

# Higher Education and Research Bill

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## AMENDMENTS

### TO BE MOVED

#### IN COMMITTEE OF THE WHOLE HOUSE

*[Supplementary to the Fourth Marshalled List]*

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#### Clause 49

LORD MURPHY OF TORFAEN

Page 29, line 23, at end insert –

“( ) After subsection (6) insert –

“(6A) In any proceedings for an offence under this section it shall be a defence for the defendant to show –

- (a) that the award in question was an ecclesiastical degree; and
- (b) that the defendant took reasonable steps to inform the person to whom the award was granted or any member of the public or particular individual to whom the offer was addressed that the award was an ecclesiastical degree.”

Page 29, line 39, at end insert –

“( ) After subsection (10)(b) insert –

“(ba) an “ecclesiastical degree” means an award which has effects within the Roman Catholic Church in accordance with the canon law of that Church where the body granting it is authorised to do so in accordance with the canon law of that church;”

#### After Clause 65

BARONESS WOLF OF DULWICH  
BARONESS BROWN OF CAMBRIDGE

Insert the following new Clause –

#### **“OfS and designated bodies: fee related functions**

In performing fee related functions provided for in this Act, the OfS, or any body it designates to perform such functions, must act in accordance with the Regulators' Code.”

**Schedule 9**

## LORD MENDELSON

Page 100, line 26, at end insert –

“( ) at least one member of the OfS Board with at least observer status.”

Page 100, line 39, at end insert –

“( ) funding of research in the charitable sector.”

Page 104, line 19, leave out “any” and insert “some”

Page 105, line 31, leave out “except with the consent of the Secretary of State”

**Clause 86**

## LORD MENDELSON

Page 55, line 14, leave out subsection (2)

Page 55, line 14, after “Secretary of State” insert “, following consultation,”

**Clause 87**

## LORD MENDELSON

Page 55, line 21, leave out “may” and insert “must”

Page 55, line 22, after “out” insert “basic, applied and strategic”

Page 55, line 22, after “humanities” insert “, social sciences, arts”

Page 55, line 23, after “support” insert “basic, applied and strategic”

Page 55, line 24, after “humanities” insert “, social sciences, arts”

Page 55, line 26, after “technology” insert “, humanities, social sciences, arts”

Page 55, line 30, after “humanities” insert “, social sciences, arts”

Page 55, line 32, at end insert –

“( ) provide exceptional long-term agreements in order to fund long-term projects and interdisciplinary advances.”

Page 55, line 32, at end insert –

“( ) facilitate, encourage and support UK research’s participation in EU programmes and initiatives and be responsible for ensuring the UK’s position on international research projects.”

**Clause 87 - continued**

Page 55, line 32, at end insert –

“( ) identify levels of UK research support from the EU from 2015 to 2021 by year, collate the total and quantify any losses.”

Page 55, line 35, at end insert –

“(2A) The functions conferred on the UKRI by section (1)(a) to (e) may be carried out in partnership with other funding bodies.”

**Clause 89**

LORD MENDELSON

Page 57, line 19, after “life” insert “and social and cultural wellbeing”

Page 57, line 21, after “State” insert “, following consultation,”

**Clause 90**

LORD MENDELSON

Page 57, line 28, at end insert “, and in the public interest.”

Page 57, line 32, after “relate,” insert “to maintain its focus on assisting businesses and”

Page 57, line 36, at end insert –

“( ) Innovate UK must report annually on its contribution to UK economic growth through carrying out its functions as set out by UKRI. This report shall be evaluated by the National Audit Office.”

**Clause 91**

LORD MENDELSON

Page 57, line 37, at end insert –

“( ) Research England may –

- (a) provide non-hypothecated funding to eligible higher education providers for the purpose of supporting basic, strategic and applied research; and
- (b) support knowledge exchange and skills provision.”

Page 57, line 42, after “provider” insert “or an independent research organisation”

Page 58, line 7, at end insert –

“( ) the undertaking of knowledge exchange activities, and  
( ) support for postgraduate students.”

**Clause 91 - continued**

Page 58, line 15, after “appropriate” insert “including relevant bodies in the devolved administrations”

**Clause 93**

LORD MENDELSON

Page 58, line 36, at end insert –

“( ) consult a committee of the executive chairs of the Councils in the development of the strategy.”

Page 58, line 39, at end insert –

“( ) the specific strategic objectives of, and a strategy for the exercise of the functions for, each council of UKRI, including Innovate UK and Research England,”

Page 59, line 4, at end insert –

“( ) UKRI must report annually on its performance in encouraging cross disciplinary research and innovation, and each such report must be laid before each House of Parliament.”

**Clause 94**

LORD MENDELSON

Page 59, line 28, at end insert –

“( ) UKRI must arrange for the Councils to report annually to each House of Parliament on areas and subjects of research which are currently de-prioritised and to highlight emerging areas which are not fully integrated into UKRI’s current research and innovation strategy.”

**Clause 96**

LORD MENDELSON

Page 60, line 19, leave out “directions” and insert “recommendations”

**Clause 97**

LORD MENDELSON

Page 61, line 6, leave out “reasonable”

**After Clause 97**

LORD MENDELSON

Insert the following new Clause –

**“Autonomy of research councils**

Any decision on the allocation of research money is to be made in a way which is compatible with the Haldane Principle, as set out in Annex A to the Department for Business Innovation and Skills' allocation of science and research funding 2011 to 2015.”

**Clause 98**

LORD MENDELSON

Page 61, line 14, at end insert –

“( ) In exercising its functions, UKRI must allocate funds on the basis of fair, open and transparent competition, or a fair, open and transparent assessment of research performance.”

**Clause 103**

LORD MENDELSON

Page 62, line 28, at end insert –

“( ) No action shall be taken by UKRI which would diminish or change the range and coverage of the Research Councils.”

**Clause 106**

LORD MENDELSON

Page 64, line 13, leave out “may” and insert “must”

Page 64, line 14, at end insert –

“( ) The OfS and UKRI must cooperate with one another on areas including, but not limited to –

- (a) the health of disciplines,
- (b) authorisation of a registered higher education provider to grant research awards,
- (c) awarding of research degrees,
- (d) post-graduate training,
- (e) shared facilities,
- (f) knowledge exchange funding and activity,
- (g) issues of financial sustainability of higher education providers, and
- (h) skills development.”

Page 64, line 15, leave out subsection (2)

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*18 January 2017*

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