council of the Isles of Scilly only so far as references to a local authority in Part 1 of the Care Act 2014 include references to that Council as a result of an order under section 128(4) of that Act.” 25
- (4) In section 48 (special reviews and investigations) –
- (a) in subsection (2), after “section 46” insert “,46A”;
- (b) in subsection (3A), after “treated as a review” insert “or investigation”. 30
- (5) In section 50 (failings by English local authorities), in subsection (1), after “46” insert “,46A”.
- (6) In section 60 (inspections) –
- (a) in subsection (1), after paragraph (c) (but before the “or” at the end) insert –
- “(ca) the exercise of functions by an English local authority;”;
- (b) after subsection (2) insert –
- “(3) In this section “English local authority” has the same meaning as in section 46A (see subsection (12) of that section).” 35
- 40

## 126 Provision of social care services: financial assistance

- (1) The Health and Social Care Act 2008 is amended as follows.

- (2) In section 149 (power of Secretary of State to give financial assistance in relation to provision of health or social care services) –
- (a) in subsection (1)(a) and (b), omit “or of social care services”;
  - (b) after subsection (1) insert –
    - “(1A) *The Secretary of State may give financial assistance to bodies which are engaged in –*
      - (a) *the provision in England of social care services, or*
      - (b) *the provision to other persons of services that are connected with the provision in England by those other persons of social care services.*
    - (1B) Assistance may be given to a body under subsection (1A) for the purposes of the provision of social care services only if those services are provided in England.”
- (3) In section 151 (forms of assistance under section 149), in subsection (2)(d), after “149(1)” insert “or (1A)”. 15
- (4) For section 153 substitute –
- “153 Directions to certain NHS bodies**
- (1) The Secretary of State may direct the following to exercise any functions of the Secretary of State in relation to financial assistance under section 149(1) – 20
    - (a) a National Health Service trust all or most of whose hospitals, establishments and facilities are situated in England, or
    - (b) a Special Health Authority performing functions only or mainly in respect of England.
  - (2) The Secretary of State may direct any Special Health Authority to exercise any functions of the Secretary of State in relation to financial assistance under section 149(1A). 25
  - (3) The Secretary of State may direct the following to exercise any functions of the Secretary of State in relation to financial assistance under section 149(2) so far as those functions relate to the establishment of bodies which are to be wholly or mainly engaged in the provision of health services or services connected to health services – 30
    - (a) a National Health Service trust all or most of whose hospitals, establishments and facilities are situated in England, or
    - (b) a Special Health Authority performing functions only or mainly in respect of England. 35
  - (4) The Secretary of State may direct any Special Health Authority to exercise any functions of the Secretary of State in relation to financial assistance under section 149(2) so far as they are not functions to which subsection (3) above applies. 40
  - (5) The Secretary of State may give directions to a body about the exercise of any functions that it is directed to exercise under any of subsections (1) to (4).”
- (5) In section 154 (arrangements with other third parties) –
- (a) in subsection (1)(a), after “section 149” insert “(1)”; 45

- (b) after subsection (1) insert –
- “(1A) The Secretary of State may make arrangements for –
- (a) financial assistance under section 149(1A) to be given, or
- (b) other functions relating to such assistance to be exercised, 5
- by a person other than a Special Health Authority (as to Special Health Authorities, see section 153(2)).”;
- (c) in subsections (2), (3), (4) and (5) after “subsection (1)” insert “or (1A)”.
- (6) In section 155 (power to form company), after “section 154(1)” insert “or (1A)”.

*Professional regulation* 10

**127 Regulation of health care and associated professions**

- (1) The Health Act 1999 is amended as follows.
- (2) In section 60 (regulation of health professions and social care workers etc) –
- (a) in subsection (1), after paragraph (b) insert –
- “(bza) deregulating a profession regulated by an enactment to which subsection (2) applies if the profession does not appear to Her to require regulation for the protection of the public,”; 15
- (b) in subsection (1), after paragraph (bd) insert –
- “(be) deregulating any social care workers in England who do not appear to Her to require regulation for the protection of the public,”; 20
- (c) for subsection (2) substitute –
- “(2) The professions referred to in subsection (1)(a) and (bza) are the professions regulated by any of the following – 25
- (a) the Medical Act 1983;
- (b) the Dentists Act 1984;
- (c) the Opticians Act 1989;
- (d) the Osteopaths Act 1993;
- (e) the Chiropractors Act 1994; 30
- (f) the Nursing and Midwifery Order 2001;
- (g) the Health Professions Order 2001;
- (h) the Pharmacy Order 2010 and the Pharmacy (Northern Ireland) Order 1976;
- (i) an Order in Council under this section.”; 35
- (d) after subsection (2) insert –
- “(2ZZA) For the purposes of subsection (1)(b) the reference to a profession is to be treated as including any group of workers, whether or not they are generally regarded as a profession (and references in this Part to a profession are to be read accordingly).”;
- (e) in subsection (2ZB), for “and (bd)” substitute “, (bd) and (be)”. 40
- (3) In Schedule 3 (power to make provision about regulation of health care and associated professions: supplementary) –

- (a) after paragraph 1B insert –

*“Power to abolish regulatory bodies*

- 1C An Order may abolish a regulatory body if (and only if) the professions regulated by the body or social care workers in England regulated by it – 5
- (a) will continue to be regulated by one or more other regulatory bodies, or
  - (b) are deregulated by provision made under section 60(1)(bza).”;
- (b) in paragraph 7 (matters outside scope of the Orders), omit sub-paragraphs (1) and (1A); 10
- (c) in paragraph 8 (restrictions on provision authorising regulatory body’s functions to be exercised by others) –
- (i) in sub-paragraphs (1), (2ZA) and (2A), for the words from “other than” to the end substitute “to exercise that function other than – 15
    - (a) a regulatory body for –
      - (i) a profession to which section 60(2) applies, or
      - (ii) social care workers in England, or 20
    - (b) the committees or officers of such a body.”;
  - (ii) omit sub-paragraph (2B).

*Medical examiners*

**128 Medical examiners**

- (1) Section 19 of the Coroners and Justice Act 2009 (medical examiners) is amended in accordance with subsections (2) to (6). 25
- (2) Before subsection (1) insert –
- “(A1) An English NHS body may appoint persons as medical examiners to discharge in England the functions conferred on medical examiners by or under this Chapter. 30
- (A2) The Secretary of State must take such steps as the Secretary of State considers appropriate for the purpose of ensuring –
- (a) that enough medical examiners are appointed under subsection (A1) to enable those functions to be discharged in England,
  - (b) that the funds and other resources that are made available to such medical examiners are enough to enable those functions to be discharged in England, and 35
  - (c) that the performance of such medical examiners is monitored by reference to any standards or levels of performance that they are expected to attain. 40
- (A3) For the purposes of discharging the duty in subsection (A2), the Secretary of State may give a direction to an English NHS body –
- (a) requiring the body to appoint or arrange for the appointment of one or more medical examiners,

- (b) about the funds or other resources to be made available to a medical examiner employed by an English NHS body,
- (c) about the steps to be taken by the body to monitor the performance of such a medical examiner, or
- (d) about the steps to be taken by the body to monitor the performance of functions by an English NHS body in relation to such a medical examiner.” 5
- (3) In subsection (1) –
- (a) for “Local authorities (in England) and Local Health Boards (in Wales)” substitute “Local Health Boards”, and 10
- (b) after “discharge” insert “in Wales”.
- (4) In subsection (2) –
- (a) in the words before paragraph (a), for “local authority or Board” substitute “Local Health Board”, and
- (b) in paragraph (b), for “local authority or Board” substitute “Local Health Board”. 15
- (5) In subsection (5), for “a local authority” substitute “an English NHS body”.
- (6) After subsection (5) insert –
- “(5A) In this section “English NHS body” means –
- (a) NHS England, 20
- (b) an integrated care board established under section 14Z25 of the National Health Service Act 2006,
- (c) a National Health Service trust established under section 25 of that Act,
- (d) a Special Health Authority established under section 28 of that Act, or 25
- (e) an NHS foundation trust within the meaning of section 30 of that Act.”
- (7) Section 20 of the Coroners and Justice Act 2009 (medical certificate of cause of death) is amended in accordance with subsections (8) and (9). 30
- (8) In subsection (5), for “a local authority” substitute “an English NHS body”.
- (9) In subsection (7), at the appropriate place insert –
- ““English NHS body” has the same meaning as in section 19;”.
- (10) In the Health and Social Care Act 2012 omit section 54 (which inserted references to local authorities into sections 19 and 20 of the Coroners and Justice Act 2009). 35

#### *Food and drink*

### **129 Advertising of less healthy food and drink**

Schedule 16 amends the Communications Act 2003 to restrict the advertising of certain food and drink products.

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### 130 Hospital food standards

In section 20 of the Health and Social Care Act 2008 (regulation of regulated activities) –

- (a) in subsection (3), after paragraph (d), insert –
  - “(da) impose requirements in connection with food or drink provided or made available to any person on hospital premises in England that are used in connection with the carrying on of a regulated activity;” 5
- (b) after subsection (4A) insert –
  - “(4B) Regulations made under this section by virtue of subsection (3)(da) may in particular – 10
    - (a) specify nutritional standards, or other nutritional requirements, which are to be complied with;
    - (b) require that specified descriptions of food or drink are not to be provided or made available.” 15
- (c) after subsection (5B) insert –
  - “(5C) In subsection (3)(da) “hospital” has the meaning given by section 275 of the National Health Service Act 2006.”

### 131 Food information for consumers: power to amend retained EU law

- (1) In section 16 of the Food Safety Act 1990 (regulations about food labelling etc), after subsection (3) insert – 20
  - “(3A) Regulations under subsection (1)(e) may amend Regulation (EU) No 1169/2011 of the European Parliament and of the Council on the provision of food information to consumers.
  - (3B) The inclusion in that Regulation of savings in respect of the power to make regulations under this Act of a particular kind is not to be taken as in any way limiting the generality of the provision that may be made by virtue of subsections (1)(e) and (3A).” 25
- (2) In section 48 (regulations and orders) –
  - (a) in subsection (3), after “shall” insert “, unless the instrument contains regulations which include provision made by virtue of section 16(3A),”; 30
  - (b) after subsection (3) insert –
    - “(3A) A statutory instrument containing regulations which include provision made by virtue of section 16(3A) may not be made by the Secretary of State unless a draft of the instrument has been laid before and approved by a resolution of each House of Parliament. 35
    - (3B) A statutory instrument containing regulations which include provision made by virtue of section 16(3A) may not be made by the Welsh Ministers unless a draft of the instrument has been laid before and approved by a resolution of Senedd Cymru. 40
    - (3C) Regulations made by the Scottish Ministers which include provision made by virtue of section 16(3A) are subject to the affirmative procedure (see Part 2 of the Interpretation and Legislative Reform (Scotland) Act 2010 (asp 10)).” 45

*Fluoridation of water supplies***132 Fluoridation of water supplies**

- (1) The Water Industry Act 1991, as amended by the Health and Social Care Act 2012, is amended in accordance with subsections (2) to (7).
- (2) In section 87 (fluoridation of water supplies at request of relevant authorities) –
- (a) omit subsection (3A);
  - (b) in subsection (4), in paragraph (a), for the words from “as the Secretary of State” to the end of that paragraph substitute “in England as the Secretary of State may determine”; 10
  - (c) in subsection (6), at the beginning insert “Subject to subsection (6A)”;
  - (d) after subsection (6) insert –
    - “(6A) The Secretary of State may by regulations provide that, in circumstances specified in the regulations, subsection (6)(a) is not to apply in relation to arrangements entered into by the Secretary of State. 15
    - (6B) The Secretary of State may by regulations require a public body specified in the regulations to make payments to the Secretary of State to meet any costs incurred by the Secretary of State under the terms of the arrangements.”; 20
  - (e) omit subsections (7A) and (7B);
  - (f) after subsection (7F) insert –
    - “(7G) Before making regulations under subsection (6A) or (6B) the Secretary of State must consult such persons as the Secretary of State considers appropriate.”; 25
  - (g) in subsection (11), for “the Welsh Ministers” substitute “a relevant authority”;
  - (h) after subsection (11) insert –
    - “(12) A statutory instrument containing regulations under subsection (6A) is not to be made unless a draft of the instrument has been laid before, and approved by a resolution of, each House of Parliament.” 30
- (3) In section 87A (target concentration of fluoride), omit subsection (3A).
- (4) Omit sections 88B to 88O (procedural requirements in connection with fluoridation of water supplies). 35
- (5) In section 89 (consultation) –
- (a) in the heading, omit “: Wales”;
  - (b) in subsection (1) –
    - (i) in the words before paragraph (a), for “the Welsh Ministers” substitute “a relevant authority”; 40
    - (ii) in paragraphs (a) and (b), for “the Welsh Ministers” substitute “that authority”;
  - (c) in subsection (3), in paragraph (a), for “the Welsh Ministers are” substitute “the relevant authority is”;
  - (d) in subsection (4) – 45



- (i) for “the Welsh Ministers”, in the first place it occurs, substitute “a relevant authority”;
    - (ii) for “the Welsh Ministers so direct” substitute “that authority so directs”.
  - (6) In section 90A (review of fluoridation), omit subsection (5A). 5
  - (7) In section 213 (power to make regulations), in subsection (1), after “36A” insert “, 87(6A)”.
  - (8) In consequence of the amendments made by this section, omit section 36 of the Health and Social Care Act 2012.
  - (9) The reference in section 213(1A) of the Water Industry Act 1991 to the first exercise of the power to make regulations under section 89 is to be read as a reference to the first exercise of the power to make regulations under that section as amended by subsection (5). 10
- 133 Fluoridation of water supplies: transitional provision**
- (1) The Water Industry Act 1991 is amended in accordance with subsections (2) and (3). 15
  - (2) After section 90A insert—

**“90B Old English fluoridation arrangements: transitional provision**

    - (1) With effect from the day on which section 133 of the Health and Care Act 2021 comes into force, old English fluoridation arrangements are to be treated for the purposes of this Chapter as if they were arrangements entered into by the water undertaker with the Secretary of State under section 87(1). 20
    - (2) The Secretary of State may request such modifications to the arrangements as the Secretary of State considers necessary in order to give effect to subsection (1) (for example to insert the terms mentioned in section 87(6)). 25
    - (3) If the Secretary of State and the water undertaker fail to agree the modifications requested by the Secretary of State—
      - (a) subsection (2) or, as the case may be, (4) of section 87B is to apply as if the parties had failed to agree the terms of the arrangements under section 87(1), and 30
      - (b) following determination of the modifications—
        - (i) the Secretary of State is to give notice of the determination to the water undertaker, and 35
        - (ii) the arrangements are deemed to have been modified as so determined with effect from the day after the date of notice.
    - (4) Sections 87(11) and 89(1) (which relate to consultation) do not apply to the deemed entry into, and modification of, arrangements by virtue of this section. 40
    - (5) References in this Chapter to arrangements entered into under section 87(1) include arrangements entered into by a water undertaker by virtue of subsection (1).

- (6) In this section “old English fluoridation arrangements” means –
- (a) any arrangements entered into by a water undertaker with a Strategic Health Authority under section 87(1) of the Water Industry Act 1991 (before section 87(3) was amended by section 35(2) of the Health and Social Care Act 2012 in relation to England)), and 5
  - (b) any arrangements which were treated as arrangements falling within paragraph (a) by virtue of section 91 (as that section had effect immediately before the commencement of section 37(4) of the Health and Social Care Act 2012).” 10
- (3) In section 91 –
- (a) for the heading substitute “Old Welsh fluoridation arrangements: transitional provision”;
  - (b) in subsection (1) –
    - (i) for “relevant pre-1985 arrangements” substitute “old Welsh fluoridation arrangements”; 15
    - (ii) for “relevant authority” substitute “Welsh Ministers”;
  - (c) in subsection (2), for “relevant authority” substitute “Welsh Ministers”;
  - (d) in subsection (3) –
    - (i) for “relevant authority”, in both places it occurs, substitute “Welsh Ministers”; 20
    - (ii) in the words before paragraph (a), for “the authority” substitute “the Welsh Ministers”;
    - (iii) in paragraph (a), omit “(2),”;
  - (e) in subsection (6) – 25
    - (i) in the definition of “the appointed day”, after “force” insert “in relation to Wales”;
    - (ii) for the definition of “relevant pre-1985 arrangements” substitute –
      - ““old Welsh fluoridation arrangements” means 30
      - arrangements, other than arrangements
      - mentioned in section 90B(6), in pursuance of
      - which a scheme for increasing the fluoride
      - content of water was being operated by a water
      - undertaker by virtue of paragraph 1 of Schedule 35
      - 7 to this Act immediately before the appointed
      - day.”
- (4) In consequence of the amendments made by this section, omit section 37 of the Health and Social Care Act 2012.

## PART 6 40

### GENERAL

#### 134 Power to make consequential provision

- (1) The Secretary of State may by regulations make provision that is consequential on this Act.
- (2) Regulations under this section may amend, repeal or revoke provision made by this Act or any provision made by or under primary legislation passed – 45

- (a) before this Act, or
  - (b) later in the same session of Parliament as this Act.
- (3) In this section “primary legislation” means—
- (a) an Act,
  - (b) an Act or Measure of Senedd Cymru, 5
  - (c) an Act of the Scottish Parliament, or
  - (d) Northern Ireland legislation.

### 135 Regulations

- (1) A power to make regulations under any provision of this Act includes power to make— 10
- (a) consequential, supplementary, incidental, transitional or saving provision;
  - (b) different provision for different purposes.
- (2) Regulations under this Act are to be made by statutory instrument.
- (3) A statutory instrument containing any of the following (whether alone or with other provision) may not be made unless a draft of the instrument has been laid before and approved by a resolution of each House of Parliament— 15
- (a) regulations under section 14(4);
  - (b) regulations under sections 89 or 90;
  - (c) regulations under section 109; 20
  - (d) regulations under section 134 that amend or repeal provision made by primary legislation (as defined by section 134(3)).
- (4) Any other statutory instrument containing regulations under section 134 is subject to annulment in pursuance of a resolution of either House of Parliament. 25
- (5) This section does not apply to regulations under section 138.

### 136 Financial provision

*There is to be paid out of money provided by Parliament –*

- (a) any expenditure incurred by the Secretary of State under or by virtue of this Act, and 30
- (b) any increase attributable to this Act in the sums payable under any other Act out of money so provided.

### 137 Extent

- (1) This Act extends to England and Wales only, subject to subsections (2) and (3).
- (2) The following extend to England and Wales, Scotland and Northern Ireland— 35
- (a) in Part 1, paragraph 1(3) and (4) of Schedule 1 (renaming of NHS Commissioning Board);
  - (b) Part 3 (Secretary of State’s powers to transfer or delegate functions);
  - (c) in Part 4, section 111 (restriction of statutory powers requiring disclosure); 40
  - (d) this Part.

- (3) An amendment, repeal or revocation made by this Act has the same extent as the provision amended, repealed or revoked.

### **138 Commencement**

- (1) This Part comes into force on the day on which this Act is passed.
- (2) Section 129 and Schedule 16 (advertising of less healthy food and drink) come into force on 1 January 2023. 5
- (3) Except as mentioned in subsections (1) and (2), this Act comes into force on such day as the Secretary of State may by regulations appoint.
- (4) Different days may be appointed for different purposes.
- (5) The Secretary of State may by regulations make transitional or saving provision in connection with the coming into force of any provision of this Act. 10
- (6) The power to make regulations under subsection (5) includes power to make different provision for different purposes.
- (7) Regulations under this section are to be made by statutory instrument.

### **139 Short title**

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This Act may be cited as the Health and Care Act 2021.

## SCHEDULES

### SCHEDULE 1

Section 1

#### RENAMING OF NHS COMMISSIONING BOARD

##### General

- 1 (1) The enactments listed in sub-paragraph (2) are amended in accordance with the table. 5

<i>For the following expression wherever it occurs in those enactments (unless the expression is replaced or removed by an amendment elsewhere in this Act)</i>	<i>substitute</i>	
“The National Health Service Commissioning Board”	“NHS England”	
“the National Health Service Commissioning Board”	“NHS England”	
“the National Health Service Commissioning Board (“the Board”)”	“NHS England”	15
“The Board”	“NHS England”	
“the Board”	“NHS England”	
“The Board’s”	“NHS England’s”	

- (2) The enactments are— 20
- the Reserve and Auxiliary Forces (Protection of Civil Interests) Act 1951, Schedule 2;
  - the Public Records Act 1958, Part 1 of the Table at the end of paragraph 3 of Schedule 1;
  - the Public Bodies (Admission to Meetings) Act 1960, the Schedule; 25
  - the Leasehold Reform Act 1967, section 28;
  - the Parliamentary Commissioner Act 1967, Schedule 3;
  - the Health Services and Public Health Act 1968, sections 63(1) and (2) and 64;
  - the Employers’ Liability (Compulsory Insurance) Act 1969, section 3; 30
  - the Local Authority Social Services Act 1970, Schedule 1;
  - the Local Government Act 1972, section 113;
  - the Health and Safety at Work etc. Act 1974, section 60;

the National Health Service (Scotland) Act 1978, section 17A;	
the Acquisition of Land Act 1981, sections 16 and 17;	
the Mental Health Act 1983, sections 12ZB, 12ZC, 39, 117, 134 and 139;	
the Disabled Persons (Services, Consultation and Representation) Act 1986, sections 2 and 7;	5
the Copyright, Designs and Patents Act 1988, section 48;	
the Children Act 1989, sections 21, 24, 24C, 27, 29, 47, 80, 85 and 105;	
the London Local Authorities Act 1991 (c xiii), section 4;	
the Health Service Commissioners Act 1993, sections 2 and 2A;	
the Value Added Tax Act 1994, section 41 and Schedule 8;	10
the Education Act 1996, section 322;	
the Employment Rights Act 1996, sections 43K, 49B, 50 and 218;	
the Housing Grants, Construction and Regeneration Act 1996, section 3;	
the Crime and Disorder Act 1998, section 115;	15
the Greater London Authority Act 1999, section 309E;	
the Health Act 1999, section 61;	
the Freedom of Information Act 2000, Schedule 1;	
the Local Government Act 2000, section 9FF;	
the Adoption and Children Act 2002, section 8;	20
the International Development Act 2002, Schedule 1;	
the Nationality, Immigration and Asylum Act 2002, section 133;	
the Criminal Justice Act 2003, section 325;	
the Finance Act 2003, section 67A;	
the Health and Social Care (Community Health and Standards) Act 2003, sections 148 and 165;	25
the Children Act 2004, sections 10 and 11;	
the Civil Contingencies Act 2004, Schedule 1;	
the Domestic Violence, Crime and Victims Act 2004, section 9;	
the Childcare Act 2006, section 4;	30
the Emergency Workers (Obstruction) Act 2006, section 1;	
the National Health Service Act 2006, except section 275;	
the National Health Service (Wales) Act 2006, sections 7, 13, 17, 26, 28, 34, 106, 115, 162, 197, 206 and Schedule 5;	
the NHS Redress Act 2006, section 1;	35
the Safeguarding Vulnerable Groups Act 2006, section 6;	
the Corporate Manslaughter and Corporate Homicide Act 2007, section 6;	
the Local Government and Public Involvement in Health Act 2007, sections 104, 116, 222, 224 and 227;	40
the Statistics and Registration Service Act 2007, section 42(4A);	
the Health and Social Care Act 2008, sections 20A, 30, 39, 45A, 48, 54, 59, 64, 80, 81 and 97;	
the Autism Act 2009, section 4;	
the Health Act 2009, sections 2, 8 and 36;	45
the Charities Act 2011, section 149;	
the Health and Social Care Act 2012, sections 83, 84, 102, 130, 197, 234, 236, 237, 239, 241, 249, 250(4) and (7), 253, 254, 255, 260, 263, 265, 268, 274, 295, 296, 298 and Schedule 12;	

- the Care Act 2014, sections 6, 22 and 101 and Schedule 1;  
the Children and Families Act 2014, sections 26, 28, 31, 53, 56, 57 and 77;  
the Local Audit and Accountability Act 2014, section 13 and Schedules 7 and 11;  
the Immigration Act 2014, Schedule 3; 5  
the Cities and Local Government Devolution Act 2016, section 18;  
the Data Protection Act 2018, Schedule 3;  
the Mental Health Units (Use of Force) Act 2018, section 9.
- (3) In any other enactment (apart from this Act) passed before the day on which section 1 comes into force, and in any instrument or other document made before that day, any reference to the National Health Service Commissioning Board is to be read, in relation to any time on or after that day, as a reference to NHS England. 10
- (4) In this paragraph “enactment” includes an enactment comprised in, or in an instrument made under, an Act of the Scottish Parliament. 15

*House of Commons Disqualification Act 1975*

- 2 In Part 3 of Schedule 1 to the House of Commons Disqualification Act 1975, in the entry relating to the chairman or non-executive member of the National Health Service Commissioning Board, for “the National Health Service Commissioning Board” substitute “NHS England”. 20

*Access to Health Records Act 1990*

- 3 In section 1(2) of the Access to Health Records Act 1990 as it has effect under the law of England and Wales –
- (a) in paragraph (a) –
- (i) for “the National Health Service Commissioning Board” substitute “NHS England”; 25
- (ii) after “contract with” insert “NHS England”;
- (b) in paragraph (aa) –
- (i) for “the National Health Service Commissioning Board” substitute “NHS England”; 30
- (ii) after “arrangements with” insert “NHS England”.

*Trade Union and Labour Relations (Consolidation) Act 1992*

- 4 In section 279(1) of the Trade Union and Labour Relations (Consolidation) Act 1992 –
- (a) in paragraph (a), for “the National Health Service Commissioning Board” substitute “NHS England”; 35
- (b) in the words after paragraph (b), for “board” substitute “body”.

*Employment Rights Act 1996*

- 5 In section 43K(2)(b) of the Employment Rights Act 1996 for “the authority or board” substitute “NHS England or the board”. 40

*National Health Service Act 2006*

- 6 The National Health Service Act 2006 is amended as follows.
- 7 In the heading of section 3B, for “Board” substitute “NHS England”
- 8 In the heading of section 13A, for “Board” substitute “NHS England”.
- 9 In the heading of section 13W, for “Board’s” substitute “NHS England’s”. 5
- 10 In the heading of section 13Y, for “Board’s” substitute “NHS England’s”.
- 11 In section 275(1) –
- (a) omit the definition of “the Board”;
- (b) in the definition of “NHS body” for paragraph (a) substitute – 10
- “(a) NHS England.”.
- 12 In Schedule 1A, in the italic heading before paragraph 18, for “Board” substitute “NHS England”.

*Corporation Tax Act 2010*

- 13 In section 986 of the Corporation Tax Act 2010, in the table, for “National Health Service Commissioning Board” substitute “NHS England”. 15

*Equality Act 2010*

- 14 In Part 1 of Schedule 19 to the Equality Act 2010, in the group of entries that includes entries for bodies whose functions relate to health, social care and social security, for “The National Health Service Commissioning Board” substitute “NHS England”. 20

*Health and Social Care Act 2012*

- 15 The Health and Social Care Act 2012 is amended as follows.
- 16 In section 150, omit subsection (2).
- 17 In the heading of section 197, for “NHS Commissioning Board” substitute “NHS England”. 25
- 18 In section 247, omit the definition of “the Board”.
- 19 In section 250(1), for “the National Health Service Commissioning Board (referred to in this Chapter as “the Board”)” substitute “NHS England”.
- 20 In the heading of section 274, for “Board” substitute “NHS England”.
- 21 In section 275, omit the definition of “the Board”. 30
- 22 In section 304(12)(a)(iv) and (ix), for “Board” substitute “NHS England”.

*Local Audit and Accountability Act 2014*

- 23 In Schedule 9 to the Local Audit and Accountability Act 2014, in paragraph 4(12), for paragraph (c) of the definition of “relevant NHS body” substitute – 35
- “(c) NHS England;”.



*Social Services and Well-being (Wales) Act 2014 (anaw 4)*

- 24 The Social Services and Well-being (Wales) Act 2014 is amended as follows.
- 25 In section 47(10) –
- (a) in the English language text –
    - (i) in the definition of “English health body”, for paragraph (b) substitute –  
“(b) NHS England;” 5
    - (ii) in the definition of “health body”, for paragraph (c) substitute –  
“(c) NHS England;” 10
  - (b) in the Welsh language text –
    - (i) in the definition of “corff iechyd”, for paragraph (c) substitute –  
“(c) GIG Lloegr;”
    - (ii) in the definition of “corff iechyd Seisnig”, for paragraph (b) substitute –  
“(b) GIG Lloegr;” 15
- 26 In section 77(4)(b)(ii) –
- (a) in the English language text, for “the National Health Service Commissioning Board” substitute “NHS England”; 20
  - (b) in the Welsh language text, for “Bwrdd Comisiynu’r Gwasanaeth Iechyd Gwladol” substitute “GIG Lloegr”.
- 27 In section 104(3)(d)(ii) –
- (a) in the English language text, for “the National Health Service Commissioning Board” substitute “NHS England”; 25
  - (b) in the Welsh language text, for “Fwrdd Comisiynu’r Gwasanaeth Iechyd Gwladol” substitute “GIG Lloegr”.
- 28 In section 118(2)(c) –
- (a) in the English language text, for “the National Health Service Commissioning Board” substitute “NHS England”; 30
  - (b) in the Welsh language text, for “Fwrdd Comisiynu’r Gwasanaeth Iechyd Gwladol” substitute “GIG Lloegr”.
- 29 In section 164A(4) –
- (a) in the English language text, for paragraph (c) substitute –  
“(c) NHS England;” 35
  - (b) in the Welsh language text, for paragraph (c) substitute –  
“(c) GIG Lloegr;”.
- 30 In section 193(4)(c) –
- (a) in the English language text, for “the National Health Service Commissioning Board” substitute “NHS England”; 40
  - (b) in the Welsh language text, for “Bwrdd Comisiynu’r Gwasanaeth Iechyd Gwladol” substitute “GIG Lloegr”.
- 31 In section 197(1) –
- (a) in the English language text, for the definition of “National Health

	Service Commissioning Board” substitute –	
	““NHS England” (“GIG Lloegr”) means the body established under section 1H of the National Health Service Act 2006;”;	
	(b) in the Welsh language text, omit the definition of “Bwrdd Comisiynu’r Gwasanaeth Iechyd Gwladol” and at the appropriate place insert –	5
	“ystyr “GIG Lloegr” (“NHS England”) yw’r corff a sefydlir o dan adran 1H o Ddeddf y Gwasanaeth Iechyd Gwladol 2006;”.	10
<i>Housing (Wales) Act 2014 (anaw 7)</i>		
32	In section 70(2)(d)(ii) of the Housing (Wales) Act 2014 –	
	(a) in the English language text, for “the National Health Service Commissioning Board” substitute “NHS England”;	
	(b) in the Welsh language text, for “Fwrdd Comisiynu’r Gwasanaeth Iechyd Gwladol” substitute “GIG Lloegr”.	15
<i>Cities and Local Government Devolution Act 2016</i>		
33	In section 18(2)(d) and (5)(b) of the Cities and Local Government Devolution Act 2016, for “the NHS Commissioning Board” substitute “NHS England”.	
<i>Additional Learning Needs and Education Tribunal (Wales) Act 2018 (anaw 2)</i>		
34	The Additional Learning Needs and Education Tribunal (Wales) Act 2018 is amended as follows.	20
35	In section 4(3) –	
	(a) in the English language text, for paragraph (i) substitute –	
	“(i) NHS England;”;	25
	(b) in the Welsh language text, for paragraph (i) substitute –	
	“(i) GIG Lloegr;”.	
36	In section 65(4) –	
	(a) in the English language text, for paragraph (j) substitute –	
	“(j) NHS England;”;	30
	(b) in the Welsh language text, for paragraph (j) substitute –	
	“(j) GIG Lloegr;”.	
37	In section 99(1) –	
	(a) in the English language text, omit the definition of “National Health Service Commissioning Board” and at the appropriate place insert –	35
	““NHS England” (“GIG Lloegr”) means the body established under section 1H of the National Health Service Act 2006;”;	
	(b) in the Welsh language text, omit the definition of “Bwrdd Comisiynu’r Gwasanaeth Iechyd Gwladol” and at the appropriate place insert –	40
	“ystyr “GIG Lloegr” (“NHS England”) yw’r corff a sefydlir o dan adran 1H o Ddeddf y Gwasanaeth Iechyd Gwladol 2006;”.	

*Domestic Abuse Act 2021*

- 38 In section 15 of the Domestic Abuse Act 2021 (duty to co-operate with the Domestic Abuse Commissioner), in subsection (7), for paragraph (c) of the definition of “NHS body in England” substitute –  
“(c) NHS England, or”. 5

SCHEDULE 2

Section 13

INTEGRATED CARE BOARDS: CONSTITUTION ETC

- 1 Before Schedule 4 to the National Health Service Act 2006 insert –  
“SCHEDULE 1B

INTEGRATED CARE BOARDS

10

PART 1

CONSTITUTION OF INTEGRATED CARE BOARDS

*Introduction*

- 1 An integrated care board must have a constitution.

*Name and area*

15

- 2 The constitution must specify –  
(a) the name of the integrated care board, and  
(b) the area for which it is established.

*Membership: general*

- 3 (1) The constitution must provide for the integrated care board to consist of –  
(a) a chair (see paragraphs 4 and 5),  
(b) a chief executive (see paragraph 6), and  
(c) at least three other members (see paragraph 7). 20  
(2) In this Part of this Schedule a reference to an “ordinary member” is to a member other than the chair or chief executive. 25

*Chair*

- 4 The constitution must provide for the chair to be appointed by NHS England, with the approval of the Secretary of State.  
5 The constitution may not confer power to remove the chair from office on any person other than NHS England, and any such power must be expressed to be subject to the approval of the Secretary of State. 30

*Chief executive*

- 6 (1) The constitution must provide for the chief executive to be appointed by the chair, with the approval of NHS England.
- (2) The constitution must provide that a person is eligible to become or remain the chief executive only if the person is an employee of the integrated care board. 5

*Ordinary members*

- 7 (1) The constitution must –
- (a) specify who is to appoint the ordinary members, and
  - (b) provide that the appointment of an ordinary member is subject to the approval of the chair. 10
- (2) The constitution must provide for the ordinary members to include –
- (a) one member nominated jointly by the NHS trusts and NHS foundation trusts that –
    - (i) provide services for the purposes of the health service within the integrated care board’s area, and
    - (ii) are of a prescribed description, 15
  - (b) one member nominated jointly by persons who –
    - (i) provide primary medical services for the purposes of the health service within the integrated care board’s area, and 20
    - (ii) are of a prescribed description, and
  - (c) one member nominated jointly by the local authorities whose areas coincide with, or include the whole or any part of, the integrated care board’s area. 25
- (3) The constitution must set out the process for nominating the ordinary members mentioned in sub-paragraph (2).
- (4) A person participating in the process for nominating the ordinary members mentioned in sub-paragraph (2) must have regard to any guidance published by NHS England in relation to the selection of candidates. 30
- (5) The descriptions of trusts or other persons that may be prescribed for the purposes of sub-paragraph (2)(a) or (b) may, in particular, be framed by reference to the nature of the services that they provide or the proportion of their services that are provided within the integrated care board’s area. 35
- (6) In this paragraph “local authority” has the meaning given by section 2B(5).

*Further provision in connection with membership* 40

- 8 The constitution may make further provision in connection with the membership of the integrated care board, including provision about –
- (a) how members are to be appointed;

- (b) qualification and disqualification for membership;
  - (c) the tenure of members (including the circumstances in which a member ceases to hold office or may be removed or suspended from office);
  - (d) eligibility for re-appointment; 5
  - (e) terms of appointment (including provision about the remuneration or allowances of the chair and ordinary members);
  - (f) the validation of proceedings in the event of a vacancy or defect in an appointment. 10
- 9 (1) The constitution of an integrated care board must comply with any requirements in connection with membership that are imposed by regulations.
- (2) The regulations may impose requirements in connection with any provision that may be included in an integrated care board's constitution by virtue of paragraphs 3 to 8. 15

*Arrangements for discharging functions*

- 10 (1) The constitution must specify arrangements for the exercise of the integrated care board's functions (including its functions in determining the terms and conditions of its employees). 20
- (2) The arrangements may include provision –
- (a) for the appointment of committees or sub-committees of the integrated care board, and
  - (b) for any such committees to consist of or include persons other than members or employees of the integrated care board. 25
- (3) The arrangements may include provision for any functions of the integrated care board to be exercised on its behalf by –
- (a) any of its members or employees,
  - (b) a committee or sub-committee of the board. 30
- 11 (1) The constitution must specify the procedure to be followed by the integrated care board in making decisions.
- (2) The constitution must also specify the arrangements to be made by the integrated care board for securing that there is transparency about the decisions of the board and the manner in which they are made. 35

*Arrangements for conflicts of interests*

- 12 The constitution must include –
- (a) provision about the arrangements to be made by the integrated care board for discharging its functions under section 14Z30(1) to (4), and 40
  - (b) a statement of the principles to be followed by the board in implementing those arrangements.

*Arrangements for public involvement*

- 13 The constitution must include—
- (a) provision about the arrangements to be made by the integrated care board for discharging its functions under section 14Z44(2), and 5
  - (b) a statement of the principles to be followed by the board in implementing those arrangements.

*Variation of constitution*

- 14 (1) The constitution must include a power to vary the constitution in accordance with a procedure set out there. 10
- (2) The provision made by the constitution in accordance with subparagraph (1) must—
- (a) include power for NHS England to vary the constitution on its own initiative, and
  - (b) require NHS England’s approval to be obtained before any other variation is made. 15

*Further provision*

- 15 In addition to the provision authorised or required to be included under this Part of this Schedule, the constitution may make further provision. 20

## PART 2

## FURTHER PROVISION ABOUT INTEGRATED CARE BOARDS

*Status*

- 16 (1) An integrated care board is a body corporate.
- (2) An integrated care board is not to be regarded— 25
- (a) as a servant or agent of the Crown, or
  - (b) as enjoying any status, privilege or immunity of the Crown.
- (3) An integrated care board’s property is not to be regarded as property of, or property held on behalf of, the Crown. 30

*Staff*

- 17 (1) An integrated care board may appoint employees.
- (2) Employees of an integrated care board are to be paid such remuneration and allowances as the board may determine.
- (3) Employees of an integrated care board are to be appointed on such other terms and conditions as the board may determine. 35
- (4) An integrated care board may pay or make provision for the payment of such pensions, allowances or gratuities as it may

- determine to or in respect of any person who is or has been an employee of the board.
- 18 (1) An integrated care board may make arrangements for a person to be seconded to the board to serve as a member of the board’s staff.
- (2) A period of secondment to an integrated care board does not affect the continuity of a person’s employment with the employer from whose service the person is seconded. 5
- (3) In paragraphs 10 and 17 a reference to an employee of an integrated care board includes a person seconded to the board.
- (4) In paragraph 6(2) the reference to an employee of an integrated care board includes any of the following seconded to the board – 10
- (a) a person employed in the civil service of the State, or
  - (b) a person employed by –
    - (i) NHS England,
    - (ii) an NHS trust established under section 25, 15
    - (iii) an NHS foundation trust,
    - (iv) a Special Health Authority performing functions only or mainly in respect of England,
    - (v) the Care Quality Commission,
    - (vi) the Health and Social Care Information Centre, 20
    - (vii) the Health Services Safety Investigations Body,
    - (viii) the Human Tissue Authority,
    - (ix) the Human Fertilisation and Embryology Authority, or
    - (x) NICE. 25
- (5) The Secretary of State may by regulations amend this paragraph so as to provide that other references in this Act to an employee of an integrated care board include persons, or persons of a prescribed description, seconded to the board.
- Additional powers in respect of payment of allowances* 30
- 19 An integrated care board may pay such allowances as it considers appropriate to a member of a committee or sub-committee of the integrated care board who is not a member of the board.
- Externally financed development agreements*
- 20 (1) The powers of an integrated care board include power to enter into externally financed development agreements. 35
- (2) For the purposes of this paragraph, an agreement is an externally financed development agreement if it is certified as such in writing by the Secretary of State.
- (3) The Secretary of State may give a certificate under this paragraph if – 40
- (a) in the Secretary of State’s opinion the purpose or main purpose of the agreement is the provision of services or

	facilities in connection with the exercise by an integrated care board of any of its functions, and	
	(b) a person proposes to make a loan to, or provide any other form of finance for, another party in connection with the agreement.	5
(4)	If an integrated care board enters into an externally financed development agreement it may also, in connection with that agreement, enter into an agreement with a person who falls within sub-paragraph (3)(b) in relation to the externally financed development agreement.	10
(5)	In sub-paragraph (3)(b) “another party” means any party to the agreement other than the integrated care board.	
(6)	The fact that an agreement made by an integrated care board has not been certified under this paragraph does not affect its validity.	
	<i>Accounts and audits</i>	15
21	(1) An integrated care board must keep proper accounts and proper records in relation to the accounts.	
	(2) An integrated care board must prepare annual accounts in respect of each financial year.	
	(3) NHS England may, with the approval of the Secretary of State, direct an integrated care board to prepare accounts in respect of such period or periods as may be specified in the direction.	20
	(4) NHS England may, with the approval of the Secretary of State, give directions to an integrated care board as to –	
	(a) the methods and principles according to which any accounts under this paragraph must be prepared, and	25
	(b) the form and content of any accounts prepared under this paragraph.	
	(5) For the audit of the annual accounts, see the Local Audit and Accountability Act 2014 (and, in particular, section 4 of that Act).	30
	(6) Accounts prepared under sub-paragraph (3) are also to be audited under that Act if NHS England so directs.	
	(7) The Comptroller and Auditor General may examine –	
	(a) the annual accounts and any records relating to them, and	
	(b) any report on them by the auditor or auditors.	35
	(8) An integrated care board must send any audited accounts prepared under this paragraph to NHS England by the date specified in a direction by NHS England.	
	(9) NHS England may direct an integrated care board to send it any unaudited accounts prepared under this paragraph by the date specified in a direction by NHS England.	40



*Incidental powers*

- 22 The power conferred on an integrated care board by section 2 includes, in particular, power to—
- (a) enter into agreements,
  - (b) acquire and dispose of property, and 5
  - (c) accept gifts (including property to be held on trust for the purposes of the integrated care board).

*Seal and evidence*

- 23 (1) The application of an integrated care board’s seal must be authenticated by the signature of any person who has been authorised (generally or specially) for that purpose. 10
- (2) A document purporting to be duly executed under an integrated care board’s seal or to be signed on its behalf must be received in evidence and, unless the contrary is proven, taken to be so executed or signed.” 15
- 2 In section 272 of that Act (orders, regulations, rules and directions)), in subsection (6), after paragraph (d) (inserted by section 11 of this Act), insert—
- “(e) regulations under paragraph 18(5) of Schedule 1B.”

SCHEDULE 3

section 16 20

CONFERRAL OF PRIMARY CARE FUNCTIONS ON INTEGRATED CARE BOARDS ETC

PART 1

CONFERRAL OF FUNCTIONS ETC

*Preliminary*

- 1 The National Health Service Act 2006 is amended as follows. 25

*Power to require NHS England to continue to exercise certain primary care functions*

- 2 In section 3B (Secretary of State’s power to require NHS England to commission services), in subsection (1)—
- (a) before paragraph (a) insert—  
“(za) primary medical services of a prescribed description;” 30
  - (b) after paragraph (a), insert—  
“(aa) primary ophthalmic services of a prescribed description;”.

*Medical services*

3 For section 83 and the italic heading before it substitute –

*“Meaning of primary medical services*

**82A Primary medical services for purposes of this Act**

- |  |    |
|--|----|
| (1) Regulations may provide that services of a prescribed description must, or must not, be regarded as primary medical services for the purposes of this Act. | 5  |
| (2) Regulations under this section may, in particular, describe services by reference to the manner or circumstances in which they are provided.               | 10 |

*Duty of integrated care boards to arrange primary medical services*

**82B Duty of integrated care boards to arrange primary medical services**

- |   |    |
|---|----|
| (1) Each integrated care board must exercise its powers so as to secure the provision of primary medical services to such extent as it considers necessary to meet the reasonable requirements of the persons for whom it has responsibility. | 15 |
| (2) For the purposes of this section an integrated care board has responsibility for –  |    |
| (a) the group of people for whom it has core responsibility (see section 14Z31), and  | 20 |
| (b) such other people as may be prescribed (whether generally or in relation to a prescribed service).  |    |

*General functions*

**83 General power to make arrangements**

- |   |    |
|---|----|
| (1) An integrated care board may make such arrangements for the provision of primary medical services as it considers appropriate for the purpose of discharging its functions under section 82B (and may, in particular, make contractual arrangements with any person). | 25 |
| (2) NHS England may make such arrangements for the provision of primary medical services as it considers appropriate for the purpose of discharging any functions under section 3B(1) (and may, in particular, make contractual arrangements with any person).            | 30 |
| (3) The arrangements that may be made under this section include –  |    |
| (a) in the case of an integrated care board, arrangements for the performance of a service outside its area (whether or not in England);  | 35 |
| (b) in the case of NHS England, arrangements for the performance of a service outside England.  |    |
| (4) Arrangements under this section may confer discretions on a person with whom they are made in relation to anything to be provided under the arrangements.   | 40 |

- (5) The powers under this section are in addition to the powers conferred by sections 84 and 92.

**83A Publication of information**

- Each integrated care board and NHS England must publish information about such matters as may be prescribed in relation to the primary medical services provided under this Act.” 5
- 4 (1) Section 84 (general medical services contracts: introductory) is amended as follows.
- (2) In subsection (1), for “The Board” substitute “An integrated care board or NHS England”. 10
- (3) In subsection (3) for “the Board” substitute “the integrated care board or NHS England (as the case may be)”.
- (4) For subsection (4) substitute –
- “(4) The services to be provided under a general medical services contract may include services which are not primary medical services. 15
- (4A) The services to be provided under a general medical services contract may include –
- (a) in the case of a contract entered into by an integrated care board, services to be performed outside its area (whether or not in England); 20
- (b) in the case of a contract entered into by NHS England, services to be performed outside England.
- (4B) A general medical services contract may confer discretions on a person with whom it is made in relation to anything to be provided under the contract.” 25
- (5) In subsection (5), for “the Board” substitute “the integrated care board or NHS England”.
- 5 In section 86 (persons eligible to enter into GMS contracts), in subsection (1), for “The Board” substitute “An integrated care board or NHS England”. 30
- 6 In section 87 (GMS contracts: payments), in subsection (3)(d), for “the Board” substitute “an integrated care board or NHS England”.
- 7 (1) Section 89 (GMS contracts: other required terms) is amended as follows.
- (2) Omit subsections (1A) to (1E).
- (3) In subsection (4)(a), for “the Board” substitute “an integrated care board or NHS England”. 35
- 8 (1) Section 91 (persons performing primary medical services) is amended as follows.
- (2) In subsection (1), for “the Board”, in the first place it occurs, substitute “an integrated care board or NHS England”. 40

- 
- (3) In subsection (2), for paragraph (b) substitute –  
 “(b) an integrated care board or NHS England is responsible for a primary medical service if it secures its provision under or by virtue of any enactment.”
- 9 (1) Section 92 (arrangements for the provision of primary medical services) is amended as follows. 5
- (2) In the heading, for “the Board” substitute “an integrated care board or NHS England”.
- (3) For subsection (1), substitute –  
 “(1) An integrated care board or NHS England may make agreements, other than arrangements pursuant to section 83 or general medical services contracts, under which primary medical services are provided.” 10
- (4) After subsection (5) insert –  
 “(5A) An agreement may confer discretions on a person with whom it is made in relation to anything to be provided under the agreement.” 15
- 10 In section 93 (persons with whom agreements may be made under section 92), in subsection (1), for “The Board” substitute “An integrated care board or NHS England”.
- 11 (1) Section 94 (regulations about section 92 arrangements) is amended as follows. 20
- (2) In subsection (2), for “the Board” substitute “an integrated care board or NHS England”.
- (3) In section (3), for paragraph (ca) substitute –  
 “(ca) provide that section 92 arrangements made by an integrated care board may be made in relation to services to be performed outside its area (whether or not in England),  
 (cb) provide that section 92 arrangements made by NHS England may be made in relation to services to be performed outside England,”. 25  
 30
- (4) Omit subsections (3A) to (3E).
- (5) In subsection (6), for “the Board” substitute “an integrated care board or NHS England”.
- (6) In subsection (7), omit “to” in the first place it occurs.
- 12 (1) Section 96 (assistance and support: primary medical services) is amended as follows. 35
- (2) In subsection (1) –  
 (a) for “The Board” substitute “An integrated care board”;  
 (b) in paragraph (za), for “83(2)” substitute “83”.
- (3) In subsection (2) – 40  
 (a) for “the Board”, in the first place it occurs, substitute “an integrated care board”;

- (b) for “the Board”, in the second place it occurs, substitute “the integrated care board”.
- 13 (1) Section 97 (Local Medical Committees) is amended as follows.
- (2) In subsection (1), for “The Board may recognise a committee formed for an area, which it is satisfied” substitute “An integrated care board may recognise a committee formed for an area that includes the whole or part of the integrated care board’s area if it is satisfied that the committee”.
- (3) In subsection (3)(b), for “the Board” substitute “the integrated care board”.
- (4) In subsection (6), for “the Board” substitute “an integrated care board”.
- (5) In subsection (10) –
- (a) for “The Board” substitute “An integrated care board”;
- (b) in paragraphs (a) and (b), for “the Board” substitute “the integrated care board”.
- 14 For section 98A substitute –
- “98A Delegation of Secretary of State’s functions to NHS England**
- (1) The Secretary of State may direct NHS England to exercise any of the Secretary of State’s functions relating to the provision of primary medical services.
- (2) Subsection (1) does not apply to any function of the Secretary of State of making an order or regulations.
- 98B NHS England’s power to direct integrated care boards**
- NHS England may give directions to an integrated care board about the exercise by it of any of its functions under this Part.”
- Dental services*
- 15 For section 99 and the italic heading before it substitute –
- “Meaning of primary dental services*
- 98C Primary dental services for purposes of this Act**
- (1) Regulations may provide that services of a prescribed description must, or must not, be regarded as primary dental services for the purposes of this Act.
- (2) Regulations under this section may, in particular, describe services by reference to the manner or circumstances in which they are provided.
- Duty of integrated care boards to arrange primary dental services*
- 99 Duty of integrated care boards to arrange primary dental services**
- (1) Each integrated care board must exercise its powers so as to secure the provision of primary dental services to such extent as it considers necessary to meet the reasonable requirements of the people for whom it has responsibility.

- (2) For the purposes of this section an integrated care board has responsibility for—
- (a) the group of people for whom it has core responsibility (see section 14Z31), and
  - (b) such other people as may be prescribed (whether generally or in relation to a prescribed service). 5

*General functions*

**99A General power to make arrangements**

- (1) An integrated care board may make such arrangements for the provision of primary dental services as it considers appropriate for the purpose of discharging its functions under section 99 (and may, in particular, make contractual arrangements with any person). 10
- (2) NHS England may make such arrangements for the provision of primary dental services as it considers appropriate for the purpose of discharging any functions under section 3B(1) (and may, in particular, make contractual arrangements with any person). 15
- (3) The arrangements that may be made under this section include—
- (a) in the case of an integrated care board, arrangements for the performance of a service outside its area (whether or not in England); 20
  - (b) in the case of NHS England, arrangements for the performance of a service outside England.
- (4) The powers in this section are in addition to the powers conferred by sections 100 and 107.

**99B Publication of information** 25

Each integrated care board and NHS England must publish information about such matters as may be prescribed in relation to the primary dental services provided under this Act.”

- 16 (1) Section 100 (general dental services contracts: introductory) is amended as follows. 30
- (2) In subsection (1), for “The Board” substitute “An integrated care board or NHS England”.
- (3) In subsection (3)—
- (a) for “the Board” substitute “the integrated care board or NHS England (as the case may be)”; 35
  - (b) in paragraph (a) omit the words from “(which” to the end.
- (4) After subsection (3) insert—
- “(3A) The services to be provided under a general dental services contract may include services which are not primary dental services.
- (3B) The services to be provided under a general dental services contract may include— 40
- (a) in the case of a contract entered into by an integrated care board, services to be performed outside its area (whether or not in England);

- (b) in the case of a contract entered into by NHS England, services to be performed outside England.”
- (5) In subsection (4), for “the Board” substitute “the integrated care board or NHS England”.
- 17 In section 102 (persons eligible to enter into GDS contracts), in subsection (1), for “The Board” substitute “An integrated care board or NHS England”. 5
- 18 In section 103 (GDS contracts: payments), in subsection (3)(d), for “the Board” substitute “an integrated care board or NHS England”.
- 19 In section 104 (GDS contracts: other required terms), in subsection (3), for “the Board” substitute “an integrated care board or NHS England”. 10
- 20 (1) Section 106 (persons performing primary dental services) is amended as follows.
- (2) In subsection (1), for “the Board”, in the first place it occurs, substitute “an integrated care board or NHS England”.
- (3) In subsection (2), for paragraph (b) substitute – 15
- “(b) an integrated care board or NHS England is responsible for a primary dental service if it secures its provision under or by virtue of any enactment.”
- 21 (1) Section 107 (arrangements for the provision of primary dental services) is amended as follows. 20
- (2) In the heading, for “the Board” substitute “an integrated care board or NHS England”.
- (3) For subsection (1) substitute –
- “(1) An integrated care board or NHS England may make agreements, other than arrangements pursuant to section 99A or general dental services contracts, under which primary dental services are provided.” 25
- (4) Omit subsection (6).
- 22 In section 108 (persons with whom agreements may be made under section 107), in subsection (1), for “The Board” substitute “An integrated care board or NHS England”. 30
- 23 (1) Section 109 (regulations about section 107 arrangements) is amended as follows.
- (2) In subsection (2), for “the Board” substitute “an integrated care board or NHS England”. 35
- (3) In section (3), for paragraph (ca) substitute –
- “(ca) provide that section 107 arrangements made by an integrated care board may be made in relation to services to be performed outside its area (whether or not in England),
- (cb) provide that section 107 arrangements made by NHS England may be made in relation to services to be performed outside England.”. 40

- 
- (4) In subsection (6), for “the Board” substitute “an integrated care board or NHS England”.
- (5) In subsection (7), omit “to” in the first place it occurs.
- 24 (1) Section 112 (assistance and support: primary dental services) is amended as follows. 5
- (2) In subsection (1) –
- (a) for “The Board” substitute “An integrated care board”;
- (b) before paragraph (a) insert –
- “(za) primary dental services pursuant to section 99A,”.
- (3) In subsection (2) – 10
- (a) for “the Board”, in the first place it occurs, substitute “an integrated care board”;
- (b) for “the Board”, in the second place it occurs, substitute “the integrated care board”.
- 25 (1) Section 113 (Local Dental Committees) is amended as follows. 15
- (2) In subsection (1), for “The Board may recognise a committee formed for an area, which it is satisfied” substitute “An integrated care board may recognise a committee formed for an area that includes the whole or part of the integrated care board’s area if it is satisfied that the committee”.
- (3) In subsection (3) – 20
- (a) in paragraph (a), omit sub-paragraph (i);
- (b) in paragraph (b), for “the Board” substitute “the integrated care board”.
- (4) In subsection (6), for “the Board” substitute “an integrated care board”.
- (5) In subsection (10) – 25
- (a) for “The Board” substitute “An integrated care board”;
- (b) in paragraphs (a) and (b), for “the Board” substitute “the integrated care board”.
- 26 For section 114A substitute –
- “114A Delegation of Secretary of State’s functions to NHS England** 30
- (1) The Secretary of State may direct NHS England to exercise any of the Secretary of State’s functions relating to the provision of primary dental services.
- (2) Subsection (1) does not apply to any function of the Secretary of State of making an order or regulations. 35
- 114B NHS England’s power to direct integrated care boards**
- NHS England may give directions to an integrated care board about the exercise by it of any of its functions under this Part.”



*Ophthalmic services*

27 Before section 115 (and the italic heading before it) insert –

*“Meaning of primary ophthalmic services*

**114C Primary ophthalmic services for purposes of this Act**

- (1) Regulations may provide that services of a prescribed description must, or must not, be regarded as primary ophthalmic services for the purposes of this Act (but these regulations may not affect the duty in section 115(1)(a)). 5
- (2) Regulations under this section may, in particular, describe services by reference to the manner or circumstances in which they are provided.” 10

28 In the italic heading before section 115, for “the Board” substitute “integrated care boards”.

29 (1) Section 115 (primary ophthalmic services) is amended as follows.

(2) For the heading substitute “Duty of integrated care boards to arrange primary ophthalmic services”. 15

(3) For subsections (1) and (1A) substitute –

“(1) Each integrated care board must exercise its powers so as to secure the provision of the following primary ophthalmic services to such extent as it considers necessary to meet the reasonable requirements of the people for whom it has responsibility – 20

- (a) the sight-testing service mentioned in subsection (2),  
(b) such other primary ophthalmic services as may be prescribed, and  
(c) to the extent that it considers necessary to meet all reasonable requirements, any further primary ophthalmic services. 25

(1A) For the purposes of this section an integrated care board has responsibility for –

- (a) the group of people for whom it has core responsibility (see section 14Z31), and  
(b) such other people as may be prescribed (whether generally or in relation to a prescribed service).” 30

(4) Omit subsections (4), (4A), (5), (7) and (8).

30 After section 116 insert –

*“General functions”* 35

**116A General powers to make arrangements**

- (1) An integrated care board may make such arrangements for the provision of primary ophthalmic services as it considers appropriate for the purpose of discharging its functions under section 115 (and may, in particular, make contractual arrangements with any person). 40

- 
- (2) NHS England may make such arrangements for the provision of primary ophthalmic services as it considers appropriate for the purpose of discharging any functions under section 3B (and may, in particular, make contractual arrangements with any person).
- (3) The arrangements that may be made under this section include— 5
- (a) in the case of an integrated care board, arrangements for the performance of a service outside its area (whether or not in England);
- (b) in the case of NHS England, arrangements for the performance of a service outside England. 10
- (4) The powers in this section are in addition to the power conferred by section 117.
- 116B Publication of information**
- Each integrated care board and NHS England must publish information about such matters as may be prescribed in relation to the primary ophthalmic services provided under this Act.” 15
- 31 (1) Section 117 (general ophthalmic services contracts: introductory) is amended as follows.
- (2) In subsection (1), for “The Board” substitute “An integrated care board or NHS England”. 20
- (3) In subsection (3) for “the Board” substitute “the integrated care board or NHS England (as the case may be)”.
- (4) For subsection (4) substitute—
- “(4) The services to be provided under a general ophthalmic services contract may include services which are not primary ophthalmic services. 25
- (4A) The services to be provided under a general ophthalmic services contract may include—
- (a) in the case of a contract entered into by an integrated care board, services to be performed outside its area (whether or not in England); 30
- (b) in the case of a contract entered into by NHS England, services to be performed outside England.”
- (5) In subsection (5), for “the Board” substitute “the integrated care board or NHS England”. 35
- 32 In section 118 (persons eligible to enter into GOS contracts), in subsection (1), for “The Board” substitute “An integrated care board or NHS England”.
- 33 In section 119 (exclusion of contractors), in subsection (1), for “the Board” substitute “an integrated care board or NHS England”.
- 34 In section 120 (GOS contracts: payments), in subsection (3)(d), for “the Board” substitute “an integrated care board or NHS England”. 40
- 35 In section 121 (GOS contracts: other required terms), in subsection (3)(a), for “the Board” substitute “an integrated care board or NHS England”.

- 36 (1) Section 123 (persons performing primary ophthalmic services) is amended as follows.
- (2) In subsection (1), for “the Board”, in the first place it occurs, substitute “an integrated care board or NHS England”.
- (3) In subsection (2), for paragraph (b) substitute— 5  
    “(b) an integrated care board or NHS England is responsible for a primary ophthalmic service if it secures its provision under or by virtue of any enactment.”
- 37 (1) Section 124 (assistance and support: primary ophthalmic services) is amended as follows. 10
- (2) In subsection (1), for “The Board” substitute “An integrated care board”.
- (3) In subsection (2) —
- (a) for “the Board”, in the first place it occurs, substitute “an integrated care board”;
- (b) for “the Board”, in the second place it occurs, substitute “the integrated care board”. 15
- 38 (1) Section 125 (Local Optical Committees) is amended as follows.
- (2) In subsection (1), for “The Board may recognise a committee formed for an area, which it is satisfied” substitute “An integrated care board may recognise a committee formed for an area that includes the whole or part of the integrated care board’s area if it is satisfied that the committee”. 20
- (3) In subsection (3)(b), for “the Board” substitute “the integrated care board”.
- (4) In subsection (7), for “the Board” substitute “an integrated care board”.
- (5) In subsection (10) —
- (a) for “The Board” substitute “An integrated care board”; 25
- (b) in paragraphs (a) and (b), for “the Board” substitute “the integrated care board”.
- 39 For section 125A substitute —
- “125A Delegation of Secretary of State’s functions to NHS England**
- (1) The Secretary of State may direct NHS England to exercise any of the Secretary of State’s functions relating to the provision of primary ophthalmic services. 30
- (2) Subsection (1) does not apply to any function of the Secretary of State of making an order or regulations.
- 125B NHS England’s power to direct integrated care boards** 35
- NHS England may give directions to an integrated care board about the exercise by it of any of its functions under this Part.”

*Pharmaceutical services*

40 For section 168A substitute –

**“168A Delegation of Secretary of State’s functions to NHS England**

- (1) The Secretary of State may direct NHS England to exercise any of the Secretary of State’s functions relating to services that may be provided as pharmaceutical services, or as local pharmaceutical services, under this Part. 5
- (2) Subsection (1) does not apply to any function of the Secretary of State of making an order or regulations.”

PART 2 10

CONSEQUENTIAL AMENDMENTS

*Dentists Act 1984*

41 The Dentists Act 1984 is amended as follows.

42 In section 40 (definition of business of dentistry), in subsection (2)(aa), for “a contract under section 100 of the National Health Service Act 2006” substitute “arrangements under section 99A of the National Health Service Act 2006 or a contract under section 100 of that Act”. 15

43 In section 53 (interpretation), in subsection (3)(a)(i), for “92 or 107” substitute “83, 92, 99A or 107”.

*Access to Health Records Act 1990* 20

44 In section 1 of the Access to Health Records Act 1990 (“Health record” and related expressions) as it has effect under the law of England and Wales, in subsection (2) –

(a) in paragraph (a) –  
 (i) before “or a Local Health Board” insert “, an integrated care board”; 25

(ii) for “the Board” substitute “or the integrated care board or Local Health Board”;

(b) after paragraph (a) insert –  
 “(aza) in the case of a record made by a health professional performing such services under a contract made with NHS England or an integrated care board under section 100 of the National Health Service Act 2006 (general dental services contracts), the person or body who entered into the contract with NHS England or the integrated care board (or, in a case where more than one person so entered into the contract, any such person);” 30  
 35

(c) in paragraph (aa) –  
 (i) for “92 or 107” substitute “83, 92, 99A or 107”; 40  
 (ii) before “or a Local Health Board” insert “, an integrated care board”;

- (iii) for “the Board” substitute “or the integrated care board or Local Health Board”.

*Trade Union and Labour Relations (Consolidation) Act 1992*

- 45 In section 279 of the Trade Union and Labour Relations (Consolidation) Act 1992 (health service practitioners), for subsection (2) substitute – 5
- “(2) In this Act “worker” also includes an individual regarded in their capacity as one who works or normally works or seeks to work as a person performing primary medical services, primary dental services or primary ophthalmic services –
- (a) in accordance with arrangements made by NHS England or an integrated care board under section 83, 92, 99A, 107, or 116A of the National Health Service Act 2006; 10
- (b) in accordance with arrangements made by a Local Health Board under section 50 or 64 of the National Health Service (Wales) Act 2006; 15
- (c) under a contract under section 84, 100 or 117 of the National Health Service Act 2006 entered into by the individual with NHS England or an integrated care board; or
- (d) under a contract under section 42 or 57 of the National Health Service (Wales) Act 2006 entered into by the individual with a Local Health Board, 20
- and “employer” in relation to such an individual, regarded in that capacity, means that body.”

*Health Service Commissioners Act 1993*

- 46 In section 2A of the Health Service Commissioners Act 1993 (persons subject to investigation), in subsection (1)(c), for “92 or 107” substitute “83, 92, 99A or 107”. 25

*Freedom of Information Act 2000*

- 47 In Part 3 of Schedule 1 to the Freedom of Information Act 2000 (NHS in England and Wales), in paragraph 43A(a), for “92 or 107” substitute “83, 92, 99A, 107 or 116A”. 30

*Health Act 2006*

- 48 In Schedule 8 to the Health Act 2006 (minor and consequential amendments), omit paragraph 30 and the italic heading above it.

*National Health Service Act 2006* 35

- 49 The National Health Service Act 2006 is amended as follows.
- 50 In section 80 (supply of goods and services by the Secretary of State and NHS bodies), in subsections (5) and (7), before “may” insert “or an integrated care board”.
- 51 (1) Section 259 (sale of medical practices) is amended as follows. 40
- (2) In subsection (4)(e), for “83(2)” substitute “83”.

- (3) In subsection (4A), for “83(2)”, in the first place it occurs, substitute “83”.
- 52 In section 276 (index of defined expressions) –
- (a) in the entry relating to “primary dental services” for “section 99” substitute “section 98C”;
  - (b) in the entry relating to “primary medical services” for “section 83” substitute “section 82A”;
  - (c) in the entry relating to “primary ophthalmic services” for “section 115” substitute “section 114C”.
- 53 In Schedule 4 (NHS trusts), for paragraph 24 and the italic heading before it substitute –
- “Provision of services under section 83, 92, 99A or 107*
- 24 An NHS trust may provide services –
- (a) under arrangements made under section 83 (primary medical services);
  - (b) under an agreement made under section 92 (primary medical services), and may do so as a member of a qualifying body (within the meaning given by section 93);
  - (c) under arrangements made under section 99A (primary dental services);
  - (d) under an agreement made under section 107 (primary dental services), and may do so as a member of a qualifying body (within the meaning given by section 108).”
- National Health Service (Wales) Act 2006*
- 54 (1) Section 51 (persons with whom agreement may be made under section 50 for the provision of primary medical services) is amended as follows.
- (2) In subsection (1) –
- (a) in paragraph (d)(ii) after “section 64 arrangements,” insert “section 83 arrangements,”;
  - (b) in paragraph (e) after “a section 64 employee,” insert “a section 83 employee,”.
- (3) In subsection (3) –
- (a) after the definition of “section 17C employee” insert –
    - ““section 83 arrangements” means arrangements for the provision of services made under section 83 of the National Health Service Act 2006,”;
  - (b) after the definition of “section 107 arrangements” insert –
    - ““section 83 employee” means an individual who, in connection with the provision of services in accordance with section 83 arrangements, is employed by a person providing or performing those services,”.
- 55 (1) Section 65 (persons with whom agreement may be made under section 64 for the provision of primary dental services) is amended as follows.
- (2) In subsection (1) –

- (a) in paragraph (d)(ii) after “section 92 arrangements,” insert “section 99A arrangements,”;
  - (b) in paragraph (e) after “a section 92 employee,” insert “a section 99A employee,”.
- (3) In subsection (3) – 5
- (a) after the definition of “section 92 arrangements” insert –  
““section 99A arrangements” means arrangements for the provision of services made under section 99A of the National Health Service Act 2006,”;
  - (b) after the definition of “section 92 employee” insert – 10  
““section 99A employee” means an individual who, in connection with the provision of services in accordance with section 99A arrangements, is employed by a person providing or performing those services,”. 15

*Health Act 2009*

- 56 In section 2 of the Health Act 2009 (duty to have regard to NHS constitution), in subsection (6) –
- (a) for paragraph (a) substitute –  
“(a) section 83 (arrangements for provision of primary medical services);”;
  - (b) after paragraph (c) insert –  
“(ca) section 99A (arrangements for provision of primary dental services);”;
  - (c) after paragraph (e) insert – 25  
“(ea) section 116A (arrangements for provision of primary ophthalmic services);”.

*Domestic Abuse Act 2021*

- 57 In section 80 of the Domestic Abuse Act 2021 (prohibition on charging for the provision of medical evidence of domestic abuse), in subsection (5)(a), for sub-paragraph (ii) substitute – 30  
“(ii) any arrangements made under section 83 of that Act”.

SCHEDULE 4

Section 26

INTEGRATED CARE SYSTEM: MINOR AND CONSEQUENTIAL AMENDMENTS 35

*Reserve and Auxiliary Forces (Protection of Civil Interests) Act 1951*

- 1 In Part 1 of Schedule 2 to the Reserve and Auxiliary Forces (Protection of Civil Interests) Act 1951 (capacities in respect of which payments under Part 5 of the Act may be made, and paying authorities), in paragraph 15 –
- (a) in the first column (headed “capacity”), for “, a clinical commissioning group,” substitute “, an integrated care board,”; 40

- (b) in the second column (headed “paying authority”), for “, clinical commissioning group,” substitute “, integrated care board,”.

*Public Records Act 1958*

- 2 In Schedule 1 to the Public Records Act 1958 (bodies the records of which are public records), in Part 1 of the Table at the end of paragraph 3— 5
- (a) for “, clinical commissioning groups,” substitute “, integrated care boards,”;
- (b) for “, a clinical commissioning group”, in both places it occurs, substitute “, an integrated care board”;
- (c) for “paragraph 20 of Schedule 1A” substitute “paragraph 22 of Schedule 1B”. 10

*Public Bodies (Admission to Meetings) Act 1960*

- 3 In the Schedule to the Public Bodies (Admission to Meetings) Act 1960 (public authorities to which that Act applies) in paragraph 1, after paragraph (o) insert— 15
- “(p) an integrated care board established under section 14Z25 of the National Health Service Act 2006;”.

*Leasehold Reform Act 1967*

- 4 (1) Section 28 of the Leasehold Reform Act 1967 (land required for public purposes) is amended as follows. 20
- (2) In subsection (5)(d), for “, any clinical commissioning group,” substitute “, any integrated care board,”.
- (3) In subsection (6)(c), for “, a clinical commissioning group,” substitute “, an integrated care board,”.

*Parliamentary Commissioner Act 1967* 25

- 5 In Schedule 3 to the Parliamentary Commissioner Act 1967 (matters not subject to investigation), in paragraph 8(1) and (2), for “a clinical commissioning group” substitute “an integrated care board”.

*Health Services and Public Health Act 1968*

- 6 The Health Services and Public Health Act 1968 is amended as follows. 30
- 7 In section 63 (provision of instruction for officers of hospital authorities etc), in subsections (1)(a) and (2)(a), for “a clinical commissioning group” substitute “an integrated care board”.
- 8 In section 64 (financial assistance to certain voluntary organisations), in subsection (3)(b), for “a clinical commissioning group” substitute “an integrated care board”. 35

*Employers’ Liability (Compulsory Insurance) Act 1969*

- 9 In section 3 of the Employers’ Liability (Compulsory Insurance) Act 1969 (employers exempted from insurance), in subsection (2)(a), for “, a clinical



commissioning group established under section 14D” substitute “, an integrated care board established under section 14Z25”.

*Local Authority Social Services Act 1970*

- 10 In Schedule 1 to the Local Authority Social Services Act 1970 (social services functions) in the entry relating to the Children Act 1989, in the column headed “Nature of functions”, for “a clinical commissioning group” substitute “an integrated care board”. 5

*Local Government Act 1972*

- 11 (1) Section 113 of the Local Government Act 1972 (placing of staff of local authorities at disposal of certain persons) is amended as follows. 10
- (2) In subsection (1A), for “clinical commissioning group,”, in each place it occurs, substitute “integrated care board,”.
- (3) In subsection (4), for ““clinical commissioning group” means a body established under section 14D” substitute ““integrated care board” means a body established under section 14Z25”. 15

*Health and Safety at Work etc. Act 1974*

- 12 In section 60 of the Health and Safety at Work etc. Act 1974 (supplementary provision about the Employment Medical Advisory Service), in subsection (1), for “clinical commissioning group” substitute “integrated care board”.

*National Health Service (Scotland) Act 1978* 20

- 13 In section 17A (NHS contracts), in subsection (2), for paragraph (jb) substitute—
- “(jb) integrated care boards established under section 14Z25 of the National Health Service Act 2006;”.

*Mental Health Act 1983* 25

- 14 The Mental Health Act 1983 is amended as follows.
- 15 In section 39 (information as to hospitals), in subsection (1), for “clinical commissioning group or”, in each place it occurs, substitute “integrated care board or”.
- 16 In section 117 (after-care), in subsections (2), (2D), (2E), (2F) and (3), for “clinical commissioning group”, in each place it occurs, substitute “integrated care board”. 30
- 17 In section 134 (correspondence of patients), in subsection (3)(e), for “, a clinical commissioning group,” substitute “, an integrated care board,”
- 18 In section 139 (protection for acts done in pursuance of this Act), in subsection (4), for “, a clinical commissioning group,” substitute “, an integrated care board,”. 35
- 19 In section 140 (notification of hospitals having arrangements for special cases) for “clinical commissioning group”, in each place it occurs, substitute “integrated care board”. 40

*Acquisition of Land Act 1981*

- 20 The Acquisition of Land Act 1981 is amended as follows.
- 21 In section 16 (statutory undertakers' land excluded from compulsory purchase), in subsection (3), for paragraph (ab) substitute –  
 “(ab) an integrated care board established under section 14Z25 of the National Health Service Act 2006;” 5
- 22 In section 17 (local authority and statutory undertakers' land), in subsection (4), in the definition of “statutory undertakers” for paragraph (af) substitute –  
 “(af) an integrated care board established under section 14Z25 of the National Health Service Act 2006;” 10

*Disabled Persons (Services, Consultation and Representation) Act 1986*

- 23 The Disabled Persons (Services, Consultation and Representation) Act 1986 is amended as follows.
- 24 (1) Section 2 (rights of authorised representatives of disabled persons) is amended as follows. 15
- (2) In subsection (5)(a), for “a clinical commissioning group” substitute “an integrated care board”.
- (3) In subsection (9), in paragraph (a) of the definition of “health authority”, for “, a clinical commissioning group or” substitute “, an integrated care board or”. 20
- 25 (1) Section 7 (persons discharged from hospital) is amended as follows.
- (2) In subsection (3A)(a), for “a clinical commissioning group” substitute “an integrated care board”.
- (3) In subsection (9), in paragraph (a) of the definition of “health authority”, for “a clinical commissioning group”, substitute “an integrated care board”. 25

*Copyright, Designs and Patents Act 1988*

- 26 In section 48 of the Copyright, Designs and Patents Act 1988 (material communicated to the Crown in the course of public business), in subsection (6), for “, a clinical commissioning group established under section 14D of the National Health Service Act 2006,” substitute “, an integrated care board established under section 14Z25 of the National Health Service Act 2006,”. 30

*Children Act 1989*

- 27 The Children Act 1989 is amended as follows.
- 28 In section 21 (provision for accommodation for children in police protection or detention or on remand, etc), in subsection (3), for “a clinical commissioning group” substitute “an integrated care board”. 35
- 29 In section 24 (persons qualifying for advice and assistance), in subsection (2)(d)(ii), for “a clinical commissioning group” substitute “an integrated care board”. 40

30	In section 24C (information), in subsection (2)(c), for “a clinical commissioning group” substitute “an integrated care board”.	
31	In section 27 (co-operation between authorities), in subsection (3)(d), for “clinical commissioning group,” substitute “integrated care board,”.	
32	In section 29 (recoupment of cost of providing services etc), in subsection (8)(c), for “a clinical commissioning group” substitute “an integrated care board”.	5
33	In section 47 (local authority’s duty to investigate), in subsection (11)(d), for “clinical commissioning group,” substitute “integrated care board,”.	
34	In section 80 (inspection of children’s homes etc by persons authorised by the Appropriate National Authority), in subsections (1)(d) and (5)(ea), for “a clinical commissioning group” substitute “an integrated care board”.	10
35	In section 85 (children accommodated by health authorities and local education authorities), in subsection (2ZA) – (a) in paragraph (b), for “a clinical commissioning group” substitute “an integrated care board”; (b) in the words after paragraph (b), for “the clinical commissioning group” substitute “the integrated care board”.	15
36	In section 105 (interpretation), in subsection (1) – (a) omit the definition of “clinical commissioning group”; (b) at the appropriate place insert – ““integrated care board” means a body established under section 14Z25 of the National Health Service Act 2006;”.	20
	<i>National Health Service and Community Care Act 1990</i>	25
37	In section 47 of the National Health Service and Community Care Act 1990 (assessment of needs for community care services), in subsection (3), in the words after paragraph (b) for “clinical commissioning group”, in both places it occurs, substitute “integrated care board”.	
	<i>London Local Authorities Act 1991</i>	30
38	In section 4 of the London Local Authorities Act 1991 (interpretation of Part 2), in paragraph (d) of the definition of “establishment for special treatment”, for “a clinical commissioning group under the National Health Service Act 2006 (including by virtue of section 7A of that Act)” substitute “an integrated care board under the National Health Service Act 2006”.	35
	<i>Health Service Commissioners Act 1993</i>	
39	In section 2 of the Health Service Commissioners Act 1993 (the bodies subject to investigation), in subsection (1), for paragraph (dd) substitute – “(dd) integrated care boards;”.	
	<i>Value Added Tax Act 1994</i>	40
40	The Value Added Tax Act 1994 is amended as follows.	

- 41 In section 41 (application to the Crown), in subsection (7), for paragraph (f) substitute –  
“(f) an integrated care board.”
- 42 (1) Schedule 8 (zero-rating) is amended as follows.
- (2) In Group 12, in Note (5H), for paragraph (ea) substitute – 5  
“(ea) an integrated care board established under section 14Z25 of the National Health Service Act 2006;”.
- (3) In Group 15, in Note (4), for paragraph (j) substitute –  
“(j) an integrated care board established under section 14Z25 of the National Health Service Act 2006.” 10

*Education Act 1996*

- 43 The Education Act 1996 is amended as follows.
- 44 In section 322 (duty of certain bodies to help local authority), in subsections (1), (3)(a) and (4), for “a clinical commissioning group” substitute “an integrated care board”. 15
- 45 In section 332 (duty of Local Health Board, a Primary Care Trust or National Health Service trust to notify parent etc), in subsection (1), for “a clinical commissioning group,” substitute “an integrated care board.”

*Employment Rights Act 1996*

- 46 The Employment Rights Act 1996 is amended as follows. 20
- 47 In section 49B (the health service: regulations prohibiting discrimination because of protected disclosure), in subsection (7), for paragraph (b) substitute –  
“(b) an integrated care board;”.
- 48 In section 50 (right to time off for public duties), in subsection (8), for paragraph (zb) substitute – 25  
“(zb) an integrated care board established under section 14Z25 of the National Health Service Act 2006;”.
- 49 In section 218 (change of employer), in subsection (10), for paragraph (zb) substitute – 30  
“(zb) an integrated care board established under section 14Z25 of the National Health Service Act 2006;”.

*Housing Grants, Construction and Regeneration Act 1996*

- 50 In section 3 of the Housing Grants, Construction and Regeneration Act 1996 (ineligible applicants for grants), in subsection (2)(f), for “, a clinical commissioning group,” substitute “, an integrated care board,”. 35

*Crime and Disorder Act 1998*

- 51 The Crime and Disorder Act 1998 is amended as follows.

- 52 In section 5 (authorities responsible for crime and disorder strategies), in subsection (1)(e), for “clinical commissioning group” substitute “integrated care board”.
- 53 In section 38 (local provision of youth justice services), in subsection (2)(b), for “, clinical commissioning group or” substitute “, integrated care board or”.
- 54 (1) Section 39 (youth offending teams) is amended as follows.  
(2) In subsection (3)(b), for “, clinical commissioning group or” substitute “, integrated care board or”.
- (3) In subsection (5)(d), for “a clinical commissioning group or” substitute “an integrated care board or”.
- 55 In section 41 (the Youth Justice Board), in subsection (10), for “a clinical commissioning group,” substitute “an integrated care board,”.
- 56 In section 42 (supplementary provision), in subsection (3), for “a clinical commissioning group,” substitute “an integrated care board,”.
- 57 In section 115 (disclosure of information), in subsection (2), for paragraph (fb) substitute –  
“(fb) an integrated care board;”.

*Greater London Authority Act 1999*

- 58 In section 309E of the Greater London Authority Act 1999 (the Mayor’s health inequalities strategy), in subsection (5), for paragraph (gc) substitute –  
“(gc) any integrated care board (established under section 14Z25 of the National Health Service Act 2006) for an area wholly or partly in Greater London;”.

*Health Act 1999*

- 59 In section 61 of the Health Act 1999 (English and Scottish border provisions), in subsections (2) and (5), for “clinical commissioning group”, in each place it occurs, substitute “integrated care board”.

*Freedom of Information Act 2000*

- 60 In Part 3 of Schedule 1 to the Freedom of Information Act 2000 (NHS in England and Wales), for paragraph 37B substitute –  
“37B An integrated care board established under section 14Z25 of the National Health Service Act 2006.”

*Local Government Act 2000*

- 61 In section 9FF of the Local Government Act 2000 (reports and recommendations of overview and scrutiny committees: duties of certain partner authorities), in subsection (6), for paragraph (za) substitute –  
“(za) an integrated care board;”.

*Adoption and Children Act 2002*

- 62 The Adoption and Children Act 2002 is amended as follows.
- 63 In section 4 (assessments etc for adoption support services), in subsection (9), in the words after paragraph (b), for “clinical commissioning group,” substitute “integrated care board,”. 5
- 64 In section 8 (adoption support agencies), in subsection (2)(d), for “, clinical commissioning group” substitute “, integrated care board”.

*International Development Act 2002*

- 65 In Schedule 1 to the International Development Act 2002 (statutory bodies with powers under section 9 of that Act)– 10
- (a) omit the entry for a clinical commissioning group;
- (b) before the entry for “A Health Board” insert –
- “An integrated care board”.

*Nationality, Immigration and Asylum Act 2002*

- 66 In section 133 of the Nationality, Immigration and Asylum Act 2002 (power of medical inspector to disclose information to health service bodies), in subsection (4)(a), for sub-paragraph (ib) substitute – 15
- “(ib) an integrated care board established under section 14Z25 of the National Health Service Act 2006,”.

*Criminal Justice Act 2003* 20

- 67 In section 325 of the Criminal Justice Act 2003 (arrangements for assessing etc risks posed by certain offenders), in subsection (6)(g), for “clinical commissioning group or” substitute “integrated care board or”.

*Finance Act 2003*

- 68 In section 67A of the Finance Act 2003 (acquisitions by certain health service bodies), in subsection (1), for paragraph (b) substitute – 25
- “(b) an integrated care board established under section 14Z25 of the National Health Service Act 2006;”.

*Health and Social Care (Community Health and Standards) Act 2003*

- 69 The Health and Social Care (Community Health and Standards) Act 2003 is amended as follows. 30
- 70 In section 148 (interpretation of Part 2), in the definition of “English NHS body”, for paragraph (cb) substitute –
- “(cb) an integrated care board;”.
- 71 In section 160 (provision of information), in subsection (1)(h)– 35
- (a) for “a clinical commissioning group” substitute “an integrated care board”;
- (b) for “the clinical commissioning group” substitute “the integrated care board”.

- 72 In section 165 (power to apply provisions about recovery of charges to non-NHS hospitals), in subsection (3)(b), for sub-paragraph (ib) substitute –  
“(ib) an integrated care board.”

*Children Act 2004*

- 73 The Children Act 2004 is amended as follows. 5
- 74 In section 10 (co-operation to improve wellbeing), in subsection (4)(db), for “clinical commissioning group” substitute “integrated care board”.
- 75 In section 11 (arrangements to safeguard and promote welfare), in subsection (1), for paragraph (bb) substitute –  
“(bb) an integrated care board;” 10
- 76 In section 16E (local arrangements for safeguarding and promoting welfare of children), in subsection (3), in paragraph (b) of the definition of “safeguarding partner” for “a clinical commissioning group” substitute “an integrated care board”.
- 77 In section 16J (combining safeguarding partner areas and delegating functions), for subsection (4) substitute – 15  
“(4) Where an integrated care board is a safeguarding partner for the same local authority area as another integrated care board, the boards may arrange for one of them to carry out functions under sections 16E to 16I on behalf of the other.” 20
- 78 In section 16P (combining child death review partner areas and delegating functions), for subsection (4) substitute –  
“(4) Where an integrated care board is a child death review partner for the same local authority area as another integrated care board, the boards may arrange for one of them to carry out functions under sections 16M to 16O on behalf of the other.” 25
- 79 In section 16Q (guidance and interpretation), in subsection (2)(b), for “clinical commissioning group” substitute “integrated care board”.

*Civil Contingencies Act 2004*

- 80 (1) Schedule 1 to the Civil Contingencies Act 2004 (lists of Category 1 and 2 responders) is amended as follows. 30
- (2) After paragraph 4A insert –  
“4B An integrated care board established under section 14Z25 of the National Health Service Act 2006.”
- (3) Omit paragraph 29ZA. 35

*Domestic Violence, Crime and Victims Act 2004*

- 81 In section 9 of the Domestic Violence, Crime and Victims Act 2004 (establishment and conduct of domestic homicide reviews), in the list in subsection (4)(a), for the entry relating to clinical commissioning groups

substitute –

“integrated care boards established under section 14Z25 of the National Health Service Act 2006;”.

*Mental Capacity Act 2005*

- 82 (1) Schedule AA1 to the Mental Capacity Act 2005 (deprivation of liberty: authorisation of arrangements enabling care and treatment) is amended as follows. 5
- (2) In paragraph 3 –
- (a) omit the definition of “clinical commissioning group”;
- (b) at the appropriate place insert – 10
- ““integrated care board” means a body established under section 14Z25 of the National Health Service Act 2006;”.
- (3) In paragraph 6(1)(d) –
- (a) in sub-paragraph (i), for “a clinical commissioning group” substitute “an integrated care board”; 15
- (b) in the words after sub-paragraph (ii), for “clinical commissioning group” substitute “integrated care board”.
- (4) In paragraph 11, for sub-paragraph (b) substitute –
- “(b) an integrated care board;”.
- (5) In paragraph 14(1), for paragraph (b) substitute – 20
- “(b) each integrated care board;”.

*Childcare Act 2006*

- 83 In section 4 of the Childcare Act 2006 (duty of local authority and relevant partners to work together), in subsection (1)(a), for “a clinical commissioning group” substitute “an integrated care board”. 25

*Emergency Workers (Obstruction) Act 2006*

- 84 In section 1 of the Emergency Workers (Obstruction) Act 2006 (obstructing emergency workers), in subsection (5)(a), for “a clinical commissioning group,” substitute “an integrated care board,”.

*National Health Service Act 2006* 30

- 85 The National Health Service Act 2006 is amended as follows.
- 86 In section 1H (NHS England and its general functions), in subsection (3)(b), for “clinical commissioning groups” substitute “integrated care boards”.
- 87 (1) Section 6 (performance of functions outside England) is amended as follows.
- (2) In subsection (1A), for “a clinical commissioning group” substitute “an integrated care board”. 35
- (3) In subsection (2), for “clinical commissioning groups” substitute “integrated care boards”.
- 88 (1) Section 6E (regulations as to the exercise of functions) is amended as follows.



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- (2) In the heading, for “clinical commissioning groups” substitute “integrated care boards”.
- (3) In subsections (1) and (2), for “clinical commissioning groups”, in each place it occurs, substitute “integrated care boards”.
- (4) In subsection (3)(a), for “a clinical commissioning group” substitute “an integrated care board”.
- (5) In subsections (4)(a) and (c), (5)(a) and (b) and (7), for “clinical commissioning groups”, in each place it occurs, substitute “integrated care boards”.
- (6) In subsection (8), for “clinical commissioning group” substitute “integrated care board”.
- (7) In subsection (10)(a) and (b), for “clinical commissioning groups”, in each place it occurs, substitute “integrated care boards”.
- 89 In section 9 (NHS contracts), in subsection (4), for paragraph (zb) substitute –  
“(zb) an integrated care board,”.
- 90 (1) Section 12 (arrangements with other bodies) is amended as follows.  
(2) In subsection (2), for paragraph (b) substitute –  
“(b) integrated care boards,”.
- (3) In subsection (4), for paragraph (ab) substitute –  
“(ab) an integrated care board,”.
- 91 (1) Section 12ZA (commissioning arrangements) is amended as follows.  
(2) In the heading, for “clinical commissioning groups” substitute “integrated care boards”.
- (3) In subsections (1), (3) and (4), for “a clinical commissioning group” substitute “an integrated care board”.
- (4) In subsection (5), for paragraph (c) substitute –  
“(c) an integrated care board,”.
- (5) In subsection (9), in the definition of “service provider”, for “a clinical commissioning group” substitute “an integrated care board”.
- 92 (1) Section 12A (direct payments for health care) is amended as follows.  
(2) In subsections (1) and (2)(aa), for “a clinical commissioning group” substitute “an integrated care board”.
- (3) In subsection (4) –  
(a) for “a clinical commissioning group”, in both places it occurs, substitute “an integrated care board”;  
(b) for “the group” substitute “the board”.
- 93 In section 12B (regulations about direct payments), in subsections (2)(d), (g), (h) and (j), (4) and (5)(a) and (b), for “a clinical commissioning group”, in each place it occurs, substitute “an integrated care board”.

94	In section 12D (arrangements with other bodies relating to direct payments), in subsections (1) and (3), for “a clinical commissioning group” substitute “an integrated care board”.	
95	In section 13A (mandate), in subsection (6), for “clinical commissioning group” substitute “integrated care board”.	5
96	In section 13N (duty as to promoting integration), in subsection (3), for “clinical commissioning groups” substitute “integrated care boards”.	
97	In section 13R (information on safety of services provided by the health service), in subsection (6), for “A clinical commissioning group” substitute “An integrated care board”.	10
98	In section 13V (pooled funds), in subsection (1), for “clinical commissioning groups” substitute “integrated care boards”.	
99	In Part 2, omit Chapter A2 (clinical commissioning groups).	
100	In section 71 (schemes for meeting losses and liabilities etc of certain health service bodies), in subsection (2), for paragraph (zb) substitute – “(zb) integrated care boards,”.	15
101	In section 74 (supply of goods and services by local authorities), in subsection (1)(a), for “clinical commissioning group” substitute “integrated care board”.	
102	In section 76 (power of local authorities to make payments), in subsection (1), for “a clinical commissioning group” substitute “an integrated care board”.	20
103	(1) Section 77 (care trusts) is amended as follows. (2) In subsection (1), in paragraph (a), for “an NHS trust or a clinical commissioning group” substitute “an integrated care board, an NHS trust”. (3) In subsection (10), for “NHS trust or clinical commissioning group” substitute “an integrated care board, NHS trust”. (4) In subsection (12), in the definition of “NHS functions”, for “NHS trust or clinical commissioning group” substitute “an integrated care board, NHS trust”.	25   30
104	(1) In section 80 (supply of goods and services by the Secretary of State, the Board and clinical commissioning groups) is amended as follows. (2) In the heading, for “clinical commissioning groups” substitute “integrated care boards”. (3) In subsection (1), for “a clinical commissioning group” substitute “an integrated care board”. (4) For subsection (3A) substitute – “(3A) NHS England or an integrated care board may make available to persons falling within subsection (1) – (a) any facilities the provision of which is arranged by NHS England or (as the case may be) the integrated care board in pursuance of its functions under this Act;	35   40

- (b) any facilities of NHS England or (as the case may be) the integrated care board;
  - (c) the services of persons employed by NHS England or (as the case may be) the integrated care board.”
- (5) In subsection (4), for “a clinical commissioning group” substitute “an integrated care board”. 5
- (6) For subsection (6A) substitute –
  - “(6A) NHS England and each integrated care board must make available to local authorities –
    - (a) any services (other than the services of any person) or other facilities the provision of which is arranged by NHS England or (as the case may be) the integrated care board in pursuance of its functions under this Act; 10
    - (b) the services of persons employed by NHS England or (as the case may be) the integrated care board; 15
    - (c) any facilities of NHS England or (as the case may be) the integrated care board,  
so far as is reasonably necessary and practicable to enable local authorities to discharge their functions relating to social services, education and public health.” 20
- (7) In subsection (9) –
  - (a) for “a clinical commissioning group” substitute “an integrated care board”;
  - (b) for “the clinical commissioning group” substitute “the integrated care board in the exercise of its functions”. 25
- (8) Omit subsection (10).
- 105 In section 183 (payment of travelling expenses), in paragraphs (a), (b) and (c), for “a clinical commissioning group” substitute “an integrated care board”.
- 106 In section 185 (charges for more expensive supplies), in subsection (2), for “a clinical commissioning group” substitute “an integrated care board”. 30
- 107 In section 186 (charges for repairs and replacements in certain cases), in subsection (2), for “a clinical commissioning group” substitute “an integrated care board”.
- 108 In section 187 (charges for designated services or facilities), for “section 3(1)(d) or (e)” substitute “section 3(1)(e) or (f)”. 35
- 109 In section 188 (sums otherwise payable to those providing services), in subsection (2), for “a clinical commissioning group” substitute “an integrated care board”.
- 110 In section 196 (persons and bodies about which provision is made by this Part), in subsection (3), for paragraph (zb) substitute –  
“(zb) an integrated care board,”. 40
- 111 In section 201 (disclosure of information), in subsection (3)(a), for “a clinical commissioning group” substitute “an integrated care board”.

- |   |   |    |
|---|---|----|
| 112   | In section 214 (transfer of functions and property to or from Welsh special trustees), in subsection (1), for “a clinical commissioning group” substitute “an integrated care board”. |    |
| 113   | In section 222 (power to raise money), in subsection (3A)(a), for “a clinical commissioning group” substitute “an integrated care board”.   | 5  |
| 114   | For section 223A (application of provision about public-private partnerships) substitute –  |    |
| <b>“223A Application of section 223 to integrated care boards</b> |   |    |
|   | (1) Section 223 applies in relation to an integrated care board as it applies in relation to NHS England.   | 10 |
|   | (2) But the powers conferred by that section are exercisable by an integrated care board only for the purpose of securing improvement –   |    |
|   | (a) in the physical and mental health of the group of people for whom it has core responsibility (see section 14Z31), or  | 15 |
|   | (b) in the prevention, diagnosis and treatment of illness in such people.”  |    |
| 115   | In section 223B (funding of NHS England), in subsection (8), for “14Z1” substitute “14Z42”.   |    |
| 116   | In section 223F (power to establish contingency fund), in subsection (2)(b), for “a clinical commissioning group” substitute “an integrated care board”.                              | 20 |
| 117   | (1) Section 223G (means of meeting expenditure of clinical commissioning groups out of public funds) is amended as follows.   |    |
|   | (2) In the heading, for “clinical commissioning groups” substitute “integrated care boards”.  | 25 |
|   | (3) In subsection (1) –   |    |
|   | (a) for “clinical commissioning group” substitute “integrated care board”;  |    |
|   | (b) for “the group”, in both places it occurs, substitute “the board”.  |    |
|   | (4) In subsection (2) –   | 30 |
|   | (a) for “a clinical commissioning group” substitute “an integrated care board”;   |    |
|   | (b) in paragraph (a), for “clinical commissioning group” substitute “integrated care board”.  |    |
|   | (5) In subsection (3) –   | 35 |
|   | (a) for “a clinical commissioning group” substitute “an integrated care board”;   |    |
|   | (b) for “the group” substitute “the board”.   |    |
|   | (6) In subsections (5) and (6), for “a clinical commissioning group” substitute “an integrated care board”.   | 40 |
|   | (7) In subsection (7), for “clinical commissioning groups” substitute “integrated care boards”.   |    |
|   | (8) Omit subsection (8).  |    |

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- 118 (1) Section 223GA (expenditure on integration) is amended as follows.
- (2) In subsection (3), for “the group” substitute “the integrated care board”.
- (3) In subsection (4)(a) –
- (a) for “the group” substitute “the integrated care board”;
- (b) for “clinical commissioning group” substitute “integrated care board”. 5
- (4) In subsections (5)(c) and (6)(b), for “clinical commissioning group” substitute “integrated care board”.
- (5) In subsections (10)(b) and (11), for “14Z1” substitute “14Z42”.
- 119 (1) Section 223K (payments in respect of quality) is amended as follows. 10
- (2) In subsection (1), for “a clinical commissioning group” substitute “an integrated care board”.
- (3) In subsection (6), omit the words from “(which may include” to the end.
- (4) In subsection (7) –
- (a) for “A clinical commissioning group” substitute “An integrated care board”; 15
- (b) for “the group” substitute “the board”.
- 120 (1) Section 236 (payments for certain medical examinations) is amended as follows.
- (2) In subsection (1), for “clinical commissioning group” substitute “integrated care board”. 20
- (3) In subsection (2)(b)(ii), for “a clinical commissioning group” substitute “an integrated care board”.
- 121 (1) Section 244 (review and scrutiny by local authorities) is amended as follows
- (2) In subsection (2ZA)(c) and (d), for “a clinical commissioning group” substitute “an integrated care board”. 25
- (3) In subsection (3A), for paragraph (a) substitute –
- “(a) in relation to an integrated care board, includes a person who is not a member of the board but is a member of a committee or sub-committee of it;” 30
- (4) Omit subsection (3B).
- 122 In the italic heading before section 252A, for “clinical commissioning groups” substitute “integrated care boards”.
- 123 (1) In section 252A (role of NHS England and clinical commissioning groups in respect of emergencies). 35
- (2) In the heading, for “clinical commissioning groups” substitute “integrated care boards”.
- (3) In subsections (1), (2) and (3), for “clinical commissioning group” substitute “integrated care board”.
- (4) In subsection (6), for “clinical commissioning groups” substitute “integrated care boards”. 40

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- (5) In subsection (10), in the definition of “relevant emergency”, in paragraph (a) –
- (a) for “a clinical commissioning group” substitute “an integrated care board”;
  - (b) for “the group” substitute “the board”. 5
- 124 (1) Section 256 (power of NHS England or a clinical commissioning group to make payments towards expenditure on community services) is amended as follows.
- (2) In the heading, for “a clinical commissioning group” substitute “an integrated care board”. 10
  - (3) In subsection (1), for “a clinical commissioning group” substitute “an integrated care board”.
  - (4) In subsection (3) –
    - (a) for “a clinical commissioning group” substitute “an integrated care board”;
    - (b) for “the clinical commissioning group” substitute “the integrated care board”. 15
- 125 In section 257 (payments in respect of voluntary organisations under section 256), in subsection (2), for “clinical commissioning group” substitute “integrated care board”. 20
- 126 (1) Section 258 (university clinical teaching and research) is amended as follows.
- (2) In subsection (1), for “clinical commissioning group”, in both places it occurs, substitute “integrated care board”.
  - (3) In subsection (2)(a), for “a clinical commissioning group” substitute “an integrated care board”. 25
- 127 In section 269 (special notices of births and deaths), in subsection (11), for paragraph (b) substitute –
- “(b) integrated care boards,”.
- 128 In section 270 (provision of information by Registrar General), in subsection (1), for paragraph (c) substitute –
- “(c) an integrated care board,”. 30
- 129 In section 271A (services to be treated as services of the Crown for certain purposes), in subsection (2)(a), for “a clinical commissioning group” substitute “an integrated care board”. 35
- 130 (1) Section 272 (orders, regulations, rules and directions) is amended as follows.
- (2) In subsection (5), omit paragraph (za).
  - (3) In subsection (6), omit paragraph (zza).
- 131 In section 275 (interpretation), in subsection (1) –
- (a) omit the definition of “clinical commissioning group”;
  - (b) in the definition of “financial year”, after “any year” insert “(except that in relation to an integrated care board it has the meaning given by section 14Z50(8))”; 40

- (c) at the appropriate places insert –
    - ““integrated care board” means a body established under section 14Z25;”;
    - ““information” includes documents or records;”;
  - (d) in the definition of “NHS body”, for paragraph (b) substitute – 5
    - “(b) an integrated care board;”;
- 132 In section 276 (index of defined expressions), in the appropriate places insert –
- |   |  |                |    |
|---|--|----------------|----|
| “group of people for whom an integrated care board has core responsibility”                 |  | section 14Z31” | 10 |
| “partner, in relation to an NHS trust or NHS foundation trust and an integrated care board” |  | section 14Z47” |    |
- 133 (1) Schedule A1 (NHS England) is amended as follows. 15
- (2) In paragraph 16(2)(b), for “clinical commissioning group” substitute “integrated care board”.
  - (3) In paragraph 17(2)(b) –
    - (a) for “clinical commissioning groups” substitute “integrated care boards”; 20
    - (b) for “paragraph 17(3) of Schedule 1A” substitute “paragraph 21(3) of Schedule 1B”.
- 134 (1) Schedule 1 (further provision about services) is amended as follows.
- (2) For paragraph 9 substitute –
    - “9 (1) An integrated care board may make arrangements for the 25
      - provision of vehicles (including wheelchairs) for people for whom the board has responsibility and who appear to it to have a physical impairment which has a substantial and long-term adverse effect on their ability to carry out normal day-to-day activities. 30
    - (2) For the purposes of this paragraph an integrated care board has responsibility for –
      - (a) the group of people for whom it has core responsibility (see section 14Z31), and
      - (b) such other people as may be prescribed (whether generally 35
        - or in relation to a prescribed vehicle).”
  - (3) In paragraph 10 –
    - (a) in sub-paragraph (2), for “clinical commissioning group” substitute “integrated care board”;
    - (b) in sub-paragraph (3), for “A clinical commissioning group” substitute “An integrated care board”; 40
    - (c) in sub-paragraph (5), for “clinical commissioning group” substitute “integrated care board”.

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- (4) In paragraph 13 –
- (a) in sub-paragraph (1) –
    - (i) for “a clinical commissioning group” substitute “an integrated care board”;
    - (ii) in paragraph (b), for “clinical commissioning group” substitute “integrated care board”;
  - (b) in sub-paragraph (3), for “a clinical commissioning group” substitute “an integrated care board”.
- 135 In Schedule 4 (NHS trusts: constitution etc), in paragraph 12, after sub-paragraph (1) insert –
- “(1A) The annual report must, in particular, review the extent to which the NHS trust has exercised its functions in accordance with the plans published under –
- (a) section 14Z50 (joint forward plans for integrated care board and its partners), and
  - (b) section 14Z54 (joint capital resource use plan for integrated care board and its partners).”
- 136 In Schedule 7 (constitution of public benefit corporations), in paragraph 26, after sub-paragraph (1) insert –
- “(1A) The reports must, in particular, review the extent to which the public benefit corporation has exercised its functions in accordance with the plans published under –
- (a) section 14Z50 (joint forward plans for integrated care board and its partners), and
  - (b) section 14Z54 (joint capital resource use plan for integrated care board and its partners).”
- 137 In Schedule 12A (pharmaceutical remuneration), in paragraph 2 –
- (a) in the heading for “clinical commissioning groups” substitute “integrated care boards”;
  - (b) in sub-paragraph (3), for “clinical commissioning group” substitute “integrated care board”;
  - (c) in sub-paragraph (4), for “clinical commissioning groups” substitute “integrated care boards”;
  - (d) in sub-paragraph (5), for “clinical commissioning group” substitute “integrated care board”;
  - (e) in sub-paragraph (6) –
    - (i) for “a clinical commissioning group” substitute “an integrated care board”;
    - (ii) in paragraphs (a) and (b), for “the group”, in both places it occurs, substitute “the board”;
  - (f) in sub-paragraph (8), for “a clinical commissioning group” substitute “an integrated care board”;
  - (g) for sub-paragraph (9) substitute –
 

“(9) For the purposes of sections 223GC and 223M(1)(b) and paragraph 21 of Schedule 1B, any amount of which an integrated care board is notified under sub-paragraph (6) is to be treated as expenditure of the group which is



attributable to the performance by it of its functions in the year in question.”

*National Health Service (Wales) Act 2006*

- 138 The National Health Service (Wales) Act 2006 is amended as follows.
- 139 In section 7 (NHS contracts), in subsection (4), for paragraph (bb) substitute – 5  
“(bb) an integrated care board.”
- 140 In section 13 (exercise of Local Health Board functions), in subsection (3), for paragraph (ab) substitute – 10  
“(ab) integrated care boards.”
- 141 In section 17 (plans for improving health etc), in subsection (6)(g) and (h), for “, clinical commissioning groups,” substitute “, integrated care boards,”.
- 142 In section 26 (intervention orders), in subsection (1), for “, clinical commissioning groups and” substitute “, integrated care boards and”.
- 143 In section 28 (default powers), in subsection (1), for “, clinical commissioning groups and” substitute “, integrated care boards and”. 15
- 144 In section 34 (power of local authorities to make payments), in subsection (1), for “, a clinical commissioning group” substitute “, an integrated care board”.
- 145 In section 162 (transfer of functions and property to or from special trustees), in subsection (1), for “, a clinical commissioning group,” substitute “, an integrated care board,”. 20
- 146 In section 197 (university clinical teaching and research), in subsection (2)(a), for “a clinical commissioning group,” substitute “an integrated care board,”.
- 147 In section 206 (interpretation), in subsection (1) – 25  
(a) omit the definition of “clinical commissioning group”;  
(b) at the appropriate place insert –  
    ““integrated care board” means a body established under section 14Z25 of the National Health Service Act 2006,”; 30  
(c) in the definition of “NHS body”, for paragraph (f) substitute –  
    “(f) an integrated care board.”

*NHS Redress Act 2006*

- 148 In section 1 of the NHS Redress Act 2006 (power to establish redress scheme), in subsection (3), for paragraph (ab) substitute – 35  
“(ab) an integrated care board.”

*Safeguarding Vulnerable Groups Act 2006*

- 149 In section 6 of the Safeguarding Vulnerable Groups Act 2006 (regulated activity providers), in subsection (8E) – 40  
(a) for “a clinical commissioning group” substitute “an integrated care board”;

- (b) for “the clinical commissioning group” substitute “the integrated care board”.

*Corporate Manslaughter and Corporate Homicide Act 2007*

- 150 In section 6 of the Corporate Manslaughter and Corporate Homicide Act 2007 (emergencies), in subsection (7), in paragraph (a) of the definition of “relevant NHS body”, for “a clinical commissioning group,” substitute “an integrated care board,”. 5

*Local Government and Public Involvement in Health Act 2007*

- 151 The Local Government and Public Involvement in Health Act 2007 is amended as follows. 10
- 152 Section 222 (Local Healthwatch organisations), in subsection (3), for paragraph (ca) substitute –  
“(ca) an integrated care board;”.
- 153 In section 224 (duties of services-providers to respond to local involvement networks), in subsection (2), for paragraph (zb) substitute –  
“(zb) an integrated care board;” 15
- 154 In section 227 (Local Healthwatch organisations: annual reports), in subsection (4)(ab), for “clinical commissioning group” substitute “integrated care board”.

*Statistics and Registration Service Act 2007* 20

- 155 (1) Section 42 of the Statistics and Registration Service Act 2007 (information relating to births and deaths etc) is amended as follows.
- (2) In subsection (4A), for paragraph (d) substitute –  
“(d) an integrated care board;”.
- (3) In subsection (7), for ““clinical commissioning group”” substitute ““integrated care board””. 25

*Education and Skills Act 2008*

- 156 The Education and Skills Act 2008 is amended as follows.
- 157 In section 16 (supply of information by public bodies), in subsection (2), for paragraph (da) substitute –  
“(da) an integrated care board;” 30
- 158 In section 77 (supply of information by public bodies), in subsection (2), for paragraph (da) substitute –  
“(da) an integrated care board;”.

*Health and Social Care Act 2008* 35

- 159 The Health and Social Care Act 2008 is amended as follows.
- 160 In section 30 (urgent procedure for cancellation), in subsection (3)(a), for “clinical commissioning group” substitute “integrated care board”.

- 161 In section 39 (bodies required to be notified of certain matters), in subsection (1)(a), for “clinical commissioning group” substitute “integrated care board”.
- 162 In section 48 (reviews and investigations), in subsection (2)(ba), for “a clinical commissioning group” substitute “an integrated care board”.
- 163 In section 54 (studies as to economy, efficiency etc), in subsection (5), for “a clinical commissioning group or” substitute “an integrated care board or”. 5
- 164 In section 59 (additional functions), in subsection (3), for “clinical commissioning groups” substitute “integrated care boards”.
- 165 In section 64 (power to require documents and information), in subsection (2)(b), for sub-paragraph (ii) (but not the “or” at the end) substitute – 10  
 “(ii) an integrated care board,”.
- 166 In section 97 (general interpretation of Part 1), in subsection (1) –  
 (a) in the definition of “English NHS body”, for paragraph (cb) substitute –  
 “(cb) an integrated care board;” 15  
 (b) in the definition of “NHS care”, for “a clinical commissioning group” substitute “an integrated care board”.

*Autism Act 2009*

- 167 In section 4 of the Autism Act 2009 (interpretation), in subsection (1), in the definition of “NHS body”, for paragraph (cb) substitute – 20  
 “(cb) an integrated care board;”.

*Health Act 2009*

- 168 The Health Act 2009 is amended as follows.
- 169 (1) Section 2 (duty to have regard to NHS constitution) is amended as follows.  
 (2) In subsection (2) for paragraph (cb) substitute – 25  
 “(cb) integrated care boards;”.
- (3) In subsection (4)(za) for “a clinical commissioning group” substitute “an integrated care board”.
- 170 In section 8 (duty of providers to publish information), in subsection (6), for “a clinical commissioning group” substitute “an integrated care board”. 30

*Corporation Tax Act 2010*

- 171 In section 986 of the Corporation Tax Act 2010 (meaning of “health service body”), in the table –  
 (a) omit the entry for a clinical commissioning group;  
 (b) after the entry for a Health Board insert – 35

“an integrated care board	section 14Z25 of the National Health Service Act 2006”.
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*Equality Act 2010*

- 172 In Part 1 of Schedule 19 to the Equality Act 2010 (bodies subject to public sector equality duty), in the group of entries that includes entries for bodies whose functions relate to health, social care and social security, for the entry for a clinical commissioning group substitute – 5
- “An integrated care board established under section 14Z25 of the National Health Service Act 2006.”

*Charities Act 2011*

- 173 In section 149 of the Charities Act 2011 (audit or examination of English NHS charity accounts), in subsection (7), for paragraph (bb) substitute – 10
- “(bb) an integrated care board.”

*Health and Social Care Act 2012*

- 174 The Health and Social Care Act 2012 is amended as follows.
- 175 In section 95 (licensing: special conditions), in subsection (2)(d), for “clinical commissioning groups” substitute “integrated care boards”. 15
- 176 In section 99 (notification of commissioners where continuation of services at risk), in subsection (5), for “clinical commissioning groups” substitute “Integrated care boards”.
- 177 In section 100 (modification of standard conditions), in subsection (2)(d), for “clinical commissioning group” substitute “integrated care board”. 20
- 178 In section 102 (modification of conditions by order under other enactments), in subsection (4)(c)(i), for “a clinical commissioning group” substitute “an integrated care board”.
- 179 In section 104 (power to require documents and information), in subsection (2), for paragraph (f) substitute – 25
- “(f) an integrated care board.”
- 180 In section 110 (notification of enforcement action), in subsection (1)(b), for “clinical commissioning groups” substitute “integrated care boards”.
- 181 In section 141 (levy on providers: consultation), in subsection (3), for paragraph (c) substitute – 30
- “(c) each integrated care board.”
- 182 In section 150 (interpretation, transitional provision and consequential amendments), omit subsection (3).
- 183 In section 194 (establishment of Health and Wellbeing Boards), in subsections (2)(f) (6), (7), (10) and (13)(c), for “clinical commissioning group”, in each place it occurs, substitute “integrated care board”. 35
- 184 In section 196 (other functions of Health and Wellbeing Boards), in subsection (1), for “clinical commissioning groups” substitute “integrated care boards”.
- 185 In section 241 (commissioning guidance), in subsection (1), for “section 14Z8 of the National Health Service Act 2006” substitute “section 14Z49 of the 40

- National Health Service Act 2006 so far as relating to arrangements for the provision of services as part of the health service”.
- 186 (1) Section 298 (advice or assistance to public authorities in the Isle of Man or Channel Islands) is amended as follows.
- (2) In subsection (1), for “a clinical commissioning group” substitute “an integrated care board”.
- (3) In subsection (2), for “clinical commissioning group” substitute “integrated care board”.
- 187 In section 306 (commencement), omit subsection (7).
- 188 Omit Schedule 6 (transitional provision in connection with clinical commissioning groups).

*Anti-social Behaviour, Crime and Policing Act 2014*

- 189 The Anti-social Behaviour, Crime and Policing Act 2014 is amended as follows.
- 190 In section 105 (ASB case reviews: interpretation), in subsection (2), for paragraph (c) of the definition of “relevant bodies” (but not the “and” at the end) substitute—
- “(c) each integrated care board established under section 14Z25 of the National Health Service Act 2006 whose area is wholly or partly within that local government area.”.
- 191 In Schedule 4 (case reviews: supplementary provision), in paragraph 5(2)(a), for sub-paragraph (iii) substitute—
- “(iii) each integrated care board established under section 14Z25 of the National Health Service Act 2006 whose area is wholly or partly within that local government area;”.

*Care Act 2014*

- 192 The Care Act 2014 is amended as follows.
- 193 In section 6 (co-operating generally), in subsection (8)(b), for “a clinical commissioning group” substitute “an integrated care board”.
- 194 (1) Section 22 (exception for provision of health services) is amended as follows.
- (2) In subsection (4)(a), for “clinical commissioning group” substitute “integrated care board”.
- (3) In subsection (6)(b), for “a clinical commissioning group” substitute “an integrated care board”.
- (4) In subsection (9), for “a clinical commissioning group” substitute “an integrated care board”.
- 195 In section 52 (sections 48 to 51: supplementary), in subsection (9)—
- (a) for “a clinical commissioning group” substitute “an integrated care board”;
- (b) for “the group” substitute “the board”.

- 196 In Schedule 1 (cross-border placements), in paragraph 1(5)(a)(ii), (b)(ii) and (c)(ii), for “a clinical commissioning group” substitute “an integrated care board”.
- 197 (1) Paragraph 1 of Schedule 2 (Safeguarding Adults Boards) is amended as follows. 5
- (2) In sub-paragraph (1)(b), for “a clinical commissioning group” substitute “an integrated care board”.
- (3) In sub-paragraph (5) –
- (a) for “clinical commissioning group” substitute “integrated care board”; 10
- (b) for “clinical commissioning groups” substitute “integrated care boards”.

*Children and Families Act 2014*

- 198 The Children and Families Act 2014 is amended as follows.
- 199 (1) Section 23 (duty of health bodies to bring certain children to local authority’s attention) is amended as follows. 15
- (2) In subsection (1), for “a clinical commissioning group” substitute “an integrated care board”.
- (3) In subsections (2) to (4), for “group”, in each place it occurs, substitute “board”. 20
- 200 (1) Section 26 (joint commissioning arrangements) is amended as follows.
- (2) In subsection (8)(b), for “clinical commissioning group” substitute “integrated care board”.
- (3) In subsection (9), for “a clinical commissioning group” substitute “an integrated care board”. 25
- 201 In section 28 (co-operating generally: local authority functions), in subsections (2)(l) and (4), for “a clinical commissioning group” substitute “an integrated care board”.
- 202 In section 31 (co-operating in specific cases: local authority functions), in subsection (1), for paragraph (e) substitute – 30
- “(e) an integrated care board;”.
- 203 In section 53 (mediation: health care issues), in subsection (5), for paragraph (b) substitute –
- “(b) an integrated care board;”.
- 204 In section 56 (mediation: supplementary), in subsection (3), for paragraph (b) substitute – 35
- “(b) an integrated care board;”.
- 205 In section 57 (resolution of disagreements), in subsection (7), for paragraph (b) substitute –
- “(b) an integrated care board;”.
- 206 In section 77 (code of practice), in subsection (1), for paragraph (k) 40

substitute –  
“(k) integrated care boards;”.

*Immigration Act 2014*

207 In Schedule 3 to the Immigration Act 2014 (excluded residential tenancy agreements), in paragraph 5(2)(a), for sub-paragraph (i) (but not the “or” at the end) substitute – 5  
“(i) an integrated care board,”.

*Local Audit and Accountability Act 2014*

208 The Local Audit and Accountability Act 2014 is amended as follows.

209 In section 4 (general requirements for audit), for subsection (4) substitute – 10

“(4) In relation to an integrated care board, “accounts” means –  
(a) the annual accounts of the board prepared under paragraph 21(2) of Schedule 1B to the National Health Service Act 2006 (accounts and audit of integrated care boards);  
(b) any accounts of the board prepared under paragraph 21(3) of that Schedule in respect of which a direction has been given under paragraph 21(6) of that Schedule.” 15

210 In section 8 (procedure for appointment), in subsection (4), for paragraph (b) substitute –

“(b) in the case of an integrated care board, it publishes the notice in such manner as it thinks is likely to bring the notice to the attention of –  
(i) the group of people for whom it has core responsibility, and  
(ii) anyone who lives within its area but does not fall within sub-paragraph (i).” 20 25

211 In section 10 (functions of auditor panel), in subsection (10), for paragraph (b) –

“(b) in the case of an integrated care board, it publishes the advice in such manner as it thinks is likely to bring the advice to the attention of –  
(i) the group of people for whom it has core responsibility, and  
(ii) anyone who lives within its area but does not fall within sub-paragraph (i);” 30 35

212 In section 21 (general duties of auditors of accounts of health service bodies), in subsection (1) –

(a) for “a clinical commissioning group” substitute “an integrated care board”;  
(b) in paragraphs (c) and (f), for “the group” substitute “the board”. 40

213 (1) Section 44 (interpretation of Act) is amended as follows.

- (2) In subsection (1), for paragraph (b) of the definition of “area” substitute –  
“(b) in relation to an integrated care board, means the area specified in the board’s constitution (see Schedule 1B to the National Health Service Act 2006);”.
- (3) For subsection (5) substitute – 5  
“(5) References in this Act to the group of people for whom an integrated care board has core responsibility are to be read in accordance with section 14Z31 of the National Health Service Act 2006.”
- 214 In Schedule 2 (relevant authorities), for paragraph 23 substitute – 10  
“23 An integrated care board.”
- 215 In Schedule 5 (eligibility and regulation of local auditors), in paragraph 5, in the modified section 1214 of the Companies Act 2006 –  
(a) in subsection (1), omit “(3),”;  
(b) for subsection (3) substitute – 15  
“(3) In relation to a relevant authority that is an integrated care board, subsection (2)(a) has effect as if “or officer” were omitted.”
- 216 In Schedule 7 (reports and recommendations), in paragraph 4(8), for paragraph (b) substitute – 20  
“(b) in the case of an integrated care board, it publishes the notice or report in such manner as it thinks is likely to bring the notice or report to the attention of –  
(i) the group of people for whom it has core responsibility, and  
(ii) anyone who lives within its area but does not fall within sub-paragraph (i)”. 25

*Social Services and Well-being (Wales) Act 2014 (anaw 4)*

- 217 The Social Services and Well-being (Wales) Act 2014 is amended as follows.
- 218 In section 47 (exception for provision of health services), in subsection (10) – 30  
(a) in the English language text –  
(i) in the definition of “English health body”, for paragraph (a) substitute –  
“(a) an integrated care board;”;  
(ii) in the definition of “health body”, for paragraph (b) substitute – 35  
“(b) an integrated care board;”;
- (b) in the Welsh language text –  
(i) in the definition of “corff iechyd”, for paragraph (b) substitute –  
“(b) bwrdd gofal integredig;”;  
(ii) in the definition of “corff iechyd Seisnig”, for paragraph (a) substitute – 40  
“(a) bwrdd gofal integredig;”.



- 219 In section 77 (accommodation for children in police protection or detention or on remand etc), in subsection (4)(b)(ii) –
- (a) in the English language text, for “a clinical commissioning group” substitute “an integrated care board”;
  - (b) in the Welsh language text, for “grŵp comisiynu clinigol” substitute “fwrdd gofal integredig”. 5
- 220 In section 104 (young people entitled to support under sections 105 to 115), in subsection (3)(d)(ii) –
- (a) in the English language text, for “a clinical commissioning group” substitute “an integrated care board”;
  - (b) in the Welsh language text, for “grŵp comisiynu clinigol” substitute “bwrdd gofal integredig”. 10
- 221 In section 118 (information), in subsection (2)(c) –
- (a) in the English language text, for “a clinical commissioning group” substitute “an integrated care board”;
  - (b) in the Welsh language text, for “grŵp comisiynu clinigol” substitute “bwrdd gofal integredig”. 15
- 222 In section 164A (duty of other persons to co-operate and provide information), in subsection (4)(d) –
- (a) in the English language text, for “clinical commissioning group” substitute “integrated care board”;
  - (b) in the Welsh language text, for “grŵp comisiynu clinigol” substitute “fwrdd gofal integredig”. 20
- 223 In section 193 (recovery of costs between local authorities), in subsection (4)(c) –
- (a) in the English language text, for “a clinical commissioning group” substitute “an integrated care board”;
  - (b) in the Welsh language text, for “grŵp comisiynu clinigol” substitute “fwrdd gofal integredig”. 25
- 224 In section 197 (general interpretation and index of defined expressions), in subsection (1) –
- (a) in the English language text –
    - (i) omit the definition of “clinical commissioning group”;
    - (ii) at the appropriate place insert –  
““integrated care board” (“bwrdd gofal integredig”) means a body established under section 14Z25 of the National Health Service Act 2006;”;35
  - (b) in the Welsh language text –
    - (i) omit the definition of “grŵp comisiynu clinigol”;
    - (ii) at the appropriate place insert –  
“ystyr “bwrdd gofal integredig” (“integrated care board”) yw corff a sefydlir o dan adran 14Z25 o Ddeddf y Gwasanaeth Iechyd Gwladol 2006;”.40

*Housing (Wales) Act 2014 (anaw 7)*

- 225 (1) Section 70 of the Housing (Wales) Act 2014 (priority need for accommodation) is amended as follows. 45

- (2) In subsection (2) –
- (a) in the English language text, in paragraph (d)(ii), for “a clinical commissioning group” substitute “an integrated care board”;
  - (b) in the Welsh language text, in paragraph (d)(ii), for “grŵp comisiynu clinigol” substitute “fwrdd gofal integredig”. 5
- (3) In subsection (3) –
- (a) in the English language text –
    - (i) omit the definition of “clinical commissioning group”;
    - (ii) at the appropriate place insert –
      - ““integrated care board” (“bwrdd gofal integredig”) means a body established under section 14Z25 of the National Health Service Act 2006;”;
  - (b) in the Welsh language text –
    - (i) omit the definition of “grŵp comisiynu clinigol”;
    - (ii) at the appropriate place insert –
      - “ystyr “bwrdd gofal integredig” (“integrated care board”) yw corff a sefydlir o dan adran 14Z25 o Ddeddf y Gwasanaeth Iechyd Gwladol 2006;”.

*Counter-Terrorism and Security Act 2015*

- 226 In Schedule 7 to the Counter-Terrorism and Security Act 2015 (partners of local panels), under the italic heading “Health and social care”, for “A clinical commissioning group established under section 14D” substitute “An integrated care board established under section 14Z25”. 20

*Cities and Local Government Devolution Act 2016*

- 227 (1) Section 18 of the Cities and Local Government Devolution Act 2016 (devolving health service functions) is amended as follows. 25
- (2) In subsection (3)(b), for “Chapter A2 of Part 2 of the NHSA 2006 (clinical commissioning groups)” substitute “Chapter A3 of Part 2 of the NHSA 2006 (integrated care boards)”.
- (3) In subsection (5) – 30
- (a) in paragraph (b), for “clinical commissioning groups” substitute “integrated care boards”;
  - (b) for paragraph (f) substitute –
    - “(f) the guidance published under section 14Z49 of the NHSA 2006 (guidance for integrated care boards);”.

*Data Protection Act 2018*

- 228 In Schedule 3 to the Data Protection Act 2018 (social work data), in paragraph 8(2), for paragraph (d) substitute – 40
- “(d) an integrated care board established under section 14Z25 of the National Health Service Act 2006;”.

*Additional Learning Needs and Education Tribunal (Wales) Act 2018 (anaw 2)*

- 229 The Additional Learning Needs and Education Tribunal (Wales) Act 2018 is amended as follows.

230	In section 4 (additional learning needs code), in subsection (3) – (a) in the English language text, for paragraph (j) substitute – “ <i>(j) an integrated care board;</i> ”; (b) in the Welsh language text, for paragraph (j) substitute – “ <i>(j) bwrdd gofal integredig;</i> ”.	5
231	In section 64 (duty of health bodies to notify parents etc), in subsection (2) – (a) in the English language text, for paragraph (c) substitute – “ <i>(c) an integrated care board;</i> ”; (b) in the Welsh language text, for paragraph (c) substitute – “ <i>(c) bwrdd gofal integredig;</i> ”.	10
232	In section 65 (duties to provide information and other help), in subsection (4) – (a) in the English language text, for paragraph (k) substitute – “ <i>(k) an integrated care board;</i> ”; (b) in the Welsh language text, for paragraph (k) substitute – “ <i>(k) bwrdd gofal integredig;</i> ”.	15
233	In section 99 (general interpretation), in subsection (1) – (a) in the English language text – (i) omit the definition of “clinical commissioning group”; (ii) at the appropriate place insert – ““integrated care board” (“bwrdd gofal integredig”) means a body established under section 14Z25 of the National Health Service Act 2006;”; (b) in the Welsh language text – (i) omit the definition of “grŵp comisiynu clinigol”; (ii) at the appropriate place insert – “ystyr “bwrdd gofal integredig” (“integrated care board”) yw corff a sefydlir o dan adran 14Z25 o Ddeddf y Gwasanaeth Iechyd Gwladol 2006;”.	20 25
	<i>NHS Funding Act 2020</i>	30
234	In section 1 of the NHS Funding Act 2020 (funding settlement for the health service in England), in subsection (2), for “section 223D(2) and (3)” substitute “section 223D(1)(a) and (b)”.	
	<i>Domestic Abuse Act 2021</i>	
235	In section 15 of the Domestic Abuse Act 2021 (duty to co-operate with the Domestic Abuse Commissioner), in subsection (7), for paragraph (d) of the definition of “NHS body in England” and the “or” at the end of that paragraph substitute – “(d) an integrated care board established under section 14Z25 of that Act;”.	35 40

## SCHEDULE 5

Section 27

## ABOLITION OF MONITOR AND TRANSFER OF ITS FUNCTIONS

*General*

- 1 Any reference to Monitor in an instrument or other document made before the day on which section 27(2) comes into force is to be read, in relation to any time after that provision comes into force, as a reference to NHS England. 5

*Public Bodies (Admission to Meetings) Act 1960*

- 2 In the Schedule to the Public Bodies (Admission to Meetings) Act 1960 (bodies to which Act applies), in paragraph 1, omit sub-paragraph (bk). 10

*Parliamentary Commissioner Act 1967*

- 3 In Schedule 2 to the Parliamentary Commissioner Act 1967 (departments etc subject to investigation), omit the entry for Monitor.

*Superannuation Act 1972*

- 4 In Schedule 1 to the Superannuation Act 1972 (kinds of employment etc referred to in section 1), omit the entry for Monitor. 15

*House of Commons Disqualification Act 1975*

- 5 In Part 3 of Schedule 1 to the House of Commons Disqualification Act 1975 (other disqualifying offices), omit the entry for the chair or other member of Monitor. 20

*Northern Ireland Assembly Disqualification Act 1975*

- 6 In Part 3 of Schedule 1 to the Northern Ireland Assembly Disqualification Act 1975 (other disqualifying offices) –  
(a) at the appropriate place insert –  
“Chair or non-executive member of NHS England.”; 25  
(b) omit the entry for the chair or other member of Monitor.

*Employment Rights Act 1996*

- 7 In section 49B of the Employment Rights Act 1996 (the health service: regulations prohibiting discrimination because of protected disclosure), in subsection (7), omit paragraph (k). 30

*Freedom of Information Act 2000*

- 8 In Part 6 of Schedule 1 to the Freedom of Information Act 2000 (other public bodies and offices: general), omit the entry for Monitor.

*National Health Service Act 2006*

- 9 The National Health Service Act 2006 is amended as follows. 35

- 10 For section 2 substitute—
- “2 General power**
- (1) The Secretary of State may do anything which is calculated to facilitate, or is conducive or incidental to, the discharge of any function conferred on the Secretary of State by this Act. 5
- (2) NHS England or an integrated care board may do anything which is calculated to facilitate, or is conducive or incidental to, the discharge of any of its functions.”
- 11 In section 33 (applications by NHS trusts), in each of subsections (1) to (3), for “the regulator” substitute “NHS England”. 10
- 12 (1) Section 35 (authorisation of NHS foundation trusts) is amended as follows.
- (2) In subsection (1) —
- (a) for “The regulator” substitute “NHS England”;
- (b) for “the regulator” substitute “NHS England”.
- (3) In subsections (2)(f) and (3), for “the regulator” substitute “NHS England”. 15
- (4) In subsection (3A) and (5), for “The regulator” substitute “NHS England”.
- (5) In subsection (6), for “the regulator” substitute “NHS England”.
- 13 In section 37 (amendments of constitution), in subsection (4) —
- (a) for “the regulator” substitute “NHS England”;
- (b) for “the regulator’s” substitute “NHS England’s”. 20
- 14 (1) Section 39 (register of NHS foundation trusts) is amended as follows.
- (2) In subsection (1), for “The regulator” substitute “NHS England”.
- (3) In subsection (3), for “the regulator” substitute “NHS England”.
- 15 In section 39A (panel for advising governors), in subsections (1) and (9), for “The regulator” substitute “NHS England”. 25
- 16 In section 42A (criteria for making loans etc), in subsection (7)(b), for “the regulator” substitute “NHS England”.
- 17 In section 50 (fees), for “the regulator”, in both places it occurs, substitute “NHS England”.
- 18 In section 56 (mergers), in subsection (1), for “the regulator” substitute “NHS England”. 30
- 19 (1) Section 56A (acquisitions) is amended as follows.
- (2) In subsection (1), for “the regulator” substitute “NHS England”.
- (3) In subsection (4A), for “the regulator” substitute “NHS England”.
- 20 In section 56AA (acquisitions under section 56A: supplementary), in subsection (1)(a), for “the regulator” substitute “NHS England”. 35
- 21 In section 56B (separations), in subsection (1), for “the regulator” substitute “NHS England”.

- 22 In section 57 (sections 56 to 56B: supplementary), in subsections (1) and (2), for “the regulator”, in each place it occurs, substitute “NHS England”.
- 23 (1) Section 57A (dissolution) is amended as follows.  
 (2) In subsection (1), for “the regulator” substitute “NHS England”.  
 (3) In subsection (3), for “The regulator” substitute “NHS England”. 5  
 (4) In subsection (4), for “the regulator” substitute “NHS England”.
- 24 In section 64 (orders and regulations under Chapter), in subsection (4A), for “the regulator”, in both places it occurs, substitute “NHS England”.
- 25 (1) Section 244 (review and scrutiny by local authorities) is amended as follows.  
 (2) In subsection (2)(b), for “the Secretary of State or the regulator” substitute “or the Secretary of State”. 10  
 (3) In subsections (2ZA)(a) and (2ZC), omit “, the regulator”.
- 26 In section 247C (Secretary of State’s duty to keep health service functions under review), in subsection (2), omit paragraph (b).
- 27 In section 258 (university clinical teaching and research), after subsection (2) insert – 15  
 “(3) A reference in this section to the functions of NHS England does not include its regulatory functions.”
- 28 In section 272 (orders, regulations, rules and directions), in subsection (6ZA), for “the regulator”, in both places it occurs, substitute “NHS England”. 20
- 29 In section 275 (interpretation), in subsection (1), omit the definition of “the regulator”.
- 30 In section 276 (index of defined expressions), omit the entry for “the regulator”. 25
- 31 (1) Schedule 7 (constitution of public benefit corporations) is amended as follows.  
 (2) In paragraph 24 –  
 (a) in sub-paragraph (1A), for “The regulator” substitute “NHS England”; 30  
 (b) in sub-paragraph (4C), for “the regulator” substitute “NHS England”.  
 (3) In paragraph 25 –  
 (a) in sub-paragraph (1), for “the regulator” substitute “NHS England”;  
 (b) in sub-paragraph (1A), for “The regulator” substitute “NHS England”; 35  
 (c) in sub-paragraphs (2), (3), (4) and (4A), for “the regulator”, in each place it occurs, substitute “NHS England”.  
 (4) In paragraph 26(1), (2), (2A), and (3), for “the regulator”, in each place it occurs, substitute “NHS England”. 40  
 (5) In paragraph 27(1), for “the regulator” substitute “NHS England”.

- 32 In Schedule 10 (audit of accounts of NHS foundation trusts) –
- (a) in paragraphs 5, 6 and 8(1)(c), for “the regulator” substitute “NHS England”;
  - (b) in the italic heading before paragraph 6, for “regulator” substitute “NHS England”.

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*National Health Service (Wales) Act 2006*

- 33 In section 184 of the National Health Service (Wales) Act 2006 (functions of overview and scrutiny committees), in subsection (2)(b), for “, the Welsh Ministers or Monitor” substitute “or the Welsh Ministers”.

*Health and Social Care Act 2008*

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- 34 The Health and Social Care Act 2008 is amended as follows.
- 35 In section 20A (functions relating to processing of information by registered persons), in subsection (1)(b), omit “and Monitor”.
- 36 In section 30 (urgent procedure for cancellation), in subsection (3), omit paragraph (c).
- 37 In section 39 (bodies required to be notified of certain matters), in subsection (1), omit paragraph (c).
- 38 In section 45A (functions to be exercised by Healthwatch England), in subsection (6), omit paragraph (c).
- 39 In section 59 (additional functions), in subsection (2), for “Monitor” substitute “NHS England”.
- 40 (1) Section 70 (Co-operation between the Care Quality Commission and Monitor) is amended as follows.
- (2) In the heading, for “the Monitor” substitute “NHS England”.
  - (3) In subsection (1), for “Monitor” substitute “NHS England”.
  - (4) In subsection (2) –
    - (a) in paragraph (a) –
      - (i) for “Monitor”, in each place it occurs, substitute “NHS England”;
      - (ii) for “its functions” substitute “ –
        - (i) its regulatory functions, within the meaning given by section 13SA(2) of the National Health Service Act 2006, or
        - (ii) its functions under section 27A of the National Health Service Act 2006 (NHS trusts: oversight and support)”;
    - (b) in paragraph (b), for “Monitor” substitute “NHS England”.
  - (5) In subsection (3) for “Monitor” substitute “NHS England”.

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*Health Act 2009*

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- 41 The Health Act 2009 is amended as follows.

- 42 In section 2 (duty to have regard to NHS Constitution), in subsection (2), omit paragraph (f).
- 43 In section 9 (supplementary provision about the duty), in subsection (3), for “Monitor” substitute “NHS England”.

*Equality Act 2010*

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- 44 In Part 1 of Schedule 19 to the Equality Act 2010 (public authorities: general), in the group of entries that includes entries for bodies whose functions relate to health, social care and social security, omit the entry for Monitor.

*Health and Social Care Act 2012*

- 45 The Health and Social Care Act 2012 is amended as follows. 10
- 46 In Part 3, omit Chapter 1 (Monitor).
- 47 (1) Section 83 (exemption regulations) is amended as follows.
- (2) In subsection (3)(a), (b) and (c), for “Monitor” substitute “NHS England”.
- (3) In subsection (4), omit paragraph (a).
- 48 In section 84 (exemption regulations: supplementary), in subsection (5)(a), omit sub-paragraph (i). 15
- 49 In section 85 (application for licence), in subsections (1) and (2), for “Monitor” substitute “NHS England”.
- 50 In section 86 (licensing criteria), in each of subsections (1) to (3), for “Monitor” substitute “NHS England”. 20
- 51 In section 87 (grant or refusal of licence), in subsections (2) and (3), for “Monitor” substitute “NHS England”.
- 52 In section 88 (application and grant: NHS foundation trusts), in subsection (2), for “Monitor” substitute “NHS England”.
- 53 In section 89 (revocation of licence), for “Monitor”, in both places it occurs, substitute “NHS England”. 25
- 54 (1) Section 90 (right to make representations) is amended as follows.
- (2) In subsection (1), for “Monitor” substitute “NHS England”.
- (3) In subsection (2) –
- (a) in paragraph (a), for “Monitor’s” substitute “NHS England’s”; 30
- (b) in paragraph (b), for “Monitor” substitute “NHS England”.
- 55 In section 91 (notice of decisions), in subsections (1), (2) and (4), for “Monitor”, in each place it occurs, substitute “NHS England”.
- 56 (1) Section 92 (appeals to the Tribunal) is amended as follows.
- (2) In subsection (1), for “Monitor” substitute “NHS England”. 35
- (3) In subsection (3) –
- (a) in paragraph (a), for “Monitor’s” substitute “NHS England’s”;
- (b) in paragraph (c), for “Monitor” substitute “NHS England”.



- 57 In section 93 (register of licence holders), in each of subsections (1) to (4) and (6), for “Monitor”, in each place it occurs, substitute “NHS England”.
- 58 (1) Section 94 (standard conditions) is amended as follows.
- (2) In subsections (1) and (4), for “Monitor”, in each place it occurs, substitute “NHS England”. 5
- (3) Omit subsections (7) to (11).
- 59 (1) Section 95 (special conditions) is amended as follows.
- (2) In subsection (1), for “Monitor” substitute “NHS England”.
- (3) In subsection (2) –
- (a) for “Monitor” substitute “NHS England”; 10
- (b) omit paragraph (c).
- (4) In subsection (3), for “Monitor” substitute “NHS England”.
- (5) In subsection (4) –
- (a) in paragraph (a), for “Monitor” substitute “NHS England”;
- (b) in paragraph (b), for “Monitor’s” substitute “NHS England’s”; 15
- (c) in paragraph (c), for “Monitor” substitute “NHS England”.
- 60 (1) Section 96 (limits on Monitor’s functions to set or modify licence conditions) is amended as follows.
- (2) In the heading for “Monitor’s” substitute “NHS England’s”.
- (3) In subsection (1), for “Monitor” substitute “NHS England”. 20
- (4) In subsection (2) –
- (a) in the words before paragraph (a), for “Monitor” substitute “NHS England”;
- (b) in paragraph (e), for “Monitor” substitute “NHS England”;
- (c) in paragraph (f), for “Monitor” substitute “NHS England”; 25
- (d) in paragraph (i), for “Monitor’s” substitute “NHS England’s”;
- (e) for paragraph (k) substitute –  
“(k) for such other purposes as may be prescribed.”
- (5) In subsection (4), for “Monitor” substitute “NHS England”.
- 61 In section 97 (conditions: supplementary), in subsections (1)(a), (b), (e), (f), (h), (i)(ii) and (iii) and (3), for “Monitor”, in each place it occurs, substitute “NHS England”. 30
- 62 (1) Section 98 (conditions relating to the continuation of the provision of services etc) is amended as follows.
- (2) In subsection (1)(a), (b) and (c), for “Monitor” substitute “NHS England”. 35
- (3) In subsection (3), for “Monitor” substitute “NHS England”.
- (4) In subsection (4) for “Monitor” substitute “NHS England”.
- (5) After subsection (5) insert –
- “(5A) In subsections (4)(a) and (5) “commissioner” does not include NHS England.” 40

- (6) In subsection (6), for “Monitor” substitute “NHS England”.
- (7) In subsection (7) –
- (a) for “Monitor” substitute “NHS England”;
  - (b) omit paragraph (b) and the “and” before it.
- 63 (1) Section 99 (notification of commissioners where continuation of services at risk) is amended as follows. 5
- (2) In subsection (1), for “Monitor” substitute “NHS England”.
- (3) For subsection (3) substitute –
- “(3) NHS England must as soon as reasonably practicable notify such integrated care boards as NHS England considers appropriate – 10
- (a) of the action it has taken, and
  - (b) of its reasons for being satisfied as mentioned in subsection (1)(b).”
- (4) In subsection (4) –
- (a) for “Monitor” substitute “NHS England”; 15
  - (b) for “Monitor’s” substitute “NHS England’s”.
- (5) In subsection (5), omit “The Board and”.
- 64 (1) Section 100 (modification of standard conditions) is amended as follows.
- (2) In subsection (1), for “Monitor” substitute “NHS England”.
- (3) In subsection (2) – 20
- (a) for “Monitor” substitute “NHS England”;
  - (b) omit paragraph (c).
- (4) In subsection (3), for “Monitor” substitute “NHS England”.
- (5) In subsection (4) – 25
- (a) in paragraph (a), for “Monitor” substitute “NHS England”;
  - (b) in paragraph (c), for “Monitor’s” substitute “NHS England’s”;
  - (c) in paragraph (d), for “Monitor” substitute “NHS England”.
- (6) In subsection (10), for “Monitor”, in both places it occurs, substitute “NHS England”.
- 65 In section 102 (modification of conditions by order under other enactments), in subsection (6)(a), for “Monitor” substitute “NHS England”. 30
- 66 (1) Section 104 (power to require documents and information) is amended as follows.
- (2) In subsection (1), for “Monitor” substitute “NHS England”.
- (3) In subsection (2), omit paragraph (e) (but not the “and” at the end). 35
- (4) For subsection (4) substitute –
- “(4) In this section “regulatory functions”, in relation to NHS England, has the meaning given by section 13SA(2) of the National Health Service Act 2006.”

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- 67 In section 105 (discretionary requirements), in subsections (1), (2)(a), (b) and (c) and (3), for “Monitor”, in each place it occurs, substitute “NHS England”.
- 68 In section 106 (enforcement undertakings), in subsections (1), (4) and (5), for “Monitor”, in each place it occurs, substitute “NHS England”.
- 69 (1) Section 108 (guidance as to use of enforcement powers) is amended as follows. 5
- (2) In each of subsections (1) to (3), for “Monitor” substitute “NHS England”.
- (3) In subsection (4) –
- (a) in the words before paragraph (a), for “Monitor’s” substitute “NHS England’s”; 10
- (b) in paragraphs (a), (b) and (c), for “Monitor” substitute “NHS England”.
- (4) In subsection (5), for “Monitor” substitute “NHS England”.
- 70 In section 109 (publication of enforcement action), in subsections (1) and (2), for “Monitor” substitute “NHS England”. 15
- 71 In section 110 (notification of enforcement action), in subsection (1) –
- (a) for “Monitor” substitute “NHS England”;
- (b) omit paragraph (a).
- 72 In section 111 (imposition of licence conditions on NHS foundation trusts), in each of subsections (1) to (2A), and (4) to (9), for “Monitor”, in each place it occurs, substitute “NHS England”. 20
- 73 (1) Section 113 (orders under section 112: criteria for deciding applicable trusts) is amended as follows.
- (2) In each of subsections (1) to (5), for “Monitor”, in each place it occurs, substitute “NHS England”. 25
- (3) In subsection (6), for “Monitor’s” substitute “NHS England’s”.
- 74 In section 128 (health special administration orders), in subsection (2), for “Monitor” substitute “NHS England”.
- 75 (1) Section 130 (health special administration regulations) is amended as follows. 30
- (2) In subsection (5), for “Monitor” substitute “NHS England”.
- (3) In subsection (6) –
- (a) in paragraphs (a) and (b), “Monitor” substitute “NHS England”;
- (b) in paragraph (c) – 35
- (i) for “Monitor” substitute “NHS England”;
- (ii) omit “and the National Health Service Commissioning Board”;
- (c) in paragraph (d), for “Monitor” substitute “NHS England”.
- (4) In subsection (11), for paragraphs (a) and (b) substitute “such persons as the Secretary of State considers appropriate”. 40
- 76 In section 131 (transfer schemes), in subsection (2)(a), (b) and (c), for “Monitor” substitute “NHS England”.

- 
- 77 In section 132 (indemnities), for “Monitor” substitute “NHS England”.
- 78 In section 134 (duty to establish mechanisms for providing financial assistance), in each of subsections (1) to (6), for “Monitor”, in each place it occurs, substitute “NHS England”.
- 79 (1) Section 135 (power to establish fund) is amended as follows 5
- (2) In subsection (1), for “Monitor” substitute “NHS England”.
- (3) In subsection (2) –
- (a) for “Monitor” substitute “NHS England”;
- (b) for “commissioners” substitute “integrated care boards”.
- (4) In each of subsections (3) and (5) to (8), for “Monitor” substitute “NHS England” 10
- 80 In section 136 (applications), in each of subsections (1) to (3) and (6) to (9), for “Monitor”, in each place it occurs, substitute “NHS England”.
- 81 In section 137 (grants and loans), in each of subsections (1) and (3) to (5), for “Monitor” substitute “NHS England” 15
- 82 (1) Section 138 (power to impose charges on commissioners) is amended as follows.
- (2) For subsection (1) substitute –
- “(1) The Secretary of State may by regulations confer power on NHS England to require integrated care boards to pay charges relating to such of NHS England’s regulatory functions as relate to securing the continued provision of health care services for the purposes of the NHS. 20
- (1A) In subsection (1) “regulatory functions”, in relation to NHS England, has the meaning given by section 13SA(2) of the National Health Service Act 2006.” 25
- (3) In subsection (3)(c), for “Monitor” substitute “NHS England”.
- (4) In subsection (4), for “Monitor”, in both places it occurs, substitute “NHS England”.
- (5) For subsection (5) substitute – 30
- “(5) Before making regulations under this section, the Secretary of State must consult NHS England.”
- 83 (1) Section 139 (imposition of levy) is amended as follows.
- (2) In subsection (2) –
- (a) for “Monitor” substitute “NHS England”; 35
- (b) in paragraph (b), for “commissioners” substitute “integrated care boards”.
- (3) In subsection (3), for “Monitor” substitute “NHS England”.
- 84 In section 140 (power of Secretary of State to set limit on levy and charges), in subsections (1)(a) and (2), for “Monitor”, in each place it occurs, substitute “NHS England” 40

- 85 (1) Section 141 (consultation) is amended as follows.
- (2) In subsection (1), for “Monitor” substitute “NHS England”.
- (3) In subsection (3) –
- (a) for “Monitor” substitute “NHS England”;
  - (b) omit paragraph (b). 5
- (4) In subsections (4), (5)(a), (6) and (8), for “Monitor” substitute “NHS England”.
- 86 In section 143 (amount payable), in each of subsections (1), (4) to (6) and (8), for “Monitor”, in each place it occurs, substitute “NHS England”.
- 87 In section 144 (investment principles and reviews), in each of subsections (1) to (3), (4)(b) and (6), for “Monitor” substitute “NHS England”. 10
- 88 In section 145 (borrowing), in subsections (1) and (2), for “Monitor” substitute “NHS England”.
- 89 In section 146 (shortfall or excess of available funds, etc), in each of subsections (1) to (3), for “Monitor” substitute “NHS England”. 15
- 90 (1) Section 148 (service of documents) is amended as follows.
- (2) In subsection (6)(a), for “Monitor” substitute “NHS England”.
- (3) Omit subsection (9).
- 91 In section 149 (electronic communications), in each of subsections (2) to (5), for “Monitor”, in each place it occurs, substitute “NHS England”. 20
- 92 (1) Section 150 is amended as follows.
- (2) In subsection (1) –
- (a) for the definition of “anti-competitive behaviour” substitute –  
““anti-competitive behaviour” means behaviour which would (or would be likely to) prevent, restrict or distort competition and a reference to preventing anti-competitive behaviour includes a reference to eliminating or reducing the effects (or potential effects) of the behaviour;” 25
  - (b) for the definitions of “health care” and “health care service” substitute –  
““health care” means all forms of health care provided for individuals, whether relating to physical or mental health, with a reference in this Part to “health care services” being read accordingly; and for the purposes of this Part it does not matter if a health care service is also an adult social care service;” 35
  - (c) for the definition of “the NHS” substitute –  
““the NHS” means the comprehensive health service continued under section 1(1) of the National Health Service Act 2006, except the part of it that is provided in pursuance of the public health functions (within the meaning of that Act) of the Secretary of State or local authorities;” 40

- (3) After subsection (1) insert –
- “(1A) A reference in this Part to the provision of health care services for the purposes of the NHS is a reference to their provision for those purposes in accordance with the National Health Service Act 2006.”
- 93 In section 175 (objective of trust special administration), omit subsection (2). 5
- 94 (1) Section 254 (powers to direct Information Centre to establish information systems) is amended as follows.
- (2) In subsection (3) for “NHS Services” substitute “health services”.
- (3) Omit subsection (4).
- 95 In section 255 (powers to request Information Centre to establish information systems), in subsection (9), omit paragraph (a). 10
- 96 (1) In section 288 (duty to co-operate with Care Quality Commission) is amended as follows.
- (2) In subsection (1), for “Monitor” substitute “NHS England”.
- (3) In subsection (2)(a) – 15
- (a) for “Monitor”, in each place it occurs, substitute “NHS England”;
- (b) after “any” insert “relevant”.
- (4) After subsection (2) insert –
- “(2A) In subsection (2)(a) “relevant information” means information held by NHS England in connection with – 20
- (a) its regulatory functions, within the meaning given by section 13SA(2) of the National Health Service Act 2006, or
- (b) its functions under section 27A of the National Health Service Act 2006 Act (NHS trusts: oversight and support).”
- 97 (1) Section 290 (other duties to co-operate) is amended as follows. 25
- (2) Omit subsection (1).
- (3) In subsection (3), omit paragraph (a).
- (4) Omit subsections (5) and (6).
- 98 In section 291 (breaches of duties to co-operate), in subsection (2) – 30
- (a) in paragraph (a), for “Monitor” substitute “NHS England”;
- (b) for paragraph (b), substitute –
- “(b) the duty under section 290(2),”;
- (c) in paragraph (a), for “Monitor” substitute “NHS England”.
- 99 (1) Section 304 (regulations, orders and directions) is amended as follows.
- (2) In subsection (5), omit paragraph (a). 35
- (3) In subsection (12)(a), omit sub-paragraph (i).
- 100 (1) Schedule 11 (further provision about Monitor’s enforcement powers) is amended as follows.
- (2) In the heading, for “Monitor’s” substitute “NHS England’s”.

(3) In the following provisions for “Monitor”, in each place it occurs, substitute “NHS England” –

- (a) paragraph 1(1), (2)(a), (d) and (e) and (4);
- (b) paragraph 2(1), (2), (3)(a) and (5);
- (c) paragraph 3(1) and (4)(b) and (c); 5
- (d) paragraph 4;
- (e) paragraph 5(1), (2), (5) and (6);
- (f) paragraph 6(1) and (4)(b);
- (g) paragraph 7(1);
- (h) paragraph 8; 10
- (i) paragraph 9(1), (2) and (3);
- (j) paragraph 10(1) and (2);
- (k) paragraph 11;
- (l) paragraph 12(1), (2), (3) and (4);
- (m) paragraph 13(1); 15
- (n) paragraph 14.

(4) In paragraph 13(3), for “Monitor’s” substitute “NHS England’s”.

*Local Audit and Accountability Act 2014*

- 101 In Schedule 6 to the Local Audit and Accountability Act 2014 (codes of audit practice and guidance), in paragraph 10(4)(a), for “Monitor” substitute “NHS England”. 20

*Mental Health Units (Use of Force) Act 2018*

- 102 In section 9 of the Mental Health Units (Use of Force) Act 2018 (investigation of deaths or serious injuries), omit paragraph (b).

*Domestic Abuse Act 2021* 25

- 103 In section 15 of the Domestic Abuse Act 2021 (duty to co-operate with the Domestic Abuse Commissioner), in subsection (3), omit paragraph (q).

SCHEDULE 6

Section 39

INTERVENTION POWERS OVER THE RECONFIGURATION OF NHS SERVICES

In the National Health Service Act 2006, after Schedule 10 insert – 30

“SCHEDULE 10A

Section 68A

INTERVENTION POWERS IN RELATION TO THE RECONFIGURATION OF NHS SERVICES

*Definitions*

- 1 In this Schedule – 35  
“NHS commissioning body” means NHS England or an integrated care board;

“NHS services” means services provided as part of the health service in England;

“NHS trust” means an NHS trust established under section 25;

“reconfiguration of NHS services” means a change in the arrangements made by an NHS commissioning body for the provision of NHS services where that change has an impact on— 5

(a) the manner in which a service is delivered to individuals (at the point when the service is received by users), or 10

(b) the range of health services available to individuals.

*Duty to notify Secretary of State of reconfiguration proposals*

2 If an NHS commissioning body proposes a reconfiguration of NHS services it must notify the Secretary of State. 15

*Duty to notify Secretary of State where reconfiguration likely to be needed*

3 If an NHS commissioning body, NHS trust or NHS foundation trust is aware of circumstances that it thinks are likely to result in a need for the reconfiguration of NHS services, it must notify the Secretary of State. 20

*Power to call-in proposal for reconfiguration*

4 (1) The Secretary of State may give an NHS commissioning body a direction calling in any proposal by the body for the reconfiguration of NHS services.

(2) Where a direction is given under sub-paragraph (1), the Secretary of State— 25

(a) may take any decision in relation to the proposal that could have been taken by the NHS commissioning body, and

(b) must notify the NHS commissioning body once the Secretary of State has finished considering the proposal. 30

(3) The power of the Secretary of State to take decisions under sub-paragraph (2)(a) includes—

(a) power to decide whether a proposal should, or should not, proceed, or should proceed in a modified form;

(b) power to decide particular results to be achieved by the NHS commissioning body in taking decisions in relation to the proposal; 35

(c) power to decide procedural or other steps that should, or should not, be taken in relation to the proposal;

(d) power to retake any decision previously taken by the NHS commissioning body. 40

(4) The Secretary of State must—

(a) publish any decision under sub-paragraph (2)(a), and

(b) notify the NHS commissioning body of the decision.



- 5 (1) This paragraph applies where the Secretary of State gives a direction under paragraph 4(1) calling in a proposal for the reconfiguration of NHS services.
- (2) Until notified that the Secretary of State has finished considering the proposal, the NHS commissioning body must not take further steps in relation to a proposal except to such extent (if any) as may be permitted by the direction. 5
- (3) Once notified that the Secretary of State has finished considering the proposal, the NHS commissioning body must give effect to any decision of the Secretary of State under paragraph 4(2)(a) in relation to the proposal. 10

*Power to require consideration of proposals for reconfiguration*

- 6 The Secretary of State may direct an NHS commissioning body to consider a reconfiguration of NHS services.

*Duties to provide information and other assistance* 15

- 7 An NHS commissioning body, NHS trust or NHS foundation trust must give the Secretary of State any information or other assistance that the Secretary of State requires it to give for the purposes of carrying out any functions under this Schedule.

*Guidance* 20

- 8 (1) The Secretary of State must publish guidance for NHS commissioning bodies, NHS trusts and NHS foundation trusts about –
- (a) the exercise of their functions under this Schedule, and
  - (b) how the Secretary of State proposes to exercise the Secretary of State’s functions under this Schedule. 25
- (2) NHS commissioning bodies, NHS trusts and NHS foundation trusts must have regard to any guidance published under sub-paragraph (1).”

SCHEDULE 7 Section 42 30

NHS TRUSTS IN ENGLAND AND REMOVAL OF POWER TO APPOINT TRUSTEES:  
CONSEQUENTIAL AMENDMENTS

*Value Added Tax Act 1994*

- 1 In section 33D of the Value Added Tax Act 1994 (descriptions of charities qualifying for VAT refunds), in subsection (4), before paragraph (a) insert – 35
- “(za) an NHS trust in England,”.

*Finance Act 2003*

- 2 In section 67A of the Finance Act 2003 (acquisitions by certain health service

bodies), in subsection (1), after paragraph (b) insert –  
 “(ba) an NHS trust established under section 25 of the National Health Service Act 2006;”.

*National Health Service Act 2006*

- |   |   |    |
|---|---|----|
| 3 | The National Health Service Act 2006 is amended as follows.   | 5  |
| 4 | In section 215 (trustees and property under section 222), in subsection (3)(b), omit “paragraph 10 of Schedule 4, or”.        |    |
| 5 | In section 217 (supplementary provisions about trusts), in subsection (1), omit paragraph (h) (but not the “and” at the end). |    |
| 6 | In Schedule 4 (NHS trusts), in paragraph 12, omit sub-paragraph (2A).   | 10 |

*National Health Service (Wales) Act 2006*

- |   |   |  |
|---|---|--|
| 7 | In section 163 of the National Health Service (Wales) Act 2006 (trustees and property under section 169) in subsection (3), omit “, or paragraph 10 of Schedule 4 to the National Health Service Act 2006”. |  |
|---|---|--|

*Health and Social Care Act 2012*

15

- |    |   |    |
|----|---|----|
| 8  | The Health and Social Care Act 2012 is amended as follows.  |    |
| 9  | In section 112 (transitional provision relating to abolition of NHS trusts etc), in subsection (5)(b), omit the words from “(whether because” to the end. |    |
| 10 | Omit section 180 (repeal of provisions on authorisation for NHS foundation trusts).   | 20 |
| 11 | In section 200 (care trusts), omit subsection (15).   |    |
| 12 | In Schedule 13, in paragraph 10 (saving provision: general duty of regulator), omit sub-paragraph (2).  |    |
| 13 | Omit Schedule 14 (abolition of NHS trusts in England: consequential amendments).  | 25 |

*Finance Act 2012*

- |    |   |  |
|----|---|--|
| 14 | In section 216 of the Finance Act 2012 (health service bodies), omit subsections (5) and (6). |  |
|----|---|--|

*Local Audit and Accountability Act 2014*

- |    |  |    |
|----|--|----|
| 15 | The Local Audit and Accountability Act 2014 is amended as follows.   | 30 |
| 16 | In section 3 (general requirements for accounts), for subsection (9) substitute –<br>“(9) In this Act “health service body” means –<br>(a) an integrated care board;<br>(b) an NHS trust all or most of whose hospitals, establishments and facilities are situated in England.” | 35 |

- 17 In section 4 (general requirements for audit), at the end insert –
- “(6) In relation to an NHS trust, “accounts” means the annual accounts prepared under paragraph 11A of Schedule 4 to the National Health Service Act 2006.”
- 18 In section 8 (procedure for appointment), in subsection (4), at the end insert –
- “(d) in the case of an NHS trust, it publishes the notice in such manner as it thinks is likely to bring the notice to the attention of persons to whom the trust provides services for the purposes of the health service in England.”
- 19 In section 10 (functions of auditor panel), in subsection (10), at the end insert –
- “(d) in the case of an NHS trust, it publishes the advice in such manner as it thinks is likely to bring the advice to the attention of persons to whom the trust provides services for the purposes of the health service in England.”
- 20 In section 12 (failure to appoint local auditor), in subsections (1) and (2), for “a clinical commissioning group,” substitute “a health service body”.
- 21 (1) Section 13 (failure of clinical commissioning group to appoint local auditor) is amended as follows.
- (2) In the heading, for “clinical commissioning group” substitute “health service bodies”.
- (3) In subsections (1) and (2), for “clinical commissioning group” substitute “health service body”.
- (4) In subsection (4) –
- (a) in paragraph (a), for “clinical commissioning group” substitute “health service body”;
- (b) in paragraph (b), for “group” substitute “health service body”.
- (5) In subsection (5)(a), for “clinical commissioning group” substitute “health service body”.
- (6) In subsection (6) –
- (a) in paragraph (a), for “clinical commissioning group” substitute “health service body”;
- (b) in paragraph (b), for “group” substitute “health service body”.
- (7) In subsection (7), for “clinical commissioning group” substitute “health service body”.
- 22 In section 21 (general duties of auditors of accounts of health service bodies), after subsection (2) insert –
- “(2A) In auditing the accounts of an NHS trust a local auditor must, by examination of the accounts and otherwise, be satisfied –
- (a) that the accounts present a true and fair view, and comply with the requirements of the enactments that apply to them,
- (b) that proper practices have been observed in the preparation of the accounts, and

- (c) that the NHS trust has made proper arrangements for securing economy, efficiency and effectiveness in its use of resources.”
- 23 In section 30 (unlawful expenditure or activity of health service bodies), in subsection (2), for paragraph (b) substitute – 5  
“(b) notify NHS England of the matter.”
- 24 In section 43 (orders and regulations), in subsection (6), omit paragraphs (b) and (c).
- 25 In section 44 (interpretation), in the definition of “accounts”, for “(5)” substitute “(6)”. 10
- 26 Omit section 47 (application to NHS trusts and trustees).
- 27 In Schedule 2 (relevant authorities), after paragraph 23 insert –  
“23A An NHS trust all or most of whose hospitals, establishments and facilities are situated in England.”
- 28 In Schedule 5 (eligibility and regulation of local auditors), in paragraph 5, in the modified section 1214 of the Companies Act 2006 – 15  
(a) in subsection (1), after “(3),” insert “(3A),”  
(b) after subsection (3) insert –  
“(3A) This subsection applies if –  
(a) the relevant authority is an NHS trust, and 20  
(b) P is a director of that NHS trust.”
- 29 (1) Schedule 7 (reports and recommendations) is amended as follows.  
(2) In paragraph 2, in sub-paragraph (3)(d), for “clinical commissioning group” substitute “health service body”.  
(3) In paragraph 3, in sub-paragraph (2)(c), for “clinical commissioning group” substitute “health service body”. 25  
(4) In paragraph 4, in sub-paragraph (8), at the end insert –  
“(d) in the case of an NHS trust, it publishes the notice in such manner as it thinks is likely to bring the notice to the attention of persons to whom the trust provides services for the purposes of the health service in England.” 30

*Finance Act 2015*

- 30 In section 66 of the Finance Act 2015 (VAT refunds to certain charities), omit subsection (6).

*NHS (Charitable Trusts Etc) Act 2016*

35

- 31 (1) Schedule 1 to the NHS (Charitable Trusts Etc) Act 2016 is amended as follows.  
(2) In paragraph 4(b), omit paragraph (ii) (including the “and” at the end).  
(3) Omit paragraph 14.  
(4) In paragraph 18, omit paragraphs (f) and (h). 40

(5) Omit paragraph 19.

## SCHEDULE 8

Section 60

### TRUST SPECIAL ADMINISTRATORS: NHS TRUSTS AND NHS FOUNDATION TRUSTS

#### PART 1

#### AMENDMENTS ABOUT TRUST SPECIAL ADMINISTRATORS

5

1 The National Health Service Act 2006 is amended as follows.

2 For section 65B—

#### “65B NHS trusts: appointment of trust special administrator

- (1) NHS England may make an order in accordance with this section authorising the appointment of a trust special administrator to exercise the functions of the chair and directors of an NHS trust to which this Chapter applies. 10
- (2) NHS England—
- (a) must make an order under subsection (1) if required to do so by the Care Quality Commission, and 15
  - (b) may otherwise make an order under subsection (1) only if—
    - (i) NHS England considers it appropriate to do so in the interests of the health service, and
    - (ii) the Secretary of State has approved the making of the order. 20
- (3) The Care Quality Commission may require NHS England to make an order under subsection (1) only if it is satisfied that there is a serious failure by the NHS trust to provide services that are of sufficient quality to be provided under this Act.
- (4) Before requiring NHS England to make an order under subsection (1) the Care Quality Commission must— 25
- (a) consult the Secretary of State and NHS England, and
  - (b) having done that, consult—
    - (i) the trust,
    - (ii) any integrated care board in whose area the trust has hospitals, establishments or facilities, and 30
    - (iii) any person to which the trust provides goods or services under this Act and which the Commission considers it appropriate to consult.
- (5) Before making an order under subsection (1) in a case where it is not required to do so by the Care Quality Commission, NHS England must consult— 35
- (a) the trust,
  - (b) any integrated care board in whose area the trust has hospitals, establishments or facilities, 40

- 
- (c) any other person to which the trust provides goods or services under this Act and which NHS England considers it appropriate to consult, and
- (d) the Care Quality Commission.
- (6) An order under subsection (1) must specify the date when the appointment is to take effect, which must be within the period of 5 working days beginning with the day on which the order is made. 5
- (7) NHS England must lay before Parliament (with the statutory instrument containing the order) a report stating the reasons for making the order. 10
- (8) If an order is made under subsection (1), NHS England must –
- (a) appoint a person as the trust special administrator with effect from the day specified in the order, and
- (b) publish the name of the person appointed.
- (9) A person appointed as a trust special administrator holds and vacates office in accordance with the terms of the appointment. 15
- (10) NHS England may pay remuneration and expenses to a trust special administrator appointed under this section.
- 65BA Care Quality Commission report on safety and quality of services**
- (1) This section applies where the Care Quality Commission require NHS England to make an order under section 65B(1) in relation to an NHS trust. 20
- (2) The Care Quality Commission must, as soon as reasonably practicable after the making of the order, provide to NHS England and the Secretary of State a report on the safety and quality of the services that the trust provides under this Act.” 25
- 3 (1) Section 65D (NHS foundation trusts: appointment of trust special administrator) is amended as follows.
- (2) In subsections (1) and (1A)(b) and (c), for “the regulator”, in each place it occurs, substitute “NHS England”. 30
- (3) In subsection (2), for “The regulator” substitute “NHS England”.
- (4) After subsection (2) insert –
- “(2A) Where NHS England is not required to make an order under this section as a result of subsection (1A), it may do so only if the Secretary of State has approved the making of the order.” 35
- (5) In subsection (3), for “the regulator” substitute “NHS England”.
- (6) In subsection (3A) –
- (a) in paragraph (a), for “the regulator” substitute “NHS England”;
- (b) in paragraph (b), omit sub-paragraph (ii) (but not the “and” at the end). 40

- (7) For subsection (4) substitute –
- “(4) Before making an order under this section in a case where it is not required to do so as a result of subsection (1A), NHS England must consult –
- (a) the trust, 5
  - (b) any person to which the trust provides services under this Act and which NHS England considers it appropriate to consult, and
  - (c) the Care Quality Commission.”
- (8) In subsection (6), for “The regulator” substitute “NHS England”. 10
- (9) In subsection (7), for “the regulator” substitute “NHS England”.
- (10) For subsection (12) substitute –
- “(12) NHS England may pay remuneration and expenses to a trust special administrator appointed under this section.”
- 4 (1) Section 65DA (objective of trust special administration) is amended as follows. 15
- (2) In subsection (4)(c), for “the regulator” substitute “NHS England”.
- (3) In subsection (5), for “The regulator” substitute “NHS England”.
- (4) In subsection (5A), for “the regulator” substitute “NHS England”.
- (5) In subsection (6) – 20
- (a) for “the regulator” substitute “NHS England”;
  - (b) omit paragraph (b).
- (6) In subsections (7), for “The Board” substitute “NHS England”.
- (7) In subsection (8), for “the Board” substitute “NHS England”.
- 5 (1) Section 65F (draft report) is amended as follows. 25
- (2) For subsections (1) to (3) substitute –
- “(1) A trust special administrator appointed in relation to an NHS trust must, within the period of 65 working days beginning with the day on which the administrator’s appointment takes effect –
- (a) provide NHS England and the Secretary of State with a draft report recommending any action that NHS England or the Secretary of State should take in relation to the trust, and 30
  - (b) publish a copy of that draft report.
- (1A) A trust special administrator appointed in relation to an NHS foundation trust must, within the period of 65 working days beginning with the day on which the administrator’s appointment takes effect – 35
- (a) provide NHS England with a draft report recommending the action that NHS England should take in relation to the trust, and 40
  - (b) publish a copy of that draft report, unless unable to obtain the statements required by subsections (1B) and (1C).

- 
- (1B) A trust special administrator may not provide a draft report under subsection (1A) –
- (a) without having obtained a statement from each commissioner that the commissioner considers that the recommendation in the draft report –
    - (i) would achieve the objective set out in section 65DA(1)(a), and
    - (ii) would do so without harming essential services provided for the purposes of the NHS by any other NHS foundation trust or NHS trust that provides services under this Act to the commissioner, or
  - (b) where the administrator is unable to obtain a statement to that effect from one or more of the commissioners (other than NHS England), without having obtained a statement to that effect from NHS England.
- (1C) A trust special administrator may not provide a draft report under subsection (1A) without having obtained a statement from the Care Quality Commission that it considers that the recommendation in the draft report would achieve that part of the objective set out in section 65DA(1)(aa).
- (2) When preparing a draft report under subsection (1) or (1A), the administrator must consult –
- (a) any person to which the trust provides goods or services under this Act and which NHS England directs the administrator to consult, and
  - (b) the Care Quality Commission.
- (3) After receiving a draft report under subsection (1) or (1A), NHS England must lay it before Parliament.”
- (3) Omit subsection (4), (5) and (5A).
- (4) For subsection (6) substitute –
- “(6) Where NHS England decides not to provide to the administrator a statement to the effect mentioned in subsection (1B)(b), NHS England must –
- (a) give a notice of the reasons for its decision to the administrator,
  - (b) publish the notice, and
  - (c) lay a copy of it before Parliament.
- (6A) Where the Care Quality Commission decides not to provide to the administrator a statement to the effect mentioned in subsection (1C), the Commission must –
- (a) give a notice of the reasons for its decision to the administrator and to NHS England,
  - (b) publish the notice, and
  - (c) lay a copy of it before Parliament.”
- 6 (1) Section 65G (consultation plan) is amended as follows.
- (2) In subsection (4)(b) for “the Board”, in both places it occurs, substitute “NHS England”.



- (3) For subsection (5) substitute –
- “(5) Where NHS England decides not to provide to the administrator a statement to the effect mentioned in subsection (4)(b), NHS England must –
- (a) give a notice of the reasons for its decision to the administrator, 5
  - (b) publish the notice, and
  - (c) lay a copy of it before Parliament.
- (5A) Where the Care Quality Commission decides not to provide to the administrator a statement to the effect mentioned in subsection (4A), the Commission must – 10
- (a) give a notice of the reasons for its decision to the administrator and to NHS England,
  - (b) publish the notice, and
  - (c) lay a copy of it before Parliament.” 15
- 7 (1) Section 65H (consultation requirements) is amended as follows.
- (2) In subsection (7) –
- (a) omit paragraph (za);
  - (b) in paragraph (b), omit “other”;
  - (c) for paragraphs (c) and (d) substitute – 20
- “(c) the member of Parliament for any constituency, if required by directions given by NHS England;
- (d) any other person specified in a direction given by NHS England.”
- (3) Omit subsection (8). 25
- (4) In subsection (9)(a), omit “the Board and”.
- (5) After subsection (9) insert –
- “(9A) NHS England may direct the administrator to hold a meeting to seek a response from any person.”
- (6) For subsection (10) substitute – 30
- “(10) The Secretary of State may direct NHS England as to the persons from whom it should direct the administrator to –
- (a) request a written response (for NHS England’s powers of direction, see subsection (7)(c) and (d));
  - (b) seek a response by holding a meeting (for NHS England’s power of direction, see subsection (9A)).” 35
- (7) Omit subsection (10A).
- (8) In subsection (12), omit paragraph (b) and the “and” before it.
- (9) Omit subsection (13).
- 8 (1) Section 65I (final report) is amended as follows. 40

- (2) For subsection (1) substitute –
- “(1) A trust special administrator appointed in relation to an NHS trust must, within the period of 15 working days beginning with the end of the consultation period, provide NHS England and the Secretary of State with a final report stating any action that the administrator recommends that NHS England or Secretary of State should take in relation to the trust. 5
- (1A) A trust special administrator appointed in relation to an NHS foundation trust must, within the period of 15 working days beginning with the end of the consultation period, provide NHS England with a final report stating the action that the administrator recommends that NHS England should take in relation to the trust.” 10
- (3) In subsection (2), after “the final report” insert “mentioned in subsection (1) or (1A)”.
- (4) In subsection (3), for “the Secretary of State” substitute “NHS England”. 15
- (5) Omit subsection (4).
- 9 (1) Section 65J (power to extend time) is amended as follows.
- (2) In subsection (1) –
- (a) in paragraph (a) after “section 65F(1)” insert “or (1A)”;  
(b) in paragraph (c), after section “65I(1)” insert “or (1A)”. 20
- (3) In subsection (2), for “the Secretary of State”, in both places it occurs, substitute “NHS England”.
- (4) Omit subsection (5).
- 10 In the italic heading before section 65K for “the regulator” substitute “NHS England”. 25
- 11 For section 65K substitute –
- “65K Decision of NHS England or Secretary of State in case of NHS trust**
- (1) Within the period of 20 working days beginning with the day on which NHS England receives a final report under section 65I relating to an NHS trust, the NHS England must decide what (if any) action to take in relation to the trust. 30
- (2) Within the period of 20 working days beginning with the day on which the Secretary of State receives a final report under section 65I relating to an NHS trust, the Secretary of State must decide what (if any) action to take in relation to the trust. 35
- (3) NHS England and the Secretary of State must consult one another before taking the decision under subsection (1) or (2).
- (4) After taking a decision under subsection (1) or (2) NHS England or the Secretary of State (as the case may be) must, as soon as reasonably practicable – 40
- (a) publish a notice of the decision and of the reasons for it;  
(b) lay a copy of the notice before Parliament.”

- 12 (1) Section 65KA (regulator’s decision in case of NHS foundation trust) is amended as follows.
- (2) In the heading for “Regulator’s” substitute “NHS England’s”.
- (3) In each of subsections (1) and (3) to (5), for “the regulator”, in each place it occurs, substitute “NHS England”. 5
- (4) In subsection (6), for “The regulator” substitute “NHS England”.
- 13 (1) Section 65KB (Secretary of State’s response to regulator’s decision) is amended as follows.
- (2) In the heading for “regulator’s” substitute “NHS England’s”.
- (3) In subsections (1)(c) and (2)(b), for “the regulator” substitute “NHS England”. 10
- 14 In section 65KC (action following Secretary of State’s rejection of final report), in subsections (1) and (2), for “the regulator” substitute “NHS England”.
- 15 (1) In section 65KD (Secretary of State’s response to resubmitted report) is amended as follows. 15
- (2) In subsection (3), for “(4) to” substitute “(5), (6) and”.
- (3) Omit subsection (4).
- (4) For subsections (5) and (6) substitute—
- “(5) If the notice states that an integrated care board has failed to discharge a function— 20
- (a) the board is to be treated for the purposes of this Act as having failed to discharge the function,
- (b) the Secretary of State may exercise the functions of NHS England under section 14Z59(2), (3)(a) and (5)(a), and 25
- (c) NHS England may not exercise any of its functions under section 14Z59.
- (6) Where, by virtue of subsection (5)(b), the Secretary of State exercises the function of NHS England under section 14Z59(3)(a), the integrated care board to which the direction is given must cooperate with the Secretary of State.” 30
- (5) Omit subsections (7) and (8).
- 16 (1) Section 65L (trusts coming out of administration) is amended as follows.
- (2) For subsections (1) to (2B) substitute—
- “(1) Subsection (2) applies, in relation to an NHS trust, if NHS England and the Secretary of State both decide under section 65K not to dissolve the trust. 35
- (2) NHS England must make an order specifying a date when the following come to an end—
- (a) the appointment of the trust special administrator, and 40
- (b) the suspension of the chair and directors of the trust.
- (2A) Subsection (2B) applies, in relation to an NHS foundation trust, if—

- (a) the Secretary of State decides under section 65KD(9) not to dissolve the trust, or
- (b) the Secretary of State decides under section 65KB(1) or 65KD(1) that the Secretary of State is satisfied of the matters mentioned there, and the action recommended in the final report is to do something other than dissolve the trust. 5
- (2B) NHS England must make an order specifying a date when the following come to an end –
- (a) the appointment of the trust special administrator, and
- (b) the suspension of the governors, chair and directors of the trust.” 10
- (3) In subsection (7), for “the regulator”, in both places it occurs, substitute “NHS England”.
- 17 In section 65LA (trusts to be dissolved), in subsection (3), for “The regulator” substitute “NHS England”. 15
- 18 (1) Section 65M (replacement of trust special administrator) is amended as follows.
- (2) For subsection (1) substitute –
- “(1) If a trust special administrator ceases to hold office for any reason before an order is made under section 65L(2) or (2B) or the trust is dissolved, NHS England must –
- (a) appoint another person as the trust special administrator, and
- (b) publish the name of the person appointed.” 20
- (3) In subsection (2), for “the Secretary of State” substitute “NHS England”. 25
- (4) Omit subsection (3).
- 19 (1) Section 65N (guidance) is amended as follows.
- (2) In subsection (1), for “the Secretary of State” substitute “NHS England”.
- (3) In subsection (1A), omit paragraph (b).
- (4) In subsection (3A), for “the Secretary of State” substitute “NHS England”. 30
- (5) Omit subsection (4).
- 20 In section 65O (interpretation of Chapter), in subsection (1), in the definition of “trust special administrator”, for “65B(6)(a)” substitute “65B(8)(a)”.
- 21 In section 272 (orders, regulations, rules and directions), in subsection (5)(ab), after “65L(2)” insert “, (2B)”. 35

## PART 2

## CONSEQUENTIAL AMENDMENTS

*National Health Service Act 2006*

- 22 The National Health Service Act 2006 is amended as follows.
- 23 In section 13Q (public involvement and consultation by NHS England), for 40

- subsection (4) substitute –
- “(4) This section does not require NHS England to make arrangements in relation to matters to which a trust special administrator’s draft or final report under section 65F or 65I relates before –
- (a) in a case where the administrator’s report relates to an NHS trust, NHS England and the Secretary of State have made their decisions under section 65K(1) and (2), or 5
  - (b) in a case where the administrator’s report relates to an NHS foundation trust, the Secretary of State is satisfied as mentioned in section 65KB(1) or 65KD(1) or makes a decision under section 65KD(9).” 10
- 24 In section 242 (public involvement and consultation by NHS trusts), for subsection (6) substitute –
- “(6) This section does not require a body to make arrangements in relation to matters to which a trust special administrator’s draft or final report under section 65F or 65I relates before – 15
- (a) in a case where the administrator’s report relates to an NHS trust, NHS England and the Secretary of State have made their decisions under section 65K(1) and (2), or
  - (b) in a case where the administrator’s report relates to an NHS foundation trust, the Secretary of State is satisfied as mentioned in section 65KB(1) or 65KD(1) or makes a decision under section 65KD(9).” 20

*Health and Social Care Act 2008*

- 25 In section 29A of the Health and Social Care Act 2008 (warning notice: quality of health care), in subsection (5), for paragraph (b) substitute – 25
- “(b) must consider in particular whether to require NHS England to make an order under section 65B(1) or 65D(2) of the National Health Service Act 2006 (appointment of trust special administrator).” 30

SCHEDULE 9

Section 62

REFERENCES TO FUNCTIONS: TREATMENT OF DELEGATION ARRANGEMENTS ETC

*Local Government Act 1974*

- 1 In section 26 of the Local Government Act 1974 (matters subject to investigation), in subsection (1), for paragraph (d) substitute – 35
- “(d) an alleged or apparent failure in a service provided by the authority in the exercise of public health functions of the Secretary of State (within the meaning of the National Health Service Act 2006) in pursuance of arrangements made under section 7A, 65Z5 or 75 of the National Health Service Act 2006;”. 40

*Children Act 1989*

- 2 In section 105 of the Children Act 1989 (interpretation), for subsection (7B) substitute –
- “(7B) References in this Act to arrangements made by NHS England or an integrated care board under the National Health Service Act 2006 include references to arrangements so made in the exercise of functions of another person by virtue of any provision of that Act.” 5

*National Health Service and Community Care Act 1990*

- 3 In section 47 of the National Health Service and Community Care Act 1990 (assessment of needs for community care services), in subsection (3), for paragraph (za) substitute – 10
- “(za) that there may be a need for the provision of services to that person pursuant to arrangements made under the National Health Service Act 2006 by such integrated care board as may be determined in accordance with regulations (including such arrangements made by it in the exercise of functions of another person by virtue of any provision of that Act),” 15

*Adoption and Children Act 2002*

- 4 In section 4 of the Adoption and Children Act 2002 (assessments etc for adoption support services), in subsection (9), for paragraph (za) substitute – 20
- “(za) there may be a need for the provision to that person of services that may be provided pursuant to arrangements made by an integrated care board under the National Health Service Act 2006 (including such arrangements made by an integrated care board in the exercise of functions of another person by virtue of any provision of that Act),” 25

*Health and Social Care (Community Health and Standards) Act 2003*

- 5 In section 113 of the Health and Social Care (Community Health and Standards) Act 2003 (complaints about health care), in subsection (1) – 30
- (a) at the end of paragraph (a) insert “(including any function that consists of exercising the function of another person)”;
- (b) in paragraph (c), omit “section 75 of the National Health Service Act 2006 or”;
- (c) omit paragraph (d).

*National Health Service Act 2006* 35

- 6 The National Health Service Act 2006 is amended as follows.
- 7 In section 13Z4 (interpretation of Chapter A1 of Part 2), omit subsections (2) to (4).
- 8 In section 73A (appointment of directors of public health), in subsection (1), after paragraph (c) insert – 40
- “(ca) the exercise by the authority of any public health functions of the Secretary of State in pursuance of arrangements made with another body by virtue of section 65Z5 or 75,”.

- 9 In section 73B (exercise of public health functions of local authorities: further provision), in subsection (2), after paragraph (c) insert –  
“(ca) any public health functions of the Secretary of State exercisable by the authority in pursuance of arrangements made with another body by virtue of section 65Z5 or 75,”. 5
- 10 In section 73C (complaints about exercise of public health functions by local authorities), in subsection (1), after paragraph (c) insert –  
“(ca) anything done by a local authority in the exercise of public health functions of the Secretary of State in pursuance of arrangements made with another body by virtue of section 65Z5 or 75;”. 10
- 11 In section 223K (payments in respect of quality), in subsection (8), for the definition of “relevant services” substitute –  
““relevant services” means services provided in pursuance of arrangements made by the integrated care board in the exercise of its functions by virtue of this Act;”. 15
- 12 In section 244 (review and scrutiny by local authorities), in subsection (3), in the definition of “relevant health service provider”, for paragraph (a) (but not the “and” at the end) substitute –  
“(a) provides services in pursuance of arrangements made by virtue of this Act,”. 20
- 13 In section 252A (role of NHS England and clinical commissioning groups in respect of emergencies), in subsection (10), for the definition of “service arrangements” substitute –  
““service arrangements” means arrangements made by virtue of this Act for the provision of services.” 25
- 14 In section 253 (emergency powers), in subsection (1A), in paragraph (d), for sub-paragraphs (i) to (iv) substitute “by virtue of this Act”.

*Local Government and Public Involvement in Health Act 2007*

- 15 After section 116B of the Local Government and Public Involvement in Health Act 2007 insert –  
**“116C Sections 116 to 116B: references to functions**  
Section 275A of the National Health Service Act 2006 (references to functions of a person to include delegated functions etc) applies for the purposes of sections 116 to 116B of this Act as it applies for the purposes of that Act.” 35

*Health and Social Care Act 2008*

- 16 In section 97 of the Health and Social Care Act 2008 (general interpretation of Part), for subsection (2A) substitute –  
“(2A) Any reference in this Part to health care commissioned by NHS England or by an integrated care board is a reference to health care provided by other persons pursuant to arrangements made by NHS England or an integrated care board (including arrangements made by it in the exercise of functions of another person by virtue of any provision of the National Health Service Act 2006).” 40  
45

*Health and Social Care Act 2012*

- 17 The Health and Social Care Act 2012 is amended as follows.
- 18 In section 197 (participation of NHS England in Health and Wellbeing Board), in the definition of “commissioning functions” in subsection (6), at the end insert “(including any functions of NHS England in arranging for the provision of such services in the exercise of functions of another person)”. 5
- 19 In section 199 (supply of information to Health and Wellbeing Boards), omit subsection (4).
- 20 In section 234 (quality standards), in subsection (11), for the definition of “NHS services” substitute – 10  
 ““NHS services” means services the provision of which is arranged by NHS England or an integrated care board (including services the provision of which is arranged by it in the exercise of functions of another person by virtue of any provision of the National Health Service Act 2006);”. 15
- 21 In section 237 (advice, guidance, information and recommendations), in subsection (10), for paragraphs (b) and (c) substitute –  
 “(b) the exercise by an authority of the functions of any other person by virtue of any provision of that Act.”
- 22 In section 250 (powers to publish information standards), in subsection (7), for the definition of “NHS services”, substitute – 20  
 ““NHS services” means services the provision of which is arranged by NHS England or an integrated care board (including services the provision of which is arranged by it in the exercise of functions of another person by virtue of any provision of the National Health Service Act 2006);”. 25
- 23 In section 263 (code of practice on confidential information), after subsection (7) insert –  
 “(8) In this section “NHS services” means services the provision of which is arranged by NHS England or an integrated care board (including services the provision of which is arranged by it in the exercise of functions of another person by virtue of any provision of the National Health Service Act 2006).” 30
- 24 In section 274 (powers of Secretary of State or Board to give directions), in subsection (9), at the appropriate place insert – 35  
 ““NHS services” means services the provision of which is arranged by NHS England or an integrated care board (including services the provision of which is arranged by it in the exercise of functions of another person by virtue of any provision of the National Health Service Act 2006);”. 40
- 25 In section 290 (duties to co-operate), in subsection (2), at the end insert “(including any functions that consist of exercising the functions of other persons)”.



SCHEDULE 10

Section 67

THE NHS PAYMENT SCHEME

- 1 The Health and Social Care Act 2012 is amended as follows.
- 2 In section 97 (conditions of licences for health care service providers), in subsection (1)(g), for “the national tariff (see section 116)” substitute “the NHS payment scheme (see section 114A)”. 5
- 3 In Part 3, for Chapter 4 substitute—

“CHAPTER 4

THE NHS PAYMENT SCHEME

**114A The NHS payment scheme** 10

- (1) NHS England must publish a document, to be known as “the NHS payment scheme”, containing rules for determining the price that is to be payable by a commissioner—
  - (a) for the provision of health care services for the purposes of the NHS; 15
  - (b) for the provision of services in pursuance of arrangements made by NHS England or an integrated care board in the exercise of any public health functions of the Secretary of State, within the meaning of the National Health Service Act 2006, by virtue of any provision of that Act. 20
- (2) The commissioner and the provider of services mentioned in subsection (1) must comply with rules under that subsection.
- (3) Rules under subsection (1) may, in particular—
  - (a) specify prices;
  - (b) specify amounts, formulae or other matters on the basis of which prices are to be determined; 25
  - (c) provide for prices to be determined for, or by reference to, components of services or groups of services;
  - (d) make different provision for different services or provision for some services but not others; 30
  - (e) make different provision for the same service by reference to different circumstances or areas, different descriptions of provider, or other factors relevant to the provision of the service or the arrangements for its provision;
  - (f) confer a discretion on the commissioner of a service or on NHS England. 35
- (4) Rules under subsection (1) may allow or require a price to be agreed between the commissioner and the provider of a service.
- (5) Rules made by virtue of subsection (4) may—
  - (a) make provision about how the price is to be agreed; 40
  - (b) allow the agreement to make any provision that could be made by rules by virtue of subsection (3);

- (c) provide for the publication by the commissioner, the provider or NHS England of information relevant to the agreement.
- (6) For the purpose of securing that the prices payable for the provision of services mentioned in subsection (1)(a) or (b) result in a fair level of pay for providers of those services, NHS England must, in exercising functions under subsection (1), have regard to—
- (a) differences in the costs incurred in providing those services to persons of different descriptions, and
- (b) differences between providers with respect to the range of those services that they provide.
- (7) The NHS payment scheme may contain rules relating to the making of payments to the provider of a service for the provision of that service.
- (8) The NHS payment scheme may contain guidance as to the application of rules under subsection (1).
- (9) A commissioner of a service mentioned in subsection (1) must have regard to any such guidance.
- (10) The NHS payment scheme has effect for the period specified in the NHS payment scheme or, where a new edition of the NHS payment scheme takes effect before the end of that period, until that new edition takes effect.

#### **114B The NHS payment scheme: enforcement**

- Where the commissioner of a service fails to comply with rules contained in the NHS payment scheme, NHS England may direct the commissioner to take steps specified in the direction, within a period specified in the direction—
- (a) to secure that the failure does not continue or recur, or
- (b) to secure that the position is (so far as practicable) restored to what it would have been if the failure was not occurring or had not occurred.

#### **114C The NHS payment scheme: impact assessment and consultation**

- (1) Before publishing the NHS payment scheme, NHS England must—
- (a) carry out an assessment of the likely impact of the proposed scheme, or
- (b) publish a statement setting out its reasons for concluding that such assessment is not needed.
- (2) Before publishing the NHS payment scheme, NHS England must consult the following—
- (a) each integrated care board;
- (b) each relevant provider;
- (c) such other persons as NHS England considers appropriate.
- (3) NHS England must give those persons a notice—
- (a) describing the proposed NHS payment scheme,
- (b) setting out any impact assessment carried out under subsection (1)(a), and

- (c) specifying when the period within which representations may be made about the proposed NHS payment scheme (“the consultation period”) will come to an end.
- (4) The consultation period is the period of 28 days beginning with the day after that on which the notice is published. 5
- (5) NHS England must publish the notice given under subsection (2).
- (6) If, having consulted under this section –
  - (a) NHS England decides to make amendments of the proposed NHS payment scheme that are, in its opinion, significant, and
  - (b) it would, in NHS England’s opinion, be unfair to make the amendments without further consultation, 10NHS England must consult again under this section.
- (7) Subsection (6) does not apply where section 114D applies.
- (8) In this section “relevant provider” means –
  - (a) a licence holder, or 15
  - (b) another person, of a prescribed description, that provides –
    - (i) health care services for the purposes of the NHS, or
    - (ii) services in pursuance of arrangements made by NHS England or an integrated care board by virtue of section 7A or 7B of the National Health Service Act 2006 (Secretary of State’s public health functions). 20

#### **114D Objections to proposed NHS payment scheme**

- (1) This section applies where –
  - (a) within the consultation period under section 114C, NHS England receives objections to the proposed NHS payment scheme from one or more integrated care boards or relevant providers, and 25
  - (b) either or both of the following apply –
    - (i) the objection percentage for integrated care boards exceeds the prescribed percentage; 30
    - (ii) the objection percentage for relevant providers exceeds the prescribed percentage.
- (2) In subsection (1)(b) the “objection percentage” is the proportion (expressed as a percentage) of integrated care boards or (as the case may be) relevant providers that objected. 35
- (3) NHS England must consult such persons as appear to NHS England to be representative of the integrated care boards or relevant providers from whom objections were received.
- (4) If, having complied with subsection (3) –
  - (a) NHS England decides to make amendments of the proposed NHS payment scheme that are, in its opinion, significant, and 40
  - (b) it would, in NHS England’s opinion, be unfair to make the amendments without further consultation, NHS England must consult again under section 114C.

- (5) If, having complied with subsection (3), NHS England decides not to amend the proposed NHS payment scheme, it may publish the scheme but, before doing so, must –
- (a) publish a notice stating that decision and setting out the reasons for it, and 5
  - (b) send a copy of the notice to –
    - (i) the persons consulted under subsection (3), and
    - (ii) the integrated care boards or relevant providers from whom objections were received.

#### **114E Amendments of the NHS payment scheme** 10

- (1) NHS England may amend the NHS payment scheme during the period for which it has effect, provided that, in the opinion of NHS England, the amendments are not so significant as to require publication of a new edition of the NHS payment scheme.
- (2) In deciding whether the amendments are so significant as to require the publication of a new edition of the NHS payment scheme, NHS England must have regard to –
- (a) the proportion of integrated care boards that would be affected by the proposed amendments;
  - (b) the proportion of relevant providers that would be affected by the proposed amendments; 20
  - (c) the impact that the proposed amendments would have on integrated care boards and relevant providers that would be affected by them;
  - (d) whether any integrated care boards or relevant providers would be disproportionately affected by the proposed amendments; 25
  - (e) the amount of any increase or decrease in prices that would result from the proposed amendments.
- (3) If NHS England amends the NHS payment scheme, it must publish the NHS payment scheme as amended. 30
- (4) Before amending the NHS payment scheme, NHS England must, in accordance with subsections (5) to (7), consult the following about the proposed amendments –
- (a) any integrated care boards that would be affected by the proposed amendments; 35
  - (b) any relevant providers that would be affected by the proposed amendments;
  - (c) such other persons as NHS England considers appropriate.
- (5) NHS England must publish a notice specifying – 40
- (a) the proposed amendments, and
  - (b) when the period within which representations may be made about the proposed amendments (“the consultation period”) will come to an end.
- (6) The consultation period is the period of 28 days beginning with the day after that on which the notice is published. 45

- (7) NHS England must send a copy of the notice to each of the persons to be consulted under subsection (4).

### 114F Interpretation

In this Chapter –

- “commissioner”, in relation to a service, means the person who arranges for the provision of the service; 5
- “the NHS payment scheme” means the document published under section 114A(1);
- “relevant provider” has the meaning given by section 114C(8).”
- 4 In section 304 (regulations, orders and directions), in subsection (5), for paragraph (g) substitute – 10
- “(g) regulations under section 114D(1)(b)(i) or (ii) (percentage to be prescribed in cases of objections to proposals for NHS payment scheme);”.

## SCHEDULE 11

Section 68 15

PATIENT CHOICE: UNDERTAKINGS BY INTEGRATED CARE BOARDS

After Schedule 1 to the National Health Service Act 2006 insert –

### “SCHEDULE 1ZA

Section 6F

PATIENT CHOICE: UNDERTAKINGS BY INTEGRATED CARE BOARDS

*Introductory* 20

- 1 This Schedule makes further provision about undertakings under section 6F.

*Procedure*

- 2 (1) NHS England must publish a procedure for entering into undertakings. 25
- (2) NHS England may revise the procedure and, if it does so, NHS England must publish the procedure as revised.
- (3) NHS England must consult such persons as it considers appropriate before publishing or revising the procedure.
- 3 (1) Where NHS England accepts an undertaking, NHS England must publish the undertaking. 30
- (2) But NHS England must not under sub-paragraph (1) publish any part of an undertaking which contains information which it is satisfied is –
- (a) commercial information the disclosure of which would, or might, significantly harm the legitimate business interests of the person to whom it relates; 35

- (b) information relating to the private affairs of an individual the disclosure of which would, or might, significantly harm that person’s interests.

*Variation of terms*

- 4 The terms of an undertaking (including, in particular, the action specified under it and the period so specified within which the action must be taken) may be varied if both the integrated care board giving the undertaking and NHS England agree. 5

*Compliance certificates*

- 5 (1) Where NHS England is satisfied that an undertaking has been complied with, NHS England must issue a certificate to that effect (referred to in this Schedule as a “compliance certificate”). 10
- (2) An integrated care board which has given an undertaking may at any time make an application to NHS England for a compliance certificate. 15
- (3) The application must be made in such form, and accompanied by such information, as NHS England requires.
- (4) NHS England must decide whether or not to issue a compliance certificate, and give notice to the applicant of its decision, before the end of the period of 14 days beginning with the day after that on which the application is received. 20
- 6 (1) An appeal lies to the First-tier Tribunal against a decision of NHS England to refuse an application for a compliance certificate.
- (2) The grounds for an appeal under this paragraph are that the decision was – 25
- (a) based on an error of fact,
- (b) wrong in law, or
- (c) unfair or unreasonable.
- (3) On an appeal under this paragraph, the Tribunal may confirm NHS England’s decision or direct that it is not to have effect. 30

*Inaccurate, incomplete or misleading information*

- 7 Where NHS England is satisfied that an integrated care board which has given an undertaking has supplied NHS England with inaccurate, misleading or incorrect information in relation to the undertaking – 35
- (a) NHS England may treat the integrated care board as having failed to comply with the undertaking, and
- (b) if NHS England decides so to treat the integrated care board, NHS England must by notice revoke any certificate of compliance given to that integrated care board.” 40

SCHEDULE 12

Section 73

REMOVAL OF FUNCTIONS RELATING TO COMPETITION ETC

*Company Directors Disqualification Act 1986*

- 1 In section 9E of the Company Directors Disqualification Act 1986 (disqualification for competition infringements: interpretation), in subsection (2), omit paragraph (f). 5

*Competition Act 1998*

- 2 In section 54 of the Competition Act 1998 (list of regulators), in subsection (1), omit paragraph (h).

*Enterprise Act 2002* 10

- 3 (1) Section 136 of the Enterprise Act 2002 (investigations and reports on market investigation references) is amended as follows.  
(2) In subsection (7), omit paragraph (i).  
(3) In subsection (8), omit “, Monitor”.

*Health and Social Care Act 2012* 15

- 4 The Health and Social Care Act 2012 is amended as follows.  
5 In section 74 (competition functions: supplementary), omit subsections (1) to (6).  
6 In section 288 (Monitor: duty to cooperate with Care Quality Commission), omit subsection (3). 20

*Enterprise and Regulatory Reform Act 2013*

- 7 The Enterprise and Regulatory Reform Act 2013 is amended as follows.  
8 In Schedule 4 (the Competition and Markets Authority), in paragraph 16(7), omit paragraph (g).  
9 In Schedule 14 (regulators: use of powers under the Competition Act 1998), omit paragraphs 20 to 22. 25

*Care Act 2014*

- 10 In section 92 of the Care Act 2014 (care standards: false or misleading information offence), omit subsections (7) and (8).

SCHEDULE 13

Section 95

THE HEALTH SERVICES SAFETY INVESTIGATIONS BODY

PART 1

CONSTITUTION

<i>Status</i>	5
<p>1 (1) The HSSIB is not to be regarded –</p> <p style="padding-left: 20px;">(a) as the servant or agent of the Crown, or</p> <p style="padding-left: 20px;">(b) as enjoying any status, immunity or privilege of the Crown.</p> <p>(2) The HSSIB’s property is not to be regarded –</p> <p style="padding-left: 20px;">(a) as the property of the Crown, or</p> <p style="padding-left: 20px;">(b) as property held on behalf of the Crown.</p>	10
<i>Membership</i>	
<p>2 (1) The HSSIB is to consist of –</p> <p style="padding-left: 20px;">(a) a Chief Investigator appointed in accordance with paragraph 3,</p> <p style="padding-left: 20px;">(b) other members appointed in accordance with paragraph 4, and</p> <p style="padding-left: 20px;">(c) a chair and at least four other members appointed by the Secretary of State.</p> <p>(2) The Chief Investigator is to be the chief executive of the HSSIB.</p> <p>(3) The HSSIB must have more non-executive than executive members.</p> <p>(4) In this Schedule –</p> <p style="padding-left: 20px;">(a) references to executive members of the HSSIB are references to the members mentioned in sub-paragraph (1)(a) and (b), and</p> <p style="padding-left: 20px;">(b) references to non-executive members of the HSSIB are references to the members mentioned in sub-paragraph (1)(c).</p>	15
<i>The Chief Investigator: appointment and status</i>	25
<p>3 (1) The Chief Investigator is to be appointed by the non-executive members with the consent of the Secretary of State.</p> <p>(2) The Chief Investigator is to be an employee of the HSSIB.</p>	
<i>Other executive members: appointment and status</i>	
<p>4 (1) The other executive members of the HSSIB are to be appointed by the non-executive members.</p> <p>(2) The non-executive members may not appoint more than five other executive members without the consent of the Secretary of State.</p> <p>(3) The other executive members are to be employees of the HSSIB.</p>	30



*Non-executive members: tenure*

- 5 (1) A person holds and vacates office as a non-executive member of the HSSIB in accordance with that person’s terms of appointment (subject to the following provisions of this paragraph).
- (2) A person may at any time resign from office as a non-executive member by giving notice to the Secretary of State. 5
- (3) The Secretary of State may at any time remove a person from office as a non-executive member on any of the following grounds—
- (a) incapacity,
  - (b) misbehaviour, or 10
  - (c) failure to carry out the person’s duties as a non-executive member.
- (4) The Secretary of State may suspend a person from office as a non-executive member if it appears to the Secretary of State that there are or may be grounds to remove the person from office under sub-paragraph (3).
- (5) A person may be appointed as a non-executive member for an initial term of up to three years. 15
- (6) A person who has been appointed as a non-executive member for an initial term may be re-appointed, but only for one further term of up to three years.
- (7) The further term must begin at the end of the initial term.

*Non-executive members: suspension from office* 20

- 6 (1) This paragraph applies where a person is suspended under paragraph 5(4).
- (2) The Secretary of State must give notice of the decision to suspend to the person.
- (3) The suspension takes effect on receipt by the person of the notice.
- (4) The notice may be— 25
- (a) delivered in person (in which case the person is taken to receive it when it is delivered), or
  - (b) sent by first class post to the person’s last known address (in which case the person is taken to receive it on the third day after the day on which it is posted). 30
- (5) The initial period of suspension must not exceed six months.
- (6) The Secretary of State may review the suspension at any time .
- (7) The Secretary of State—
- (a) must review the suspension if requested in writing by the person to do so, but 35
  - (b) is not required to review the suspension before the end of the period of three months beginning with the start of the initial period of suspension.
- (8) Following a review during a period of suspension, the Secretary of State may— 40
- (a) confirm the suspension,
  - (b) revoke the suspension, or

- 
- (c) suspend the person for another period of not more than six months beginning with the expiry of the current period.
- (9) The Secretary of State must revoke the suspension if the Secretary of State –
- (a) decides that there are no grounds to remove the person from office under paragraph 5(3), or 5
- (b) decides that there are grounds to do so but does not remove the person from office under that provision.
- 7 (1) Where a person is suspended from office as the chair under paragraph 5(4), the Secretary of State may appoint a non-executive member as interim chair to exercise the chair’s functions. 10
- (2) Appointment as interim chair is for a term not exceeding the shorter of –
- (a) the period ending with either –
- (i) the appointment of a new chair, or
- (ii) the revocation or expiry of the existing chair’s suspension, and 15
- (b) the remainder of the interim chair’s term as a non-executive member.
- (3) Sub-paragraph (4) applies if –
- (a) a person’s initial term as interim chair is to cease as a result of the expiry of the person’s initial term as a non-executive member, and
- (b) that person is to be re-appointed as a non-executive member. 20
- (4) The person may be re-appointed as interim chair for a further term determined in accordance with sub-paragraph (2).
- (5) That further term must begin at the end of the person’s initial term as interim chair.
- Non-executive members: payment* 25
- 8 (1) The HSSIB must pay to the non-executive members such remuneration as the Secretary of State may determine.
- (2) The HSSIB must pay or make provision for the payment of such pensions, allowances or gratuities as the Secretary of State may determine to or in respect of any person who is or has been a non-executive member. 30
- (3) If a person ceases to be a non-executive member and the Secretary of State decides that there are exceptional circumstances which mean that the person should be compensated, the HSSIB must pay compensation to the person of such amount as the Secretary of State may determine.
- Staff* 35
- 9 (1) The HSSIB may appoint such persons to be employees of the HSSIB as it considers appropriate.
- (2) Employees of the HSSIB are to be paid such remuneration as the HSSIB may determine.
- (3) Employees of the HSSIB are to be appointed on such other terms and conditions as the HSSIB may determine. 40

(4) The HSSIB may pay or make provision for the payment of such pensions, allowances or gratuities as it may determine to or in respect of any person who is or has been an employee of the HSSIB.

(5) Before making a determination as to remuneration, pensions, allowances or gratuities for the purposes of sub-paragraph (2) or (4), the HSSIB must obtain the approval of the Secretary of State to its policy on that matter. 5

#### *Procedure*

10 (1) The HSSIB may regulate its own procedure.

(2) The validity of any act of the HSSIB is not affected by any vacancy among the members or by any defect in the appointment of any member. 10

#### *Committees*

11 (1) The HSSIB may appoint such committees and sub-committees as it considers appropriate.

(2) A committee or sub-committee may consist of or include persons who are not members or employees of the HSSIB. 15

(3) The HSSIB may pay such remuneration and allowances as it may determine to any person who—  
(a) is a member of a committee or a sub-committee, but  
(b) is not an employee of the HSSIB,  
whether or not that person is a non-executive member of the HSSIB. 20

(4) Before making a determination as to remuneration or allowances for the purposes of sub-paragraph (3), the HSSIB must consult the Secretary of State.

#### *Exercise of functions*

12 (1) The HSSIB must exercise the functions conferred on it by this Part effectively, efficiently and economically. 25

(2) The HSSIB may arrange for the exercise of any of its functions on its behalf by—  
(a) any non-executive member,  
(b) any employee (including any executive member), or  
(c) a committee or sub-committee. 30

#### *Assistance in exercise of functions*

13 (1) The HSSIB may arrange for persons to assist it in the exercise of its functions in relation to—  
(a) a particular case, or  
(b) cases of a particular description. 35

(2) Such arrangements may include provision with respect to the payment of remuneration and allowances to, or amounts in respect of, such persons.

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*Funding*

- 14 (1) *The Secretary of State may make payments to the HSSIB out of money provided by Parliament of such amounts as the Secretary of State considers appropriate.*
- (2) Payments made under sub-paragraph (1) may be made at such times and on such conditions (if any) as the Secretary of State considers appropriate. 5

*Supplementary powers*

- 15 (1) The HSSIB may do anything which is calculated to facilitate, or is conducive or incidental to, the discharge of any function conferred on it by this Part.
- (2) The power under sub-paragraph (1) includes power for the HSSIB to do the following – 10
- (a) enter into agreements;
  - (b) acquire and dispose of property;
  - (c) supply materials, facilities and services to any person;
  - (d) develop and exploit ideas and exploit intellectual property.
- (3) But the HSSIB requires the consent of the Secretary of State to borrow money temporarily by way of overdraft. 15

*Use of income from charges*

- 16 Where the HSSIB receives income from imposing charges under section 113(8) or 114(4), it must ensure that the income is used for exercising its functions. 20

*Losses and liabilities etc*

- 17 (1) Section 265 of the Public Health Act 1875 (which relates to the protection of members and officers of certain authorities from personal liability) has effect as if the HSSIB were an authority of the kind referred to in that section.
- (2) In its application to the HSSIB as a result of sub-paragraph (1), section 265 of that Act has effect as if the references in that section to that Act were references to this Part of this Act. 25

*Accounts*

- 18 (1) The HSSIB must keep proper accounts and proper records in relation to the accounts. 30
- (2) The Secretary of State may give directions to the HSSIB as to –
- (a) the content and form of its accounts, and
  - (b) the methods and principles to be applied in the preparation of its accounts.
- 19 (1) The HSSIB must prepare annual accounts in respect of each financial year. 35
- (2) The HSSIB must send copies of the annual accounts to the Secretary of State and the Comptroller and Auditor General within such period after the end of the financial year to which the accounts relate as the Secretary of State may direct.
- (3) The Comptroller and Auditor General must – 40

- (a) examine, certify and report on the annual accounts, and
  - (b) lay copies of them and the report before Parliament.
- (4) In this paragraph and paragraph 20, “financial year” means a period of 12 months ending with 31 March.

*Reports and other information* 5

- 20 (1) As soon as practicable after the end of each financial year, the HSSIB must prepare an annual report on how it has exercised its functions during the financial year to which the report relates.
- (2) The report must, among other things, set out the measures that the HSSIB has taken to ensure that its functions are exercised effectively, efficiently and economically. 10
- (3) The HSSIB must send a copy of the report to the Secretary of State.
- (4) The Secretary of State must lay a copy of the report before Parliament.
- (5) The HSSIB must publish the report once it has been laid before Parliament.
- (6) Subject to sub-paragraph (7), the Secretary of State may require the HSSIB to provide to the Secretary of State such other reports and information relating to the exercise of its functions as the Secretary of State may request. 15
- (7) The Secretary of State may not require the HSSIB to provide any reports or information that relate to an investigation that the HSSIB is carrying out or has carried out. 20

*Seal and signature*

- 21 (1) The application of the HSSIB’s seal must be authenticated by the signature of any member of the HSSIB or any other person who has been authorised (generally or specially) for that purpose.
- (2) A document purporting to be duly executed under the HSSIB’s seal or to be signed on its behalf must be received in evidence and, unless the contrary is proved, taken to be so executed or signed. 25

PART 2

TRANSFER SCHEMES

- 22 (1) The Secretary of State may, in connection with the establishment of the HSSIB by this Act, make one or more transfer schemes. 30
- (2) A “transfer scheme” is a scheme for the transfer to the HSSIB of any property, rights or liabilities of NHS England relating to the discharge of NHS England’s functions pursuant to any directions made by the Secretary of State under the power conferred by section 37 which are in force at the time the scheme is made. 35
- (3) The things that may be transferred under a transfer scheme include—
- (a) property, rights and liabilities that could not otherwise be transferred;
  - (b) property acquired, and rights and liabilities arising, after the making of the scheme; 40

- 
- (c) criminal liabilities.
- (4) A transfer scheme may –
- (a) create rights, or impose liabilities, in relation to property or rights transferred;
  - (b) make provision about the continuing effect of things done by, on behalf of or in relation to NHS England in respect of anything transferred; 5
  - (c) make provision about the continuation of things (including legal proceedings) in the process of being done by, on behalf of or in relation to NHS England in respect of anything transferred; 10
  - (d) make provision for references to NHS England in an instrument or other document in respect of anything transferred to be treated as references to the HSSIB;
  - (e) make provision for the shared ownership or use of property;
  - (f) make provision which is the same as or similar to the TUPE regulations; 15
  - (g) make other consequential, supplementary, incidental or transitional provision.
- (5) A transfer scheme may provide –
- (a) for modifications by agreement; 20
  - (b) for modifications to have effect from the date when the original scheme came into effect.
- (6) In sub-paragraph (4)(f), “the TUPE regulations” means the Transfer of Undertakings (Protection of Employment) Regulations 2006 (S.I. 2006/246).
- (7) For the purposes of this paragraph – 25
- (a) references to right and liabilities include rights and liabilities relating to a contract of employment;
  - (b) references to the transfer of property include the grant of a lease.
- (8) For the purposes of sub-paragraph (7)(a) – 30
- (a) an individual who holds employment in the civil service is to be treated as employed by virtue of a contract of employment, and
  - (b) the terms of the individual’s employment in the civil service of the State are to be regarded as constituting the terms of the contract of employment.
- 23 (1) The Treasury may by regulations make provision varying the way in which a relevant tax has effect in relation to – 35
- (a) anything transferred under a scheme under paragraph 22, or
  - (b) anything done for the purposes of, or in relation to, a transfer under such a scheme.
- (2) The provision which may be made under sub-paragraph (1)(a) includes in particular provision for – 40
- (a) a tax provision not to apply, or to apply with modifications, in relation to anything transferred;
  - (b) anything transferred to be treated in a specified way for the purposes of a tax provision; 45
  - (c) the Secretary of State to be required or permitted to determine, or specify the method for determining, anything which needs to be

- determined for the purposes of any tax provision so far as relating to anything transferred.
- (3) The provision which may be made under sub-paragraph (1)(b) includes in particular provision for –
- (a) a tax provision not to apply, or to apply with modifications, in relation to anything done for the purposes of or in relation to the transfer; 5
  - (b) anything done for the purposes of, or in relation to, the transfer to have or not have a specified consequence or be treated in a specified way; 10
  - (c) the Secretary of State to be required or permitted to determine, or specify the method for determining, anything which needs to be determined for the purposes of any tax provision so far as relating to anything done for the purposes of, or in relation to, the transfer.
- (4) Regulations under this paragraph are subject to annulment in pursuance of a resolution of the House of Commons. 15
- (5) In this paragraph references to the transfer of property include the grant of a lease.
- (6) In this paragraph –
- “relevant tax” means income tax, corporation tax, capital gains tax, stamp duty or stamp duty reserve tax; 20
  - “tax provision” means a provision of an enactment about a relevant tax.

#### SCHEDULE 14

Section 108

##### PROHIBITION ON DISCLOSURE OF HSSIB MATERIAL: EXCEPTIONS

##### *Disclosures for purposes of investigations* 25

- 1 (1) The HSSIB, or an individual connected with the HSSIB, may disclose protected material to an individual connected with the HSSIB if –
- (a) the person making the disclosure, or
  - (b) an authorised person,
- reasonably believes that the disclosure is necessary for the purposes of the carrying out of the HSSIB’s investigation function. 30
- (2) In this paragraph “authorised person” means an individual connected with the HSSIB who is authorised by the HSSIB for the purposes of this paragraph.
- 2 The HSSIB, or an individual connected with the HSSIB, may disclose protected material to a person not connected with the HSSIB if the Chief Investigator reasonably believes that the disclosure is necessary for the purposes of the carrying out of the HSSIB’s investigation function. 35

##### *Disclosures relating to prosecution or investigation of offences*

- 3 The HSSIB, or an individual connected with the HSSIB, may disclose protected material to a person if the Chief Investigator reasonably believes that the disclosure is necessary for the purposes of the prosecution or 40

investigation of an offence under section 107 (offences relating to investigations) or 110 (unlawful disclosure).

*Disclosures relating to safety risks*

- 4 The HSSIB, or an individual connected with the HSSIB, may disclose protected material to a person where— 5
- (a) the Chief Investigator reasonably believes that the disclosure of the material is necessary to address a serious and continuing risk to the safety of any patient or to the public,
  - (b) the Chief Investigator reasonably believes that the person is in a position to address the risk, and 10
  - (c) the disclosure is only to the extent necessary to enable the person to take steps to address the risk.

*Disclosure by order of the High Court*

- 5 (1) A person may apply to the High Court for an order that any protected material be disclosed by the HSSIB to the person for the purposes specified in the application. 15
- (2) Those purposes may include onward disclosure by the person making the application to a person specified in the application.
- (3) The HSSIB may make representations to the High Court about any application under this paragraph. 20
- (4) The High Court may make an order on an application under this paragraph only if it determines that the interests of justice served by the disclosure outweigh—
- (a) any adverse impact on current and future investigations by deterring persons from providing information for the purposes of investigations, and 25
  - (b) any adverse impact on securing the improvement of the safety of health care services provided to patients in England.

*Coroners*

- 6 (1) A senior coroner may under paragraph 1(1)(b) or (c) or (2) of Schedule 5 to the Coroners and Justice Act 2009 (powers to require the production of evidence) require the disclosure of protected material by the HSSIB to the senior coroner in accordance with that Schedule. 30
- (2) The HSSIB may disclose protected material to a senior coroner for the purposes of complying with a requirement imposed under a provision mentioned in sub-paragraph (1). 35
- (3) The HSSIB may disclose protected material to a senior coroner if the Chief Investigator reasonably believes that the coroner could require the HSSIB to disclose the material under a provision mentioned in sub-paragraph (1).
- (4) If protected material is disclosed to a senior coroner under or by virtue of this paragraph, the senior coroner must not disclose that material to another person, subject to sub-paragraphs (5) to (7). 40
- (5) A senior coroner may apply to the High Court for an order that protected material disclosed to the senior coroner under or by virtue of this paragraph



- may, for the purposes specified in the application, be disclosed by the senior coroner –
- (a) in the course of an investigation under Part 1 of the Coroners and Justice Act 2009,
  - (b) in a report made under paragraph 7 of Schedule 5 to that Act (reports about action to prevent deaths), or
  - (c) otherwise to another person.
- (6) The HSSIB may make representations to the High Court about any application under sub-paragraph (5). 5
- (7) The High Court may make an order on an application under sub-paragraph (5) only if it determines that the interests of justice served by the disclosure outweigh – 10
- (a) any adverse impact on current and future investigations by deterring persons from providing information for the purposes of investigations, and
  - (b) any adverse impact on securing the improvement of the safety of health care services provided to patients in England. 15
- (8) In this paragraph “senior coroner” means a person appointed as a senior coroner under paragraph 1 of Schedule 3 to the Coroners and Justice Act 2009. 20

*Exercise of Chief Investigator’s functions*

- 7 (1) The Chief Investigator may arrange for the Chief Investigator’s functions under any provision of this Schedule to be exercised by an investigator.
- (2) An arrangement under this paragraph may relate to a particular case, a particular class of case or all cases. 25

*Guidance*

- 8 (1) The HSSIB must publish guidance as to –
- (a) when it might be appropriate for protected material to be disclosed under paragraph 2, 3, or 4,
  - (b) the types of protected material which it might be appropriate to disclose under any such provision, and
  - (c) the processes which should be used when disclosing protected material under any such provision. 30
- (2) If the HSSIB revises the guidance, the HSSIB must publish it as revised.

## SCHEDULE 15

Section 120 35

## CONSEQUENTIAL AMENDMENTS RELATING TO PART 4

*Public Records Act 1958 (c. 51)*

- 1 In Schedule 1 to the Public Records Act 1958 (definition of public records) in

	Part 2 of the table in paragraph 3, at the appropriate place insert – “Health Services Safety Investigations Body (except for any record that is prohibited from being disclosed by section 108 of the Health and Care Act 2021).”	
	<i>Public Bodies (Admission to Meetings) Act 1960 (c. 67)</i>	5
2	In the Schedule to the Public Bodies (Admission to Meetings) Act 1960 (public authorities to which that Act applies) in paragraph 1, after paragraph (p) (inserted by Schedule 4 to this Act) insert – “(q) the Health Services Safety Investigations Body.”	
	<i>Parliamentary Commissioner Act 1967 (c. 13)</i>	10
3	In Schedule 2 to the Parliamentary Commissioner Act 1967 (departments etc subject to investigation) at the appropriate place insert – “The Health Services Safety Investigations Body.”	
	<i>House of Commons Disqualification Act 1975 (c. 24)</i>	
4	In Part 3 of Schedule 1 to the House of Commons Disqualification Act 1975 (other disqualifying offices) at the appropriate place insert – “Chief Investigator, chair or other member of the Health Services Safety Investigations Body.”	15
	<i>Copyright, Designs and Patents Act 1988 (c. 48)</i>	
5	In section 48(6) of the Copyright, Designs and Patents Act 1988 (material communicated to the Crown in the course of public business) after “the Care Quality Commission,” insert “the Health Services Safety Investigations Body,”.	20
	<i>Employment Rights Act 1996 (c. 18)</i>	
6	(1) The Employment Rights Act 1996 is amended as follows.	25
	(2) In section 49B(7) (regulations prohibiting discrimination because of protected disclosure) after paragraph (g) insert – “(ga) the Health Services Safety Investigations Body;”.	
	(3) In section 50(8) (right to time off for public duties) after paragraph (ad) insert – “(ae) the Health Services Safety Investigations Body;”.	30
	(4) In section 218(10) (change of employer) after paragraph (cd) insert – “(ce) the Health Services Safety Investigations Body.”	
	<i>Freedom of Information Act 2000 (c. 36)</i>	
7	In Part 6 of Schedule 1 to the Freedom of Information Act 2000 (public authorities to which that Act applies) at the appropriate place insert – “The Health Services Safety Investigations Body.”	35

*National Health Service Act 2006 (c. 41)*

- 8 (1) The National Health Service Act 2006 is amended as follows.
- (2) In section 9(4) (NHS contracts) after paragraph (kc) insert—  
“(kd) the Health Services Safety Investigations Body;”.
- (3) In section 71(2) (schemes for meeting losses and liabilities etc of certain health service bodies: bodies eligible to participate) after paragraph (fa) insert—  
“(fb) the Health Services Safety Investigations Body;”.
- (4) In section 247C(2) (Secretary of State’s duty to keep health service functions under review) after paragraph (ea) insert—  
“(eb) the Health Services Safety Investigations Body;”.
- (5) In section 253 (emergency powers)—  
(a) in subsection (1A), after paragraph (c) insert—  
“(ca) the Health Services Safety Investigations Body;”, and  
(b) in subsection (2) for “to (c)” substitute “to (ca)”. 15

*Health Act 2009 (c. 21)*

- 9 In section 2(2) of the Health Act 2009 (duty to have regard to NHS Constitution) after paragraph (h) insert—  
“(i) the Health Services Safety Investigations Body.”

*Equality Act 2010 (c. 15)*

- 10 In Part 1 of Schedule 19 to the Equality Act 2010 (public authorities to which the public sector equality duty applies) under the heading “Health, social care and social security”, after the entry relating to the Health and Social Care Information Centre insert—  
“The Health Services Safety Investigations Body.” 25

SCHEDULE 16

Section 129

ADVERTISING OF LESS HEALTHY FOOD AND DRINK

PART 1

PROGRAMME SERVICES: WATERSHED

*Television programme services*

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- 1 After section 321 of the Communications Act 2003 insert—  
**“321A Objectives for advertisements: less healthy food and drink**
- (1) OFCOM must set standards by virtue of section 321(1)(b) prohibiting television programme services provided between 5.30 am and 9.00 pm from including advertisements for an identifiable less healthy food or drink product, except as provided for by subsection (2). 35

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- (2) Standards set by virtue of subsection (1) must exempt from the prohibition imposed by them –
- (a) advertisements included in television programme services as a result of arrangements made by or on behalf of a person who is, at the time when the arrangements are made, a food or drink SME; 5
  - (b) advertisements prescribed in any regulations made by the Secretary of State under this paragraph.
- (3) Before making regulations under subsection (2)(b), the Secretary of State must consult such persons as the Secretary of State considers appropriate. 10
- (4) For the purposes of this section –
- (a) “advertisements” includes advertisements under a sponsorship agreement;
  - (b) a product is “identifiable”, in relation to advertisements, if persons in the United Kingdom (or any part of the United Kingdom) could reasonably be expected to be able to identify the advertisements as being for that product; 15
  - (c) a food or drink product is “less healthy” if –
    - (i) it falls within a description specified in regulations made by the Secretary of State, and 20
    - (ii) it is “less healthy” in accordance with the relevant guidance;
  - (d) “the relevant guidance” is the guidance entitled “Nutrient Profiling Technical Guidance” published by the Department of Health on 1 January 2011; 25
  - (e) “food or drink SME” means a small or medium enterprise, within the meaning given by regulations made by the Secretary of State, of a description specified in the regulations. 30
- (5) Regulations under subsection (4)(e) that make provision by reference to the number of members of staff of a person may make provision about who is to count as a member of staff (including members of staff of another person).
- (6) The Secretary of State may by regulations amend this section to change the meaning of “the relevant guidance”. 35
- (7) A statutory instrument containing regulations under subsection (6) may not be made unless a draft of the instrument has been laid before and approved by a resolution of each House of Parliament.”
- On-demand programme services* 40
- 2 After section 368F of the Communications Act 2003 insert –
- “368FA Advertising: less healthy food and drink**
- (1) Between 5.30 am and 9.00 pm, on-demand programme services must not include advertisements for an identifiable less healthy food or drink product. 45

- (2) The prohibition imposed by subsection (1) does not apply in relation to advertisements included in on-demand programme services as a result of arrangements made by or on behalf of a person who is, at the time when the arrangements are made, a food or drink SME.
- (3) The Secretary of State may by regulations provide for further exemptions from the prohibition imposed by subsection (1). 5
- (4) Before making regulations under subsection (3), the Secretary of State must consult such persons as the Secretary of State considers appropriate.
- (5) For the purposes of this section – 10
  - (a) “advertisements” includes advertisements under a sponsorship agreement;
  - (b) a product is “identifiable”, in relation to advertisements, if persons in the United Kingdom (or any part of the United Kingdom) could reasonably be expected to be able to identify the advertisements as being for that product; 15
  - (c) a food or drink product is “less healthy” if –
    - (i) it falls within a description specified in regulations made by the Secretary of State, and
    - (ii) it is “less healthy” in accordance with the relevant guidance; 20
  - (d) “the relevant guidance” means the guidance entitled “Nutrient Profiling Technical Guidance” published by the Department of Health on 1 January 2011;
  - (e) “food or drink SME” means a small or medium enterprise, within the meaning given by regulations made by the Secretary of State, of a description specified in the regulations. 25
- (6) Regulations under subsection (5)(e) that make provision by reference to the number of members of staff of a person may make provision about who is to count as a member of staff (including members of staff of another person). 30
- (7) The Secretary of State may by regulations amend this section to change the meaning of “the relevant guidance”.
- (8) A statutory instrument containing regulations under subsection (7) may not be made unless a draft of the instrument has been laid before and approved by a resolution of each House of Parliament.” 35

PART 2

ONLINE SERVICES: PROHIBITION

3 In the Communications Act 2003, after Part 4B insert –

**“PART 4C**

ONLINE ADVERTISING OF LESS HEALTHY FOOD AND DRINK 5

*Advertising of less healthy food and drink*

**368Z14 Prohibition of paid-for advertising of less healthy food and drink**

- (1) A person must not pay for advertisements for an identifiable less healthy food or drink product to be placed on the internet.
- (2) Subsection (1) does not apply where the person paying is, at the time when the payment is made, a food or drink SME. 10
- (3) Subsection (1) does not apply –
  - (a) in relation to advertisements which are directed solely at persons who are engaged in, or employed by, a business which involves or is associated with the manufacture or sale of food or drink, 15
  - (b) in relation to advertisements included in on-demand programme services (as to which, see section 368FA),
  - (c) in relation to advertisements included in services connected to regulated radio services, or 20
  - (d) in relation to payments which are made –
    - (i) by a person who does not carry on business in any part of the United Kingdom, and
    - (ii) in respect of advertisements which are not intended to be accessed principally by persons in any part of the United Kingdom. 25
- (4) The Secretary of State may by regulations provide for further exemptions from the prohibition imposed by subsection (1).
- (5) Before making regulations under subsection (4), the Secretary of State must consult such persons as the Secretary of State considers appropriate. 30
- (6) For the purposes of this section –
  - (a) paying includes providing any consideration (monetary or non-monetary);
  - (b) “placed” includes continues to be placed; 35
  - (c) paying for advertisements to be placed on the internet includes paying under a sponsorship agreement as result of which advertisements are placed on the internet;
  - (d) a product is “identifiable”, in relation to advertisements, if persons in the United Kingdom (or any part of the United 40

- Kingdom) could reasonably be expected to be able to identify the advertisements as being for that product;
- (e) a food or drink product is “less healthy” if—
    - (i) it falls within a description specified in regulations made by the Secretary of State, and 5
    - (ii) it is “less healthy” in accordance with the relevant guidance;
  - (f) “the relevant guidance” is the guidance entitled “Nutrient Profiling Technical Guidance” published by the Department of Health on 1 January 2011; 10
  - (g) “food or drink SME” means a small or medium enterprise, within the meaning given by regulations made by the Secretary of State, of a description specified in the regulations;
  - (h) “services connected to regulated radio services” has the meaning given by regulations made by the Secretary of State. 15
- (7) Regulations under subsection (6)(g) that make provision by reference to the number of members of staff of a person may make provision about who is to count as a member of staff (including members of staff of another person). 20
- (8) The Secretary of State may by regulations amend this section to change the meaning of “the relevant guidance”.
- (9) A statutory instrument containing regulations under subsection (8) may not be made unless a draft of the instrument has been laid before and approved by a resolution of each House of Parliament. 25
- (10) A person is to be treated as having contravened subsection (1) if—
  - (a) at any time on or after 1 August 2021 but before 1 January 2023, the person made a payment for advertisements to be placed on the internet on or after 1 January 2023, and
  - (b) if the payment had been made on 1 January 2023, the person would have contravened subsection (1). 30
- (11) Subsection (10) does not apply if the person—
  - (a) has put in place arrangements to ensure that they are entitled to require that the advertisements are not placed on the internet on or after 1 January 2023, and 35
  - (b) uses all reasonable endeavours to ensure that the advertisements are not so placed.

### **368Z15 Enforcement**

- (1) Where the appropriate regulatory authority determine that a person is contravening or has contravened section 368Z14 they may do one or both of the following—
  - (a) give the person an enforcement notification;
  - (b) impose a financial penalty on the person in accordance with section 368Z16. 40
- (2) The appropriate regulatory authority must not make a determination as mentioned in subsection (1) unless— 45

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- (a) there are reasonable grounds for believing that a contravention of section 368Z14 is occurring or has occurred, and
  - (b) they have allowed the person an opportunity to make representations about that apparent contravention. 5
- (3) An enforcement notification is a notification which –
- (a) specifies the determination made as mentioned in subsection (1),
  - (b) imposes requirements on the person to take such steps for complying with section 368Z14 and for remedying the consequences of the contravention as may be specified in the notification, 10
  - (c) fixes a reasonable period for the taking of those steps, and
  - (d) sets out the reasons for the appropriate regulatory authority’s decision to give the enforcement notification. 15
- (4) The requirements specified in an enforcement notification may include requirements to do one or more of the following –
- (a) instruct or request specified persons to remove specified advertisements from the internet;
  - (b) arrange for specified advertisements to be modified in specified ways. 20
- (5) A person to whom an enforcement notification is given must comply with it.
- (6) The duty under subsection (5) is enforceable in civil proceedings by the appropriate regulatory authority – 25
- (a) for an injunction,
  - (b) for specific performance of a statutory duty under section 45 of the Court of Session Act 1988, or
  - (c) for any other appropriate remedy or relief.
- (7) If a person to whom an enforcement notification has been given does not comply with it, the appropriate regulatory authority may impose a financial penalty on that person in accordance with section 368Z16. 30

### **368Z16 Financial penalties**

- (1) The amount of a penalty imposed on a person under section 368Z15 is to be such amount not exceeding the maximum penalty as the appropriate regulatory authority determine to be – 35
- (a) appropriate, and
  - (b) proportionate to the contravention in respect of which it is imposed.
- (2) The maximum penalty is – 40
- (a) in a case in which the person carries on a relevant business, an amount not exceeding the greater of –
    - (i) 5% of the turnover of the person’s relevant business for the relevant period, and
    - (ii) £250,000; 45
  - (b) in any other case, £250,000.



- (3) For the purposes of this section –
- (a) a person’s “relevant business” is so much of any business carried on by the person as involves or is associated with the manufacture or sale of less healthy food or drink products;
  - (b) “relevant period”, in relation to a person’s relevant business, means –
    - (i) except in a case falling within sub-paragraph (ii) or (iii), the period of one year ending with the 31 March before the time at which the penalty is imposed;
    - (ii) in the case of a person who at the time at which the penalty is imposed has been carrying on that business for a period of less than a year, the period, ending with that time, during which the person has been carrying it on;
    - (iii) in the case of a person who at the time at which the penalty is imposed has ceased to carry on that business, the period of one year ending with the time when the person ceased to carry it on;
  - (c) the amount of the turnover of a person’s relevant business for the relevant period is to be calculated by the appropriate regulatory authority in accordance with the following sub-paragraphs –
    - (i) the amount is to be calculated in conformity with accounting practices and principles which are generally accepted in the United Kingdom;
    - (ii) the amount is limited to the amounts derived by the person from the relevant business after deduction of sales rebates, value added tax and other taxes directly related to turnover;
    - (iii) where the person’s relevant business consists of two or more undertakings that each prepare accounts, the amount is to be calculated by adding together the turnover of each, save that no account is to be taken of any turnover resulting from the supply of goods or the provision of services between them.
- (4) In determining the amount of a penalty under subsection (1) the appropriate regulatory authority must have regard to any statement published by OFCOM under section 392 (guidelines to be followed in determining amount of penalties).
- (5) A financial penalty imposed under this section, if not paid within the period fixed by the appropriate regulatory authority, is to be recoverable by the appropriate regulatory authority as a debt due to them from the person obliged to pay it.
- (6) Where a financial penalty is imposed under this section in respect of matters appearing to OFCOM to have a connection with Northern Ireland and no connection with the rest of the United Kingdom, the penalty must be paid into the Consolidated Fund of Northern Ireland.
- (7) In any other case, a financial penalty imposed under this section is to be paid into the Consolidated Fund of the United Kingdom.

### **368Z17 Power to demand information**

- (1) The appropriate regulatory authority may give a person a notice demanding information that the authority require for the purpose of carrying out their functions under this Part.
- (2) The notice may relate to any information that the person appears to have or be able to generate. 5
- (3) A notice under this section must –
  - (a) describe the required information,
  - (b) fix a reasonable period within which the information is to be provided, and 10
  - (c) set out the appropriate regulatory authority’s reasons for requiring it.
- (4) A notice under this section may specify the manner in which the information is to be provided.
- (5) The appropriate regulatory authority may not require the provision of information under this section unless they have given the person from whom it is required an opportunity of making representations to them about the matters appearing to them to provide grounds for making the request. 15
- (6) Section 368Z15 applies in relation to a failure to comply with a demand for information imposed under this section as if that failure were a contravention of section 368Z14. 20
- (7) In this section “information” includes copies of advertisements.

### **368Z18 Guidance**

- (1) The appropriate regulatory authority must draw up and, from time to time, review and revise, guidance setting out their intentions concerning the exercise of their functions under this Part. 25
- (2) The appropriate regulatory authority must consult the Secretary of State before drawing up or revising the guidance.
- (3) The appropriate regulatory authority must publish the guidance and any revised guidance in such manner as they consider appropriate for bringing it to the attention of the persons who, in their opinion, are likely to be affected by it. 30

### **368Z19 The appropriate regulatory authority**

- (1) OFCOM may designate any body corporate to be, to the extent provided by the designation, the appropriate regulatory authority for the purposes of any provision of this Part, subject to subsection (9). 35
- (2) To the extent that no body is designated for a purpose, OFCOM is the appropriate regulatory authority for that purpose. 40
- (3) Where a body is designated for a purpose, OFCOM may act as the appropriate regulatory authority for that purpose concurrently with or in place of that body.

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- (4) OFCOM may provide a designated body with assistance (including financial assistance) in connection with any of the functions of the body under this Part.
- (5) A designation may in particular –
- (a) provide for a body to be the appropriate regulatory authority in relation to advertisements of a specified description; 5
  - (b) provide that a function of the appropriate regulatory authority is exercisable by the designated body –
    - (i) to such extent as may be specified;
    - (ii) either generally or in such circumstances as may be specified; 10
    - (iii) either unconditionally or subject to such conditions as may be specified.
- (6) The conditions that may be specified pursuant to subsection (5)(b)(iii) include a condition to the effect that a function may, generally or in specified circumstances, be exercised by the body only with the agreement of OFCOM. 15
- (7) A designation has effect for such period as may be specified and may be revoked by OFCOM at any time.
- (8) OFCOM must publish any designation in such manner as they consider appropriate for bringing it to the attention of persons who, in their opinion, are likely to be affected by it. 20
- (9) OFCOM may not designate a body unless, as respects that designation, they are satisfied that the body –
- (a) is a fit and proper body to be designated, 25
  - (b) has consented to being designated,
  - (c) has access to financial resources that are adequate to ensure the effective performance of its functions as the appropriate regulatory authority (taking into account any financial assistance that OFCOM intends to provide under subsection (4)), 30
  - (d) is sufficiently independent of persons who carry on business that involves or is associated with the manufacture or sale of less healthy food or drink products, and
  - (e) will, in performing any function to which the designation relates, have regard in all cases –
    - (i) to the principles under which regulatory activities should be transparent, accountable, proportionate, consistent and targeted only at cases in which action is needed, and 40
    - (ii) to such of the matters mentioned in section 3(4) as appear to the body to be relevant in the circumstances.
- (10) Subject to any enactment or rule of law restricting the disclosure or use of information by OFCOM or by a designated body – 45
- (a) a designated body may provide information to another designated body for use by that other body in connection with any of its functions as the appropriate regulatory authority;

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- (b) a designated body may provide information to OFCOM for use by OFCOM in connection with any of their functions under this Part;
- (c) OFCOM may provide information to a designated body for use by that body in connection with any of its functions as the appropriate regulatory authority. 5
- (11) In carrying out their functions as the appropriate regulatory authority, a designated body may carry out, commission or support (financially or otherwise) research.
- (12) In this section – 10  
     “designation” means a designation under this section and cognate expressions are to be construed accordingly;  
     “specified” means specified in a designation.”
- 368Z20 Power to amend this Part to extend prohibition**
- (1) The Secretary of State may by regulations amend this Part for the purpose of prohibiting persons from doing either or both of the following (so far as not already prohibited) – 15  
     (a) placing on the internet advertisements for an identifiable less healthy food or drink product;  
     (b) making arrangements for advertisements for an identifiable less healthy food or drink product to be placed on the internet. 20
- (2) For the purposes of subsection (1) –  
     (a) “placing” includes leaving in place;  
     (b) “placed” includes continues to be placed. 25
- (3) The provision which may be made by regulations under subsection (1) by virtue of section 402(3)(c) includes provision repealing, revoking or amending provision made by or under any of the following whenever passed or made – 30  
     (a) an Act;  
     (b) an Act of the Scottish Parliament;  
     (c) a Measure or Act of Senedd Cymru;  
     (d) Northern Ireland legislation.
- (4) Before making regulations under subsection (1), the Secretary of State must consult such persons as the Secretary of State considers appropriate. 35
- (5) A statutory instrument containing regulations under subsection (1) may not be made unless a draft of the instrument has been laid before and approved by a resolution of each House of Parliament.
- 368Z21 Interpretation** 40
- In this Part –  
     “appropriate regulatory authority” is to be construed in accordance with section 368Z19;  
     “less healthy”, in relation to a food or drink product, has the meaning given by section 368Z14(6)(e).” 45

PART 3

CONSEQUENTIAL AMENDMENTS

- 4 The Communications Act 2003 is amended as follows.
- 5 In section 368C (on-demand programme services: duties of the appropriate regulatory authority), after subsection (5) insert – 5
- “(6) The appropriate regulatory authority must draw up and, from time to time, review and revise, guidance setting out their intentions concerning the exercise of their functions under this Part in relation to the prohibition imposed by section 368FA (advertising: less healthy food and drink). 10
- (7) The appropriate regulatory authority must consult the Secretary of State before drawing up or revising guidance under subsection (6).”
- 6 In section 402(2) (instruments subject to negative procedure) –
- (a) in paragraph (a) omit “or regulations under section 368BC”;
- (b) after paragraph (a) insert – 15
- “(aza) regulations under –
- (i) section 321A(6) (see subsection (7) of that section),
- (ii) section 368BC (see subsection (7) of that section), 20
- (iii) section 368FA(7) (see subsection (8) of that section),
- (iv) section 368Z14(8) (see subsection (9) of that section), or
- (v) section 368Z20 (see subsection (5) of that section).” 25

# Health and Care Bill

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# B I L L

[AS AMENDED IN PUBLIC BILL COMMITTEE]

To make provision about health and social care.

*Secretary Sajid Javid  
supported by The Prime Minister,  
the Chancellor of the Exchequer, Michael Gove,  
Secretary Thérèse Coffey, Secretary Robert Jenrick,  
Secretary Oliver Dowden and Edward Argar.*

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*Ordered, by The House of Commons,  
to be Printed, 2nd November 2021.*

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