The amendments have been marshalled in accordance with the Order of 2nd March 2017, as follows—

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[Amendments marked ★ are new or have been altered]

**Clause 26**

THE DUKE OF WELLINGTON  
BARONESS WOLF OF DULWICH

<table>
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<th>Amendment No.</th>
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<tr>
<td>62</td>
<td>Page 16, line 10, leave out “give ratings” and insert “assess”</td>
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<td>63</td>
<td>Page 16, line 11, leave out “to”</td>
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<td>64</td>
<td>Page 16, line 13, leave out “a rating” and insert “an assessment”</td>
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<td>65</td>
<td>Page 16, line 14, leave out “to”</td>
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<td>66</td>
<td>Page 16, line 17, leave out “a rating” and insert “an assessment”</td>
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</table>
Clause 26 - continued

LORD LIPSEY

Page 16, line 17, at end insert—

“( ) Any scheme introduced under this section does not have any effect on the fees any university may charge until after the academic year 2019-20.”

LORD LUCAS

Page 16, line 17, at end insert—

“( ) The OfS must not, until the end of the period of three years beginning with the day on which this section comes into force, publish the name of a higher education provider alongside any rating related to the quality of, or the standards applied to, that provider, unless the rating is at the highest level.”

LORD BEW

LORD LIPSEY

Page 16, line 17, at end insert—

“( ) Before any scheme under subsection (1) is introduced which would draw upon indicators of student opinion derived from the National Student Survey, the Secretary of State must establish an independent inquiry into the statistical validity of that survey and its appropriateness as a source of metrics used in the scheme.”

LORD STEVENSON OF BALMACARA

BARONESS BROWN OF CAMBRIDGE

VISCOUNT YOUNGER OF LECKIE

BARONESS GARDEN OF FROGNAL

BARONESS WOLF OF DULWICH

Page 16, line 43, leave out subsection (5)

Page 16, line 44, leave out subsection (6)

LORD BLUNKETT

LEAVE OUT CLAUSE 26 AND INSERT THE FOLLOWING NEW CLAUSE—

“Scheme to provide information about the quality of higher education and higher education teaching

(1) The Secretary of State must by order bring forward a scheme to assess and provide consistent and reliable information about the quality of education and teaching at English higher education providers and at higher education providers in Wales, Scotland or Northern Ireland which apply to participate in such a scheme.

(2) The scheme must be wholly or mainly based on the systems in place in higher education providers which ensure that the courses offered are taught to a high standard.”
Clause 26 - continued

(3) The Secretary of State, or that body designated by the Secretary of State to develop such a scheme, must, before such a scheme is introduced, and on a regular basis thereafter, obtain independent evaluations, including an evaluation from the Office for National Statistics, of the validity of any data or metrics included in such a scheme.

(4) Any scheme introduced must evaluate and report on whether an institution meets expectations or fails to meet expectations on quality measures, but must not be used to create a single composite ranking of English higher education providers.

(5) The Secretary of State’s power to make an order under subsection (1) is exercisable by statutory instrument, a draft of which must be laid before, and approved by, a resolution of each House of Parliament.”

After Clause 26

BARONESS GARDEN OF FROGNAL
LORD STOREY

73 Insert the following new Clause—

“Assessments under section 25: international students

The ability of a student to enter the UK in order to attend a course provided by a registered higher education provider in England or Wales shall not be affected by the quality rating attributed to that provider under section 25 of this Act.”

Clause 27

VISCOUNT YOUNGER OF LECKIE

74 Page 17, line 14, after “are” insert “—

(a) ”

75 Page 17, line 14, at end insert “, and

(b) the functions of the relevant body under section (Grant, variation or revocation of authorisation: advice on quality etc) (advice on quality etc to the OfS when granting degree awarding powers etc).”

76 Page 17, line 16, after second “functions” insert “under section 24 ”

VISCOUNT YOUNGER OF LECKIE
LORD STEVENSON OF BALMACARA
BARONESS BROWN OF CAMBRIDGE

77 Page 17, line 16, leave out “do not cease to be exercisable by the OfS” and insert “—

(a) so far as they relate to the assessment of the standards applied to higher education provided by a provider, cease to be exercisable by the OfS, and

(b) otherwise do not cease to be exercisable by the OfS.”
Clause 27 - continued

VISCOUNT YOUNGER OF LECKIE
78 Page 17, line 19, after second “of” insert “any of”

Schedule 4

VISCOUNT YOUNGER OF LECKIE
79 Page 86, line 32, at end insert—
“( ) the Secretary of State is satisfied that the designated body is failing to perform in an effective manner its functions under section (Grant, variation or revocation of authorisation: advice on quality etc), or”

VISCOUNT YOUNGER OF LECKIE
LORD STEVENSON OF BALMACARA
80 Page 88, line 13, after “protect” insert “—
(a) ”

81 Page 88, line 14, at end insert “, and
(b) the designated body’s ability to make, or make arrangements for, an impartial assessment of the quality of, and the standards applied to, higher education provided by a provider.”

82 Page 88, leave out line 37

Clause 28

VISCOUNT YOUNGER OF LECKIE
83 Page 17, line 34, leave out from “body” to “may” in line 35

84 Page 17, line 38, after “standards)” insert “, or section (Grant, variation or revocation of authorisation: advice on quality etc)(advice on quality etc to the OfS when granting degree awarding powers etc),”

85 Page 18, line 8, after “24(1)” insert “or (Grant, variation or revocation of authorisation: advice on quality etc)”

86 Page 18, line 12, leave out “section 24(1)” and insert “sections 24(1) and (Grant, variation or revocation of authorisation: advice on quality etc)”
Clause 29

BARONESS BLACKSTONE
LORD JUDD

Page 19, line 9, at end insert—
“( ) The Director for Fair Access and Participation must report annually to each House of Parliament on the performance of the OfS' access and participation functions.”

Clause 31

THE DUKE OF WELLINGTON
BARONESS WOLF OF DULWICH

Page 19, line 24, leave out “rating” and insert “assessment”

VISCOUNT YOUNGER OF LECKIE

Page 19, line 26, leave out “applicable”

Page 19, line 28, leave out “applicable”

Page 19, line 28, leave out “in relation to an institution”

Page 19, line 30, leave out “applicable to that institution”

THE DUKE OF WELLINGTON
BARONESS WOLF OF DULWICH

Page 19, line 31, leave out “rating” and insert “assessment”

Clause 32

LORD ADDINGTON
LORD JUDD

Page 20, line 16, at end insert—
“( ) requiring the governing body of the institution to prepare, where changes in methods of teaching and delivery of information are required for students with disabilities or special educational needs, a guide which states how best to achieve this and provides examples of existing good practice and the effective use of technology to achieve this end.”

LORD WALLACE OF TANKERNESS

Page 20, line 23, at end insert “set by the institution in compliance with specific duties imposed under section 153 of the Equality Act 2010.”

Page 20, line 28, at end insert—
“( ) In subsection (3)(d) the “promotion of equality of opportunity” includes the matters set out in section 149(1)(a) to (c) of the Equality Act 2010.”
After Clause 32

LORD LUCAS

97 Insert the following new Clause—

“Content of a plan: evaluation

An access and participation plan relating to an institution must contain such provisions for evaluation, including randomised controlled trials, as the OfS may reasonably require in connection with its powers under section 35.”

Clause 35

LORD ADDINGTON

98 Page 21, line 22, at end insert—

“( ) The OfS has a duty to identify practices which placed disadvantages or extra costs on any group in comparison with similar sections of the student population and take steps to remove them.”

Clause 36

LORD STEVENSON OF BALMACARA

VISCOUNT YOUNGER OF LECKIE

LORD KERSLAKE

BARONESS GARDEN OF FROGNAL

99 Page 21, line 32, at end insert—

“( ) In performing those functions, subsection (1) applies instead of section 3(1)(za) (duty of OfS to have regard to the need to protect institutional autonomy) in relation to the freedoms mentioned in subsection (7)(b) and (c) of that section.”

After Clause 37

VISCOUNT YOUNGER OF LECKIE

100 Insert the following new Clause—

“Duty to monitor etc the provision of arrangements for student transfers

(1) The OfS—

(a) must monitor the availability of schemes or other arrangements provided by registered higher education providers for student transfers and the extent to which those arrangements are utilised by students generally or students of a particular description,

(b) must include in its annual report a summary of conclusions drawn by it, for the financial year to which the report relates, from its monitoring under paragraph (a), and

(c) may facilitate, encourage, or promote awareness of, the provision of arrangements by registered higher education providers for student transfers.

(2) For the purposes of this section,”a student transfer” is where—
(a) a student transfers from a higher education course ("course X") provided by a UK higher education provider ("the transferring provider") to a different higher education course ("course Y") provided by the same or a different UK higher education provider ("the receiving provider"),

(b) the receiving provider recognises, or takes account of, the study undertaken, or a level of achievement attained, by the student—
   (i) on course X, or
   (ii) on another higher education course provided by the transferring provider,
when the receiving provider is determining the study to be undertaken, or the level of achievement attained, by the student on course Y, and

(c) either the transferring provider or the receiving provider is a registered higher education provider, or both are registered higher education providers.

(3) For the purposes of subsection (2), there may be an interval between the student ceasing to undertake course X and starting to undertake course Y.

(4) The duty under subsection (1)(a) may be discharged by the OfS monitoring as described in that provision—
   (a) arrangements for student transfers provided by all registered higher education providers or a particular description of such provider;
   (b) all such arrangements for student transfers or a particular description of such arrangement or student transfer.

(5) In this section—
   “annual report” means the annual report under paragraph 13 of Schedule 1;
   “financial year” has the same meaning as in that Schedule (see paragraph 12(6));
   “higher education course”—
   (a) in the case of a provider in England or Wales, has the meaning given in section 79 (1);
   (b) in the case of a provider in Scotland, means a course falling within section 38 of the Further and Higher Education (Scotland) Act 1992;
   (c) in the case of a provider in Northern Ireland, means a course of any description mentioned in Schedule 1 to the Further Education (Northern Ireland) Order 1997 (S.I. 1997/1772 (N.I. 15));
   “UK higher education provider” means an English higher education provider or a higher education provider in Wales, Scotland or Northern Ireland.

(6) For the purposes of applying the definition of “higher education provider” in section 79 (1) to the reference in the definition of “UK higher education provider” in subsection (5) to a higher education provider in Wales, Scotland or Northern Ireland, the reference to “higher education” in the definition of “higher education provider” in section 79 (1)—
After Clause 37 - continued

(a) in the case of an institution in Wales, has the meaning given in section 79 (1);
(b) in the case of an institution in Scotland, has the same meaning as in section 38 of the Further and Higher Education (Scotland) Act 1992;
(c) in the case of an institution in Northern Ireland, has the same meaning as in Article 2(2) of the Further Education (Northern Ireland) Order 1997 (S.I. 1997/1772 (N.I. 15)).”

LORD WILLIS OF KNARESBOROUGH
As an amendment to Amendment 100

100A ★ In subsection (1)(c), leave out “may” and insert “must”

Clause 38

VISCOUNT YOUNGER OF LECKIE

101 Page 22, line 11, leave out “or by another eligible higher education provider”

102 Page 22, line 14, leave out “or by another eligible higher education provider,”

103 [Withdrawn]

Clause 41

BARONESS WOLF OF DULWICH
BARONESS BROWN OF CAMBRIDGE

104 Page 24, line 11, leave out paragraph (a)

VISCOUNT YOUNGER OF LECKIE

105 Page 25, line 2, at end insert—

“( ) See sections 42, 43 and (Grant, variation or revocation of authorisation: advice on quality etc) which make further provision about orders under subsection (1).”

106 [Withdrawn]

Clause 43

VISCOUNT YOUNGER OF LECKIE
LORD STEVENSON OF BALMACARA

107 Page 25, line 30, at end insert—

“( ) The OfS may make such an order revoking an authorisation given to a provider only if condition A, B or C is satisfied.”

108 Page 25, line 31, leave out from beginning to “if” and insert “Condition A is satisfied”

109 Page 25, line 32, at end insert—

“(4) Condition B is satisfied if—
Clause 43 - continued

(a) the OfS has concerns regarding the quality of, or the standards applied to, higher education which has been or is being provided by the provider, and

(b) it appears to the OfS that those concerns are so serious that—
   (i) its powers by a further order under section 41(1) to vary the authorisation are insufficient to deal with the concerns (whether or not they have been exercised in relation to the provider), and
   (ii) it is appropriate to revoke the authorisation.

(5) Condition C is satisfied if—

(a) due to a change in circumstances since the authorisation was given, the OfS has concerns regarding the quality of, or the standards applied to, higher education which will be provided by the provider, and

(b) it appears to the OfS that those concerns are so serious that—
   (i) its powers by a further order under section 41(1) to vary the authorisation are insufficient to deal with the concerns (whether or not they have been exercised in relation to the provider), and
   (ii) it is appropriate to revoke the authorisation.

(6) Where there are one or more sector-recognised standards, for the purposes of subsections (4)(a) and (5)(a)—

(a) the OfS’s concerns regarding the standards applied must be concerns regarding the standards applied in respect of matters for which there are sector-recognised standards, and

(b) those concerns must be regarding those standards as assessed against sector-recognised standards.”

VISCOUNT YOUNGER OF LECKIE

110 Page 25, line 32, at end insert—

“( ) See sections (Grant, variation or revocation of authorisation: advice on quality etc) and 45 which make further provision about further orders under section 41 (1).”

Clause 44

VISCOUNT YOUNGER OF LECKIE

111 Page 25, line 35, leave out “or an English further education provider”

VISCOUNT YOUNGER OF LECKIE

LORD STEVENSON OF BALMACARA

112 Page 26, line 8, at end insert—

“( ) The OfS may make an order under subsection (1) revoking an authorisation given to a provider only if condition A, B or C is satisfied.”

113 Page 26, line 9, leave out from beginning to “if” in line 10 and insert “Condition A is satisfied”
Clause 44 - continued

Page 26, line 10, at end insert—

“(5A) Condition B is satisfied if—

(a) the OfS has concerns regarding the quality of, or the standards applied to, higher education which has been or is being provided by the provider, and

(b) it appears to the OfS that those concerns are so serious that—

(i) its powers by an order under subsection (1) to vary the authorisation are insufficient to deal with the concerns (whether or not they have been exercised in relation to the provider), and

(ii) it is appropriate to revoke the authorisation.

(5B) Condition C is satisfied if—

(a) due to a change in circumstances since the authorisation was given, the OfS has concerns regarding the quality of, or the standards applied to, higher education which will be provided by the provider, and

(b) it appears to the OfS that those concerns are so serious that—

(i) its powers by an order under subsection (1) to vary the authorisation are insufficient to deal with the concerns (whether or not they have been exercised in relation to the provider), and

(ii) it is appropriate to revoke the authorisation.

(5C) Where there are one or more sector-recognised standards, for the purposes of subsections (5A)(a) and (5B)(a)—

(a) the OfS’s concerns regarding the standards applied must be concerns regarding the standards applied in respect of matters for which there are sector-recognised standards, and

(b) those concerns must be regarding those standards as assessed against sector-recognised standards.”

VISCOUNT YOUNGER OF LECKIE

Page 26, line 18, at end insert—

“( ) See sections (Grant, variation or revocation of authorisation: advice on quality etc) and 45 which make further provision about orders under subsection (1).”

After Clause 44

VISCOUNT YOUNGER OF LECKIE
BARONESS WOLF OF DULWICH
LORD STEVENSON OF BALMACARA

Insert the following new Clause—

“Grant, variation or revocation of authorisation: advice on quality etc

(1) The OfS must request advice from the relevant body regarding the quality of, or the standards applied to, higher education provided by a provider before making—

(a) an order under section 41(1) authorising the provider to grant taught awards or research awards,
After Clause 44 - continued

(b) a further order under section 41(1)—
   (i) varying an authorisation given to the provider by a previous order under section 41(1), or
   (ii) revoking such an authorisation on the ground that condition B in section 43(4) is satisfied, or
(c) an order under section 44(1)—
   (i) varying an authorisation given to the provider, as described in that provision, to grant taught awards or research awards, or
   (ii) revoking such an authorisation on the ground that condition B in section 44(5A) is satisfied.

(2) In this section “the relevant body” means—
   (a) the designated assessment body, or
   (b) if there is no such body, a committee which the OfS must establish under paragraph 8 of Schedule 1 for the purpose of performing the functions of the relevant body under this section.

(3) Where the OfS requests advice under subsection (1), the relevant body must provide it.

(4) The advice provided by the relevant body must be informed by the views of persons who (between them) have experience of—
   (a) providing higher education on behalf of, or being responsible for the provision of higher education by—
       (i) an English higher education provider which is neither authorised to grant taught awards nor authorised to grant research awards,
       (ii) an English further education provider, and
       (iii) an English higher education provider which is within neither sub-paragraph (i) nor sub-paragraph (ii),
   (b) representing or promoting the interests of individual students, or students generally, on higher education courses provided by higher education providers,
   (c) employing graduates of higher education courses provided by higher education providers,
   (d) research into science, technology, humanities or new ideas, and
   (e) encouraging competition in industry or another sector of society.

(5) Where the order authorises the provider to grant research awards or varies or revokes such an authorisation, the advice provided by the relevant body must also be informed by the views of UKRI.

(6) Subsections (4) and (5) do not prevent the advice given by the relevant body also being informed by the views of others.

(7) The OfS must have regard to advice provided to it by the relevant body under subsection (3) in deciding whether to make the order.

(8) But that does not prevent the OfS having regard to advice from others regarding quality or standards.
After Clause 44 - continued

(9) Where the order varies or revokes an authorisation, the advice under subsection (1) may be requested before or after the governing body of the provider is notified under section 45 of the OfS’s intention to make the order.

(10) Where there are one or more sector-recognised standards, for the purposes subsections (1) and (8)—

(a) the advice regarding the standards applied must be advice regarding the standards applied in respect of matters for which there are sector-recognised standards, and

(b) that advice must be regarding those standards as assessed against sector-recognised standards.

(11) In this section—

“designated assessment body” means a body for the time being designated under Schedule 4;

“humanities” and “science” have the same meaning as in Part 3 (see section 107)."

BARONESS WOLF OF DULWICH  
LORD STEVENSON OF BALMACARA  
LORD STOREY  
As an amendment to Amendment 116

116A At end insert—

“( ) The OfS must not authorise a provider unless—

(a) the provider has been established for a minimum of four years with satisfactory validation arrangements in place, or

(b) the Quality Assessment Committee is assured that the provider is fully able to maintain the required standard expected for the granting of a United Kingdom degree for the duration of the authorisation, and may therefore be authorised to grant taught awards or research awards or both, and has reported to the Secretary of State; and

the OfS is assured that the provider operated in the public interest and in the interest of students.

( ) In this section the “Quality Assessment Committee” is the Committee established under section 25 and “validation arrangements” has the same meaning as in section 47(4).”

Clause 46

LORD JUDGE  
LORD LISVANE  
LORD NORTON OF LOUTH  
BARONESS O’NEILL OF BENGERAVE

117 Page 27, line 24, leave out from “appeal” to end of line 27 and insert “shall be on the grounds that the decision was wrong.”
Clause 47

BARONESS WOLF OF DULWICH
LORD STEVENSON OF BALMACARA
LORD STOREY

117A Page 28, line 17, at end insert—
“(5A) The governing body of a provider involved in such commissioning arrangements may appeal to the First-tier Tribunal in respect of either the conditions specified by the OfS under section 47(2) or the validation arrangements made by the first provider (as defined in section 47(4)).

(5B) The grounds and procedures for any appeal made under (5A) are those specified in section 46.”

Clause 48

LORD MACKAY OF CLASHFERN

118 Page 29, line 1, leave out subsection (6)

LORD STEVENSON OF BALMACARA
BARONESS WOLF OF DULWICH

119 Leave out Clause 48

After Clause 51

THE LORD BISHOP OF WINCHESTER
VISCOUNT YOUNGER OF LECKIE

119A Insert the following new Clause—
“Saving for right to grant degrees under the Ecclesiastical Licences Act 1533

Nothing done under this Part is to affect the right of the Archbishop of Canterbury, or any other person, by virtue of the Ecclesiastical Licences Act 1533 to grant a degree where the recipient is not required—

(a) to complete an appropriate course of study or an appropriate programme of supervised research, or

(b) to satisfy an appropriate examination, test or other assessment.”

Clause 54

VISCOUNT YOUNGER OF LECKIE
LORD STEVENSON OF BALMACARA

120 Page 34, line 34, at end insert—
“( ) The OfS may make an order under subsection (1) only if condition A, B or C is satisfied.”

121 Page 34, leave out line 35 and insert—
“( ) Condition A is satisfied if—”
Clause 54 - continued

Page 34, line 41, at end insert—

“( ) Condition B is satisfied if, disregarding any transitional or saving provision made by an order under section 41 (1) or 44 (1)—

(a) the institution is neither authorised to grant taught awards nor authorised to grant research awards, or
(b) foundation degrees are the only degrees which the institution is authorised to grant.

( ) Condition C is satisfied if, due to a change in circumstances since the authorisation, consent or other approval was given, it appears to the OfS to be no longer appropriate for the institution to include the word “university” in its name.”

Clause 56

LORD JUDGE
LORD LISVANE
LORD NORTON OF LOUTH
BARONESS O’NEILL OF BENGARVE

Page 36, line 9, leave out from “appeal” to end of line 12 and insert “shall be on the grounds that the decision was wrong.”

Schedule 5

LORD STEVENSON OF BALMACARA

Page 89, line 22, at end insert—

“( ) the suspected breach may constitute fraud, or concerns serious or wilful mismanagement of public funds,”

LORD MACKAY OF CLASHFERN

Page 90, line 15, at end insert “and that all the requirements for the grant specified in this Schedule are met,”

Clause 59

LORD LUCAS
LORD WILLIS OF KNARESBOROUGH

Page 37, line 24, at end insert—

“( ) The OfS may publish any information that it holds as Open Data if it considers it to be in the public interest to do so.”

LORD WILLIS OF KNARESBOROUGH

Page 37, line 34, at end insert—

“( ) The OfS must require any body which collects data on behalf of any registered higher education provider to—
Clause 59 - continued

(a) make such data available as open data if it considers it to be in the public interest to so do;
(b) collect data in a consistent format to allow for efficient and meaningful examination and scrutiny.”

Clause 60

LORD WILLIS OF KNARESBOROUGH
BARONESS GARDEN OF FROGNAL

128 Page 38, line 7, at end insert “including the arrangements for providing to each student, for use by the student, and all those authorised by the student, the record of the academic attainment or credit which that student has obtained at the end of each year of their study with that provider,”

Clause 61

LORD WILLIS OF KNARESBOROUGH
BARONESS GARDEN OF FROGNAL

129 Page 39, line 4, at end insert “and the arrangements for providing to each student, for use by the student, and all those authorised by the student, the record of the academic attainment or credit which that student has obtained at the end of each year of their study with that provider.”

LORD STEVENSON OF BALMACARA

130 Page 39, line 7, at end insert —

“( ) The information must cover key workforce data at individual institutions, including—
(a) number of staff employed on non-permanent contracts;
(b) proportion of teaching delivered by staff on non-permanent contracts;
and
(c) staff-to-student ratios.”

Schedule 6

LORD STEVENSON OF BALMACARA

131 Page 94, line 27, at end insert —

“( ) a number of persons that, taken together, appear to the OfS to represent, or promote the interests of, higher education staff,”

132 Page 96, line 19, at end insert —

“( ) a number of persons that, taken together, appear to the OfS to represent, or promote the interests of, higher education staff,”
Clause 70

LORD KERSLAKE  
VISCOUNT YOUNGER OF LECKIE  
LORD STEVENSON OF BALMACARA  
BARONESS GARDEN OF FROGNAL

Page 44, line 19, leave out from “protect” to end of line 26 and insert “the institutional autonomy of English higher education providers.”

LORD STEVENSON OF BALMACARA  
VISCOUNT YOUNGER OF LECKIE  
LORD KERSLAKE  
BARONESS GARDEN OF FROGNAL

Clause 71

BARONESS WOLF OF DULWICH  
BARONESS BROWN OF CAMBRIDGE  
LORD STEVENSON OF BALMACARA

Page 45, line 41, at end insert—

“  In exercising its regulatory functions under this section, the OfS must have regard to the Regulators’ Code.”

After Clause 71

LORD STEVENSON OF BALMACARA  
BARONESS DEECH

Insert the following new Clause—

“Transfer of regulatory functions relating to higher education providers and students from Competition and Markets Authority to Office for Students

On the establishment of the OfS—

(a) the OfS assumes responsibility for the regulatory functions in respect of higher education providers and students enrolled on higher education courses hitherto performed by the Competition and Markets Authority; and

(b) the Competition and Markets Authority ceases to have responsibility for those regulatory functions.”

Clause 73

LORD KERSLAKE  
VISCOUNT YOUNGER OF LECKIE  
LORD STEVENSON OF BALMACARA  
BARONESS GARDEN OF FROGNAL

Page 46, line 32, leave out from “protect” to end of line 39 and insert “the institutional autonomy of English higher education providers.”
Clause 73 - continued

VISCOUNT YOUNGER OF LECKIE

138 Page 46, line 41, after “but” insert “, whether or not the directions are framed in that way.”

Clause 79

VISCOUNT YOUNGER OF LECKIE

139 Page 49, line 38, after “see” insert “—
(a) ”

VISCOUNT YOUNGER OF LECKIE

140 Page 49, line 39, leave out “and (6)”

VISCOUNT YOUNGER OF LECKIE

141 Page 49, line 39, after “education)” insert “, and
(b) section (Duty to monitor etc the provision of arrangements for student transfers)(5) and (6)(duty to monitor etc the provision of arrangements for student transfers).”

Clause 81

LORD KERSLAKE

VISCOUNT YOUNGER OF LECKIE

142 Page 50, line 42, at end insert—
“‘the institutional autonomy of English higher education providers” has the meaning given by section 3(7);”

VISCOUNT YOUNGER OF LECKIE

143 Page 51, line 1, at end insert—
“‘sector-recognised standards” has the meaning given by section 14 (2B);”

After Clause 82

LORD SHARKEY

144 Insert the following new Clause—

“Sharia-compliant student finance: progress reports

(1) The Secretary of State must publish on the Department for Education’s website, and must bring to the attention of schools in England and Wales as appropriate, a report which—
After Clause 82 - continued

(a) sets out progress towards the introduction of a scheme of Sharia-compliant student finance; and
(b) provides an estimate of when such a scheme will be available for students entering tertiary education.

(2) A report under subsection (1) must be published within one month of this section coming into force and must be updated quarterly thereafter.

(3) Where any update provided under subsection (2) varies an estimate of when a scheme will be available, the Secretary of State must provide an explanation.”

After Clause 84

LORD WATSON OF INVERGOWRIE
LORD STEVENSON OF BALMACARA

145 Insert the following new Clause—

“Financial support: loans

(1) In section 22 of the Teaching and Higher Education Act 1998, after subsection (5) insert—

“(5A) No provision may be made relating to the repayment of a loan that has been made available under this section which would change the repayment conditions of that loan once the first payment has been made to the borrower or directly to the institution to whom the borrower is liable to make payments.

(5B) No provision may be made relating to the repayment of a loan that has been made available under this section, and under which any payments have been made prior to the commencement of section (financial support: loans) of the Higher Education and Research Act 2017, which would make any further changes to the repayment conditions of that loan after the commencement of that section.”

(2) In section 8 of the Sale of Student Loans Act 2008 (consumer credit), for subsection (1) substitute—

“(1) Loans made in accordance with regulations under section 22 of the Teaching and Higher Education Act 1998 are to be regulated by the Consumer Credit Act 1974.”

BARONESS O’NEILL OF BENGARVE
BARONESS WOLF OF DULWICH
LORD NORTON OF LOUTH
LORD STEVENSON OF BALMACARA

146 Insert the following new Clause—

“Unincorporated higher education providers: financial support

Students enrolled on a course provided by a higher education provider that is not incorporated under the law of the United Kingdom do not qualify for publicly funded student support.”
After Clause 84 - continued

LORD DUBS
LORD JUDD

147 Insert the following new Clause—

“Access to support for students recognised as needing protection

(1) Within six months from the day on which this Act comes into force, the Secretary of State must, by regulations, make provision for financial support for higher education courses to be offered to students with certain immigration statuses.

(2) The regulations specified in subsection (1) must include, but need not be restricted to, provision for—

(a) persons granted humanitarian protection and their family members; and

(b) persons who have been brought to the United Kingdom under the Syrian Vulnerable Persons Relocation Scheme, or any equivalent scheme, and their family members to be eligible for the support set out in subsection (3).

(3) The support set out in this subsection is—

(a) home fees for a higher education course, if they have been ordinarily resident in the United Kingdom since being granted leave, and

(b) student loans for a higher education course, if they have been ordinarily resident in the United Kingdom since being granted leave, and are ordinarily resident in the United Kingdom on the first day of the first academic term of that course.

(4) In this section—

“home fees” means fees for a higher education course charged to persons considered as “qualifying persons” under regulations made under the Higher Education Act 2004;

“student loans” means loans made to students in connection with their undertaking of a higher education course under the Teaching and Higher Education Act 1998.”

Clause 85

VISCOUNT YOUNGER OF LECKIE

148 Page 57, line 17, leave out from “insert” to end of line 18 and insert ““, and includes an institution which is treated as continuing to be a qualifying institution for the purposes of Part 2 of that Act (see section 20A(2) of that Act)”.

149 Page 57, line 22, leave out “paragraph (da)” and insert “paragraphs (da) and (ea)”
After Clause 85

LORD HANNAY OF CHISWICK
BARONESS ROYALL OF BLAISDON
BARONESS GARDEN OF FROGNAL
LORD PATTEN OF BARNES

150 Insert the following new Clause—

“Students and academic staff at higher education providers

(1) The Secretary of State has a duty to encourage international students to attend higher education providers covered by this Act, and UKRI must take every possible opportunity to encourage and facilitate the maximum co-operation between British higher education and research establishments and those based outside the UK, in particular with projects and programmes funded by the European Union.

(2) The Secretary of State shall ensure that no student, either undergraduate or postgraduate, who has received an offer to study at such a higher education provider, be treated for public policy purposes as a long term migrant to the UK, for the duration of their studies at such an establishment.

(3) Persons, who are not British citizens, who receive an offer to study as an undergraduate or postgraduate, or who receive an offer of employment as a member of academic staff at a higher education provider, shall not, in respect of that course of study, or that employment, be subject to more restrictive immigration controls or conditions than were in force for a person in their position on the day on which this Act was passed.”

LORD LUCAS

151 Insert the following new Clause—

“Study Visas

The Secretary of State must, in respect of applications from potential international students for visas to allow them to attend courses of higher education in the United Kingdom, publish, on an annual basis, the number and type of higher education study visas granted, categorised by—

(a) institution,
(b) country, and
(c) agent (where a student is represented by an agent),
and what proportion each category represents of all the applications made.”
After Clause 86

BARONESS DEECH
LORD STEVENSON OF BALMACARA
BARONESS GARDEN OF FROGNAL

152 Insert the following new Clause—

"Higher education providers: freedom of speech and preventing unlawful speech"

(1) All English higher education providers must ensure that their students, staff and invited speakers are able to practise freedom of speech within the law in the provider’s premises, forums and events and must put in place measures to prevent unlawful speech.

(2) Subsection (1) extends to the premises, forums and events of the provider's student unions.”

LORD STOREY
BARONESS GARDEN OF FROGNAL

153 Insert the following new Clause—

“Offence to provide or advertise cheating services"

(1) A person commits an offence if the person provides any service specified in subsection (4) with the intention of giving a student enrolled at an English or Welsh higher education provider an unfair advantage over other such students.

(2) A person commits an offence if the person advertises any services specified in subsection (4) knowing that the service has or would have the effect of giving such a student an unfair advantage over other such students.

(3) A person commits an offence who, without reasonable excuse, publishes an advertisement for any service specified in subsection (4).

(4) The services referred to in subsections (1) to (3) are—

(a) completing an assignment or any other work that a student enrolled at an English or Welsh higher education provider is required to complete as part of a higher education course in their stead without authorisation from those making the requirement;

(b) providing or arranging the provision of an assignment that a student enrolled at an English or Welsh higher education provider is required to complete as part of a higher education course in their stead without authorisation from those making the requirement;

(c) providing or arranging the provision of answers for an examination that a student enrolled at an English or Welsh higher education provider is required to complete as part of a higher education course before they complete it and without authorisation from those setting the examination;

(d) sitting an examination that a student enrolled at an English or Welsh higher education provider is required to sit as part of a higher education course in their stead or providing another person to sit the exam in place of the student, without authorisation from those setting the examination.
After Clause 86 - continued

(5) A person who commits an offence under this section is liable on summary conviction to a fine not exceeding level 5 on the standard scale.”

LORD DUBS
BARONESS HAMWEE
LORD JUDD

154 Insert the following new Clause—

“Independent review of the Prevent strategy in higher education institutions

(1) Before the end of the period of three months beginning on the day on which this Act is passed, the Secretary of State must appoint an independent reviewer to—

(a) conduct an independent review of the operation and effectiveness of the Prevent strategy in relevant higher education institutions; and

(b) submit a report to the Secretary of State on the findings of the review.

(2) The report must address, though may not be limited to, the following matters—

(a) the operation and effectiveness of the Prevent strategy in higher education institutions;

(b) the interaction of Prevent with—

(i) other legal duties on higher education institutions; and

(ii) the criminal law as it relates to higher education institutions;

(c) existing arrangements for the inspection and monitoring of higher education institutions’ compliance with the Prevent duty; and

(d) the nature and extent of training provided to staff working in higher education institutions.

(3) The independent reviewer may invite evidence from civil society groups and others with expertise in, or experience of, Prevent.

(4) An individual must not be appointed to the role of independent reviewer if that individual—

(a) has a close association with Her Majesty’s Government; or

(b) has concurrent obligations as a Government appointed reviewer.

(5) The reviewer must have access to security sensitive information on the same basis as the reviewer appointed under section 36 of the Terrorism Act 2006.

(6) In appointing the reviewer, the Secretary of State must have regard to the need to ensure the reviewer has the relevant qualifications, including legal qualifications, to carry out his functions.

(7) The Secretary of State, after consultation with the independent reviewer, must provide the reviewer with such staff as are sufficient to ensure that the reviewer is able properly to carry out his functions.

(8) The Secretary of State must pay to the reviewer—

(a) expenses incurred in carrying out his functions under this section; and

(b) such allowances as the Secretary of State determines.

(9) The Secretary of State must lay before each House of Parliament a copy of the report received under subsection (1)(b).
After Clause 86 - continued

(10) In this section, “Prevent” means the Prevent strand of Her Majesty’s Government’s counter-terrorism strategy CONTEST, including the statutory Prevent structure; and “statutory Prevent structure” means the provisions set out at Part 5 of the Counter-Terrorism and Security Act 2015.”

Schedule 8

VISCOUNT YOUNGER OF LECKIE

155 Page 104, line 6, at end insert—
“23A (1) Section 78 (financial years of higher education corporations) is amended as follows.

(2) In the heading, at the end insert “: Wales”.

(3) In subsection (1), after “higher education corporations” insert “in Wales”.

(4) After subsection (2) insert—
“(3) In this section “higher education corporation in Wales” means a higher education corporation established to conduct an institution whose activities are carried on, or principally carried on, in Wales.””

Schedule 9

LORD MENDELSOHN

156 Page 104, line 26, at end insert—
“( ) at least one member of the OfS Board with at least observer status.”

LORD STEVENSON OF BALMACARA

157 Page 104, line 32, leave out from “UKRL,” to “experience” in line 33 and insert “ensure that the members have (between them) significant direct”

158 Page 104, line 38, after “commercial” insert “, charitable”

LORD PRIOR OF BRAMPTON

159 Page 104, line 38, after “matters” insert “, the charitable sector”

LORD STEVENSON OF BALMACARA

160 Page 104, line 39, at end insert—
“( ) funding of research from the charitable sector.”

161 Page 104, line 39, at end insert—
“in the higher education sector of England, Scotland, Wales and Northern Ireland.”

162 Page 105, line 2, leave out from “least” to end of line 4 and insert “—
(a) one person with relevant experience of Scotland;
Schedule 9 - continued

(b) one person with relevant experience of Wales;
(c) one person with relevant experience of Northern Ireland;
with the respective agreement of the Scottish Government, Welsh Government and Northern Ireland Executive.”

163 Page 105, line 4, at end insert—
“() The Secretary of State must, in appointing the members of UKRI, have regard to the desirability of the members including at least one person with relevant experience in the charitable research sector.”

LORD PRIOR OF BRAMPTON

164 Page 105, line 9, after “matters” insert “, the charitable sector”

LORD PRIOR OF BRAMPTON
BARONESS BROWN OF CAMBRIDGE

165 Page 105, line 15, leave out “nine” and insert “twelve”

LORD SHARKEY
LORD WILLIS OF KNARESBOROUGH

165A Page 105, line 16, at end insert “, of whom at least three shall be lay members.”

BARONESS BROWN OF CAMBRIDGE
LORD STEVENSON OF BALMACARA

166 Page 105, line 16, at end insert—
“() In the case of Innovate UK, the Council must have a non-executive Chair, and the non-executive Chair and the majority of the Council members must be from science-related business backgrounds.”

LORD PRIOR OF BRAMPTON

167 Page 105, line 20, at end insert “after consulting the chair of UKRI”

LORD PRIOR OF BRAMPTON
BARONESS BROWN OF CAMBRIDGE
LORD KREBS

168 Page 107, line 11, at end insert—
“Executive Committee

8A(1) UKRI must establish a committee called “the Executive Committee”.

(2) The Executive Committee is to consist of—
(a) the CEO, who is to be its chair,
(b) the CFO,
(c) the executive chair of each of the Councils, and
(d) such other members as the CEO may appoint.

(3) Those appointed under sub-paragraph (2)(d)—
(a) must be employees of UKRI, and
Schedule 9 - continued

(b) if they cease to be such employees, may not continue as members appointed under that provision.

(4) The Executive Committee may establish sub-committees, and a sub-committee so established is referred to in this Schedule as an “Executive sub-committee”.

(5) An Executive sub-committee may include persons who are not members of UKRI, Council members or employees of UKRI.

(6) UKRI must pay such allowances as the Secretary of State may determine to any person who—

(a) is a member of an Executive sub-committee, but

(b) is not a member of UKRI, a Council member or an employee of UKRI.”

LORD PRIOR OF BRAMPTON
BARONESS BROWN OF CAMBRIDGE

169 Page 107, line 13, after “Councils” insert “and the Executive Committee”

170 Page 107, line 33, leave out sub-paragraphs (1) and (2) and insert—

“(1) UKRI, a Council and the Executive Committee may each determine their own procedure and the procedure of any relevant committee.

(1A) “Relevant committee” means—

(a) in the case of UKRI, a general committee,

(b) in the case of a Council, a Council sub-committee established by it, and

(c) in the case of the Executive Committee, an Executive sub-committee.

(2) But sub-paragraph (1) is subject to the rest of this paragraph.”

171 Page 108, line 16, after “committee,” insert “or of the Executive Committee or any Executive sub-committee,”

LORD PRIOR OF BRAMPTON

172 Page 109, line 8, at end insert—

“( ) The report must include a statement regarding how UKRI has cooperated with the OfS during that year.”

LORD PRIOR OF BRAMPTON
BARONESS BROWN OF CAMBRIDGE

173 Page 109, line 31, leave out from beginning to third “the” and insert “But UKRI may do any of the following only in accordance with terms and conditions specified from time to time by”

LORD PRIOR OF BRAMPTON

174 Page 110, line 14, leave out “paragraph” and insert “paragraphs 8A and”

175 Page 110, line 23, leave out “paragraph” and insert “paragraphs 8A and”
Clause 88

LORD PRIOR OF BRAMPTON
BARONESS BROWN OF CAMBRIDGE
LORD KREBS

Page 58, line 12, at end insert—

“(4) Before making regulations under subsection (2), the Secretary of State must consult such persons as the Secretary of State considers appropriate.

(5) UKRI must, if requested to do so by the Secretary of State, carry out such a consultation, on behalf of the Secretary of State, of such persons.

(6) In such a case, UKRI must carry out the consultation in accordance with such directions as the Secretary of State may give.”

Clause 89

LORD MENDELSOHN

Page 58, line 28, at end insert—

“( ) In performing its functions, UKRI must have regard to the need to protect the institutional autonomy of English higher education providers, as set out in section 3(7).”

Clause 91

LORD SHARKEY
LORD WILLIS OF KNARESBOROUGH

Page 59, line 25, at end insert “, and must in making the arrangements, delegate to the Councils the authority to enter into direct partnerships with other funding bodies in the exercise of these functions, subject to the prevailing rules and disciplines concerning the management of public funds.”

LORD PRIOR OF BRAMPTON

Page 60, line 12, leave out subsection (3) and insert—

“(3) A “relevant specialist employee”, in relation to a Council, means—

(a) a researcher or scientist employed by UKRI to work in the Council’s field of activity (see the table in subsection (1)), or

(b) a person who has knowledge, experience or specialist skills which is or are relevant to the Council’s field of activity and is employed by UKRI to work in that field of activity.”

Page 60, line 18, after “contributing” insert “(whether directly or indirectly)”

LORD PRIOR OF BRAMPTON
LORD KREBS

Page 60, line 18, after “growth” insert “, or an economic benefit,”
Clause 91 - continued

LORD PRIOR OF BRAMPTON
BARONESS BROWN OF CAMBRIDGE

181 Page 60, line 18, after “Kingdom,” insert—
“( ) advancing knowledge (whether in the United Kingdom or elsewhere
and whether directly or indirectly) in, or in connection with, science,
technology, humanities or new ideas,”

182 Page 60, line 24, at end insert—
“(6) Before making regulations under subsection (5), the Secretary of State must consult such persons as the Secretary of State considers appropriate.

(7) UKRI must, if requested to do so by the Secretary of State, carry out such a consultation, on behalf of the Secretary of State, of such persons.

(8) In such a case, UKRI must carry out the consultation in accordance with such directions as the Secretary of State may give.”

Clause 92

LORD PRIOR OF BRAMPTON
BARONESS BROWN OF CAMBRIDGE

183 Page 60, line 31, leave out subsection (3) and insert—
“(3) Arrangements under this section must require Innovate UK, when exercising any function to which the arrangements relate, to have regard to—

(a) the need to support (directly or indirectly) persons engaged in business activities in the United Kingdom,

(b) the need to promote innovation by persons carrying on business in the United Kingdom, and

(c) the desirability of improving quality of life in the United Kingdom.”

Clause 95

LORD WALLACE OF TANKERNESS
LORD PATEL

184 Page 62, line 6, at end insert—
“( ) Before approving the strategy under subsection (1), the Secretary of State must consult with the Scottish Government, the Welsh Government and the Northern Ireland Executive.”

Clause 97

LORD PRIOR OF BRAMPTON

185 Page 62, line 39, after “subsection (1)” insert “in respect of those functions”

186 Page 63, line 9, after “subsection (1)” insert “in respect of those functions”
Clause 97 - continued

187 Page 63, line 15, at end insert—
“( ) provide for the allocation of the whole or a part of the grant to a particular Council and for subsequent changes in that allocation,”

188 Page 63, line 22, at end insert—
“( ) Where the Secretary of State makes a grant to UKRI under subsection (1), the Secretary of State must publish—
(a) the amount of the grant, and
(b) if the terms and conditions of the grant allocate the whole or a part of that amount to a particular Council—
(i) the name of the Council, and
(ii) the amount of the grant which is so allocated to it.”

Clause 99

LORD PRIOR OF BRAMPTON
BARONESS BROWN OF CAMBRIDGE
LORD STEVENSON OF BALMACARA
LORD KREBS

189 Page 64, line 7, at end insert—
“(za) the Haldane principle, where the grant or direction mentioned in subsection (1) is in respect of functions exercisable by one or more of the Councils mentioned in section 91 (1) pursuant to arrangements under that section,”

190 Page 64, line 8, after “principle” insert “, in any case”

191 Page 64, line 10, at end insert—
“(2A) The “Haldane principle” is the principle that decisions on individual research proposals are best taken following an evaluation of the quality and likely impact of the proposals (such as a peer review process).”

192 [Withdrawn]

Clause 100

LORD WALLACE OF TANKERNESS

193 Page 64, line 19, leave out subsection (1) and insert—
“( ) In exercising its functions, UKRI must have regard to—
(a) the promotion of research and innovation in Scotland, Wales and Northern Ireland, and
(b) the need to use its resources in the most efficient, effective and economic way.”
Clause 100 - continued

LORD WALLACE OF TANKERNESS
LORD PATEL

Page 64, line 22, at and insert—
“( ) The Secretary of State, in exercising the functions of the Secretary of State under this Part, must have regard to the promotion of research and innovation in Scotland, Wales and Northern Ireland.”

Clause 108

LORD MACKAY OF CLASHFERN
LORD SMITH OF FINSBURY
LORD STEVENSON OF BALMACARA

Page 67, line 26, at end insert—
“( ) Where a decision to be made by the OfS or UKRI relates to—
(a) the power to award research degrees; or
(b) research students;
the OfS and UKRI must make the decision jointly.”

Clause 112

VISCOUNT YOUNGER OF LECKIE

Page 69, line 9, leave out “subsection (3)” and insert “subsections (3) and (4)”

Page 69, line 14, at end insert—
“(4) Provision made under subsection (1) by virtue of subsection (2)(b) may not revoke a Royal Charter in its entirety.”

Clause 115

VISCOUNT YOUNGER OF LECKIE

Page 70, line 11, at end insert—
“( ) regulations under section 10 (1)(prescribed description of providers for whom a transparency condition is mandatory);”

Page 70, line 16, at end insert—
“( ) regulations under section 38 (3)(prescribed description of providers eligible for financial support);”

Page 70, line 24, after “or” insert “of”

Page 70, line 27, at end insert “any of the following provisions of that Schedule applies—
(a) paragraph 4(1A)(first regulations prescribing the higher, basic and floor amounts);”
Clause 115 - continued

(b) "

201 Page 70, line 29, leave out “applies”

202 Page 70, line 29, at end insert—
“( ) paragraph 5 (accelerated courses).”

Schedule 11

VISCOUNT YOUNGER OF LECKIE

203 Page 112, line 35, leave out “in receipt of remuneration”

204 Page 113, line 6, at end insert—
“Education (No. 2) Act 1986

4A(1) Section 43 of the Education (No. 2) Act 1986 (freedom of speech in universities etc) is amended as follows.

(2) After subsection (4) insert—
“(4A) The establishments in England to which this section applies are—
(a) any registered higher education provider;
(b) any establishment of higher or further education which is maintained by a local authority;
(c) any institution within the further education sector.”

(3) In subsection (5), after “The establishments” insert “in Wales”.

(4) In subsection (6), in the definition of “governing body”, for “in relation to any university” substitute “—
(a) in relation to a registered higher education provider, has the meaning given by section 81 (1) of the Higher Education and Research Act 2017;
(b) in relation to a university in Wales,”.

(5) In subsection (6), after the definition of “governing body” insert—
““registered higher education provider” has the meaning given by section 4 (10) of the Higher Education and Research Act 2017,”.

(6) After subsection (6) insert—
“(6A) For the purposes of this section—
(a) an establishment is taken to be in England if its activities are carried on, or principally carried on, in England;
(b) an establishment is taken to be in Wales if its activities are carried on, or principally carried on, in Wales.”

(7) In subsection (7)(a), after “subsection” insert“(4A)(b) or”.

205 Page 117, line 25, at end insert—
“29A (1) The Education Act 2005 is amended as follows.
(2) In section 92 (joint exercise of functions)—
Schedule 11 - continued

(a) in subsection (2), for “Higher Education Funding Council for England” substitute “Office for Students”, and
(b) omit subsection (5).”

Page 117, line 26, leave out “to the Education Act 2005”

Clause 120

VISCOUNT YOUNGER OF LECKIE

Page 72, line 8, leave out subsection (1) and insert—

“(1) The following provisions of this Part come into force on the day on which this Act is passed—
(a) sections 111 to 113;
(b) sections 115 to 117;
(c) section 119;
(d) this section;
(e) section 121.”

LORD SHARKEY

Page 72, line 30, at end insert—

“( ) Section (Sharia-compliant student finance: progress reports) comes into force on the day on which this Act is passed.”
Higher Education and Research Bill

REVISED
SECOND
MARSHALLED
LIST OF AMENDMENTS
TO BE MOVED
ON REPORT

7 March 2017